

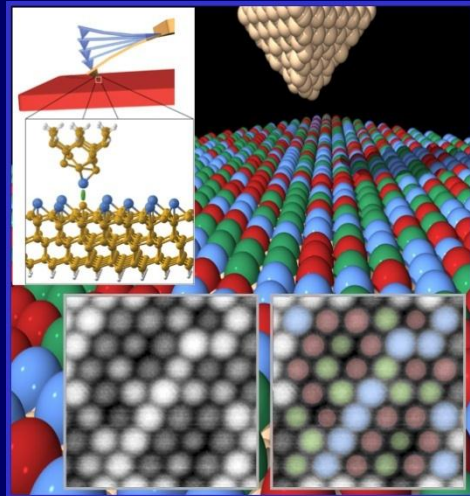
Probing nanostructures with forces and currents: From atomic-scale contrast on graphene and carbon nanotubes to heterofullerene synthesis with planar aromatic precursors

Rubén Pérez

SPM Theory & Nanomechanics Group

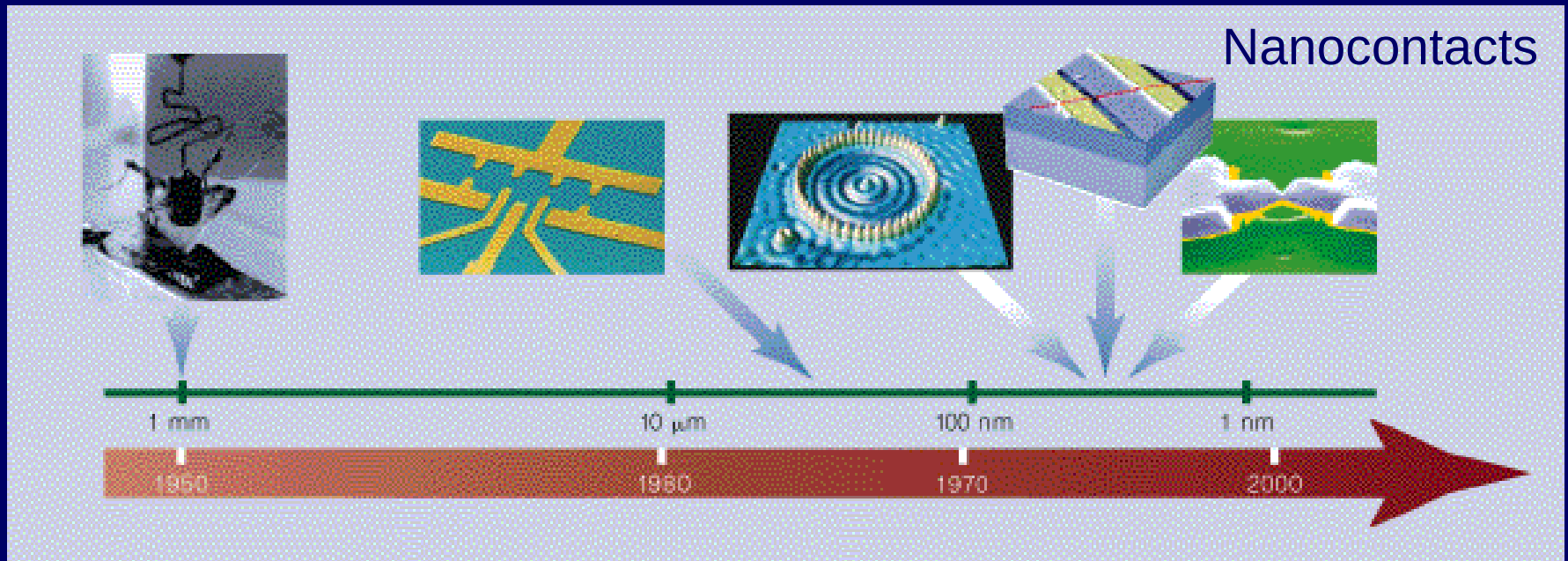
Departamento de Física Teórica de la Materia Condensada

<http://www.uam.es/spmth>



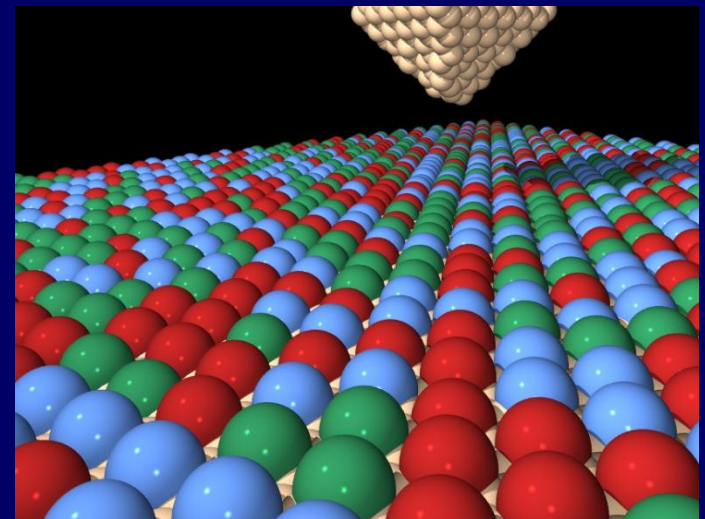
Seminar Dresde 30/06/2011

Nanotechnology: Materials & Tools (SPMs) (Atomic scale is different...)

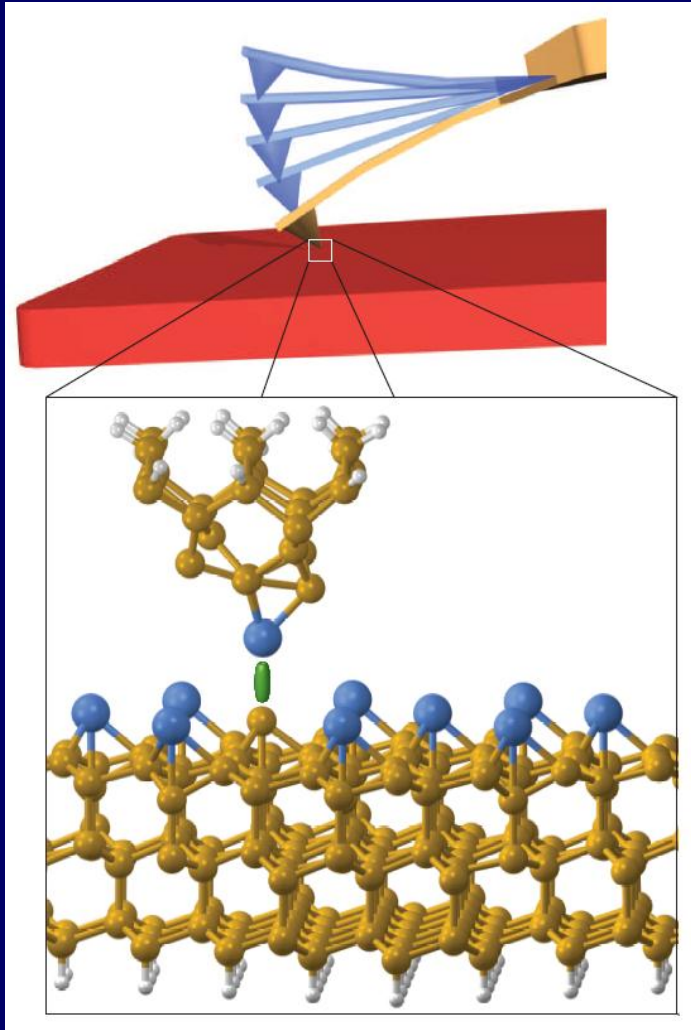


Scanning Probe Microscopes (SPMs):

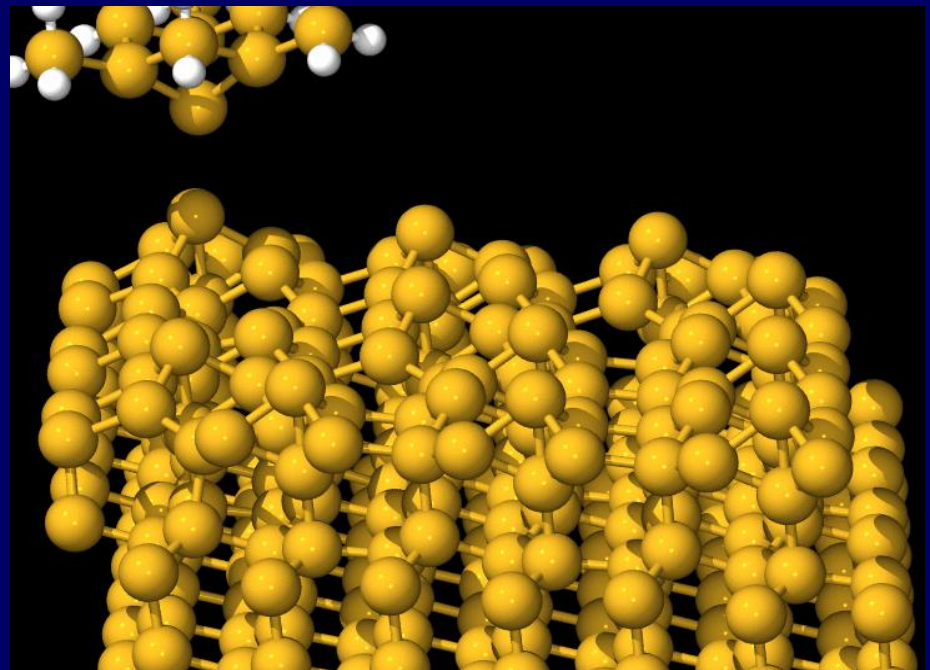
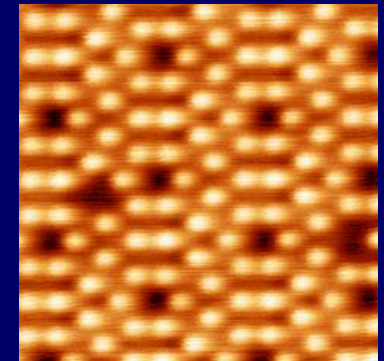
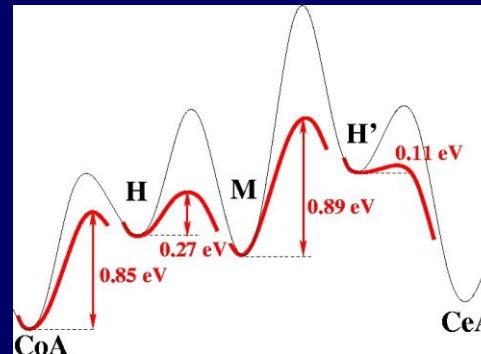
- Scanning Tunneling Microscope (STM)
- Atomic Force Microscope (AFM)
- ...



Forces & Transport in Nanostructures: First-principles calculations



STM & AFM Imaging



Single-atom manipulation

Methodology

“The computer is a tool for clear thinking” Freeman J. Dyson

Ab-initio total energy methods

(based in Density
Functional Theory)



Non-equilibrium
Green's Functions

both plane wave & **local
orbital basis:**
accuracy/efficiency balance



Linked with the local
orbital description

Structure + electronic properties

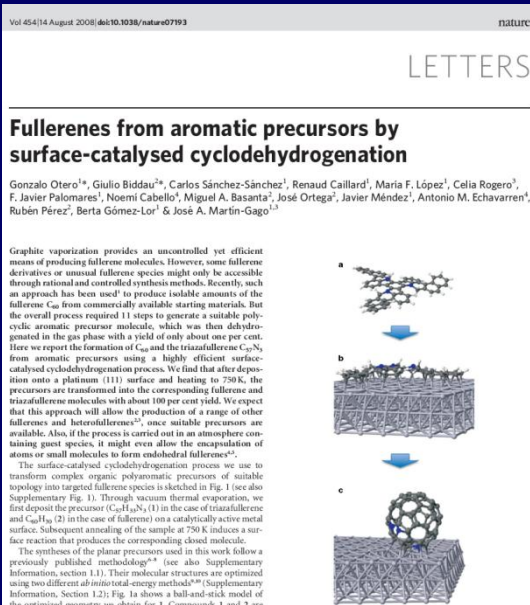
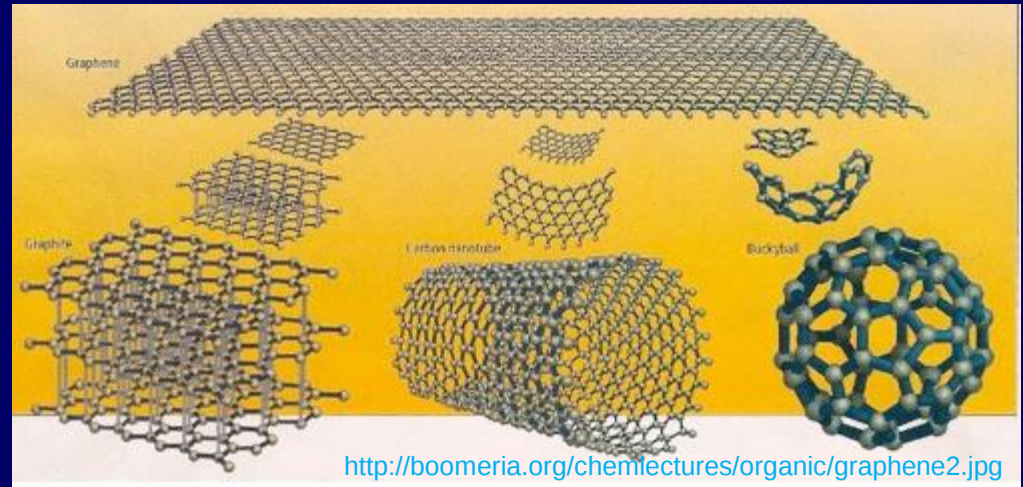
FIREBALL, OPENMX
CASTEP, VASP + vdW corrections

Electronic transport

Low-dimensional carbon materials

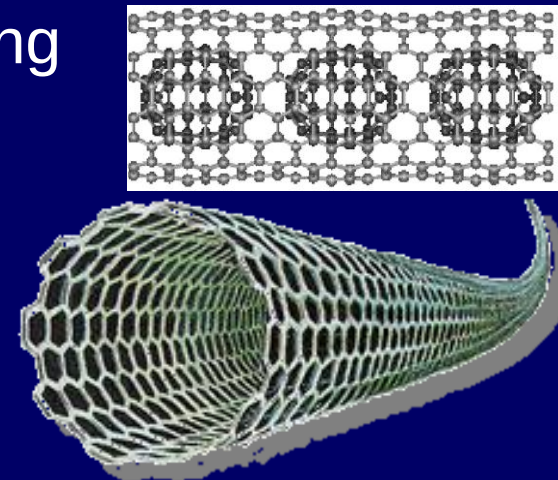
outstanding electronic and mechanical properties

- Graphene and graphene nanoribbons (2D)
- Carbon Nanotubes (1D)
- Fullerenes (0D)



And more: Controlled doping of carbon materials:

- Endo & exofullerenes, heterofullerenes, doped nanotubes and graphene nanoribbons, peapods,...



Tools to visualize, characterize and manipulate at the atomic scale: key step in turning these expectations into real devices

Outline

1. Frequency Modulation (FM) -AFM in a nutshell

2. Forces and currents in carbon nanostructures

Atomic contrast: PRL (29/04/2011, Editors' suggestion)

Vacancies on Graphene/Pt(111): PRL (accepted)

Are these defects magnetic?

3. Heterofullerenes from planar precursors:

Atomic-scale Origami: Nature 454, 865 (2008)

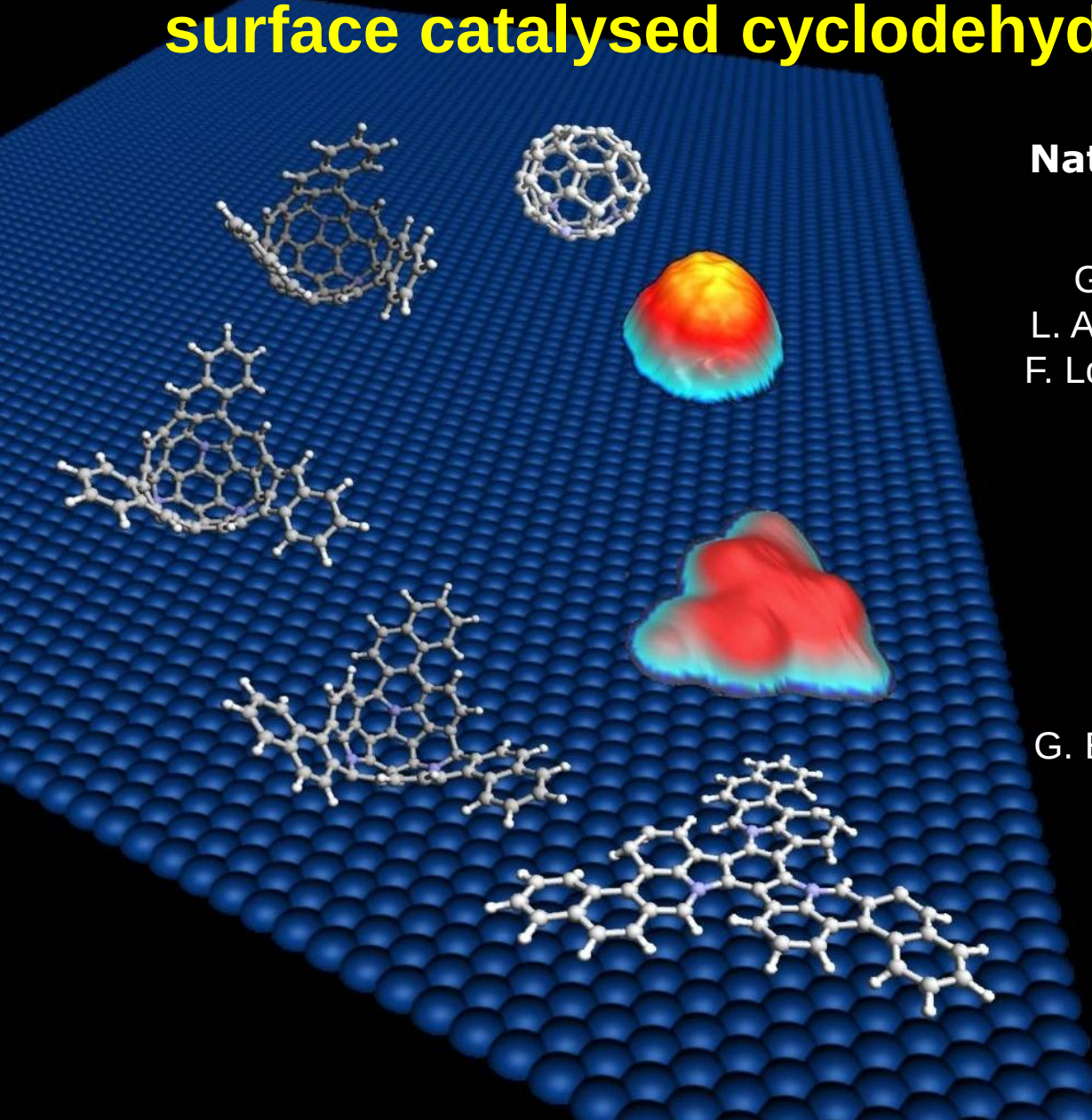
Surface induced enantiomeric recognition:

Chem. Euro.J. 6, 3920 (2010)

Vacancy network in Pt induced by C₆₀

Nature Materials (submitted)

Fullerenes from aromatic precursors by surface catalysed cyclodehydrogenation



Nature 454, 865 (2008)

G. Otero, C. Sánchez-Sánchez,
L. Alvarez, R. Caillard, C. Rogero, M.
F. López, F. J. Palomares, J. Méndez,
José A. Martín-Gago
(ICMM-CSIC, CAB)

N. Cabello, A. M. Echavarren,
B. Gómez-Lor
(ICIQ, ICMM)

G. Biddau, M. A. Basanta, J. Ortega,
R. Pérez
(UAM)

2. Frequency Modulation-AFM in a nutshell

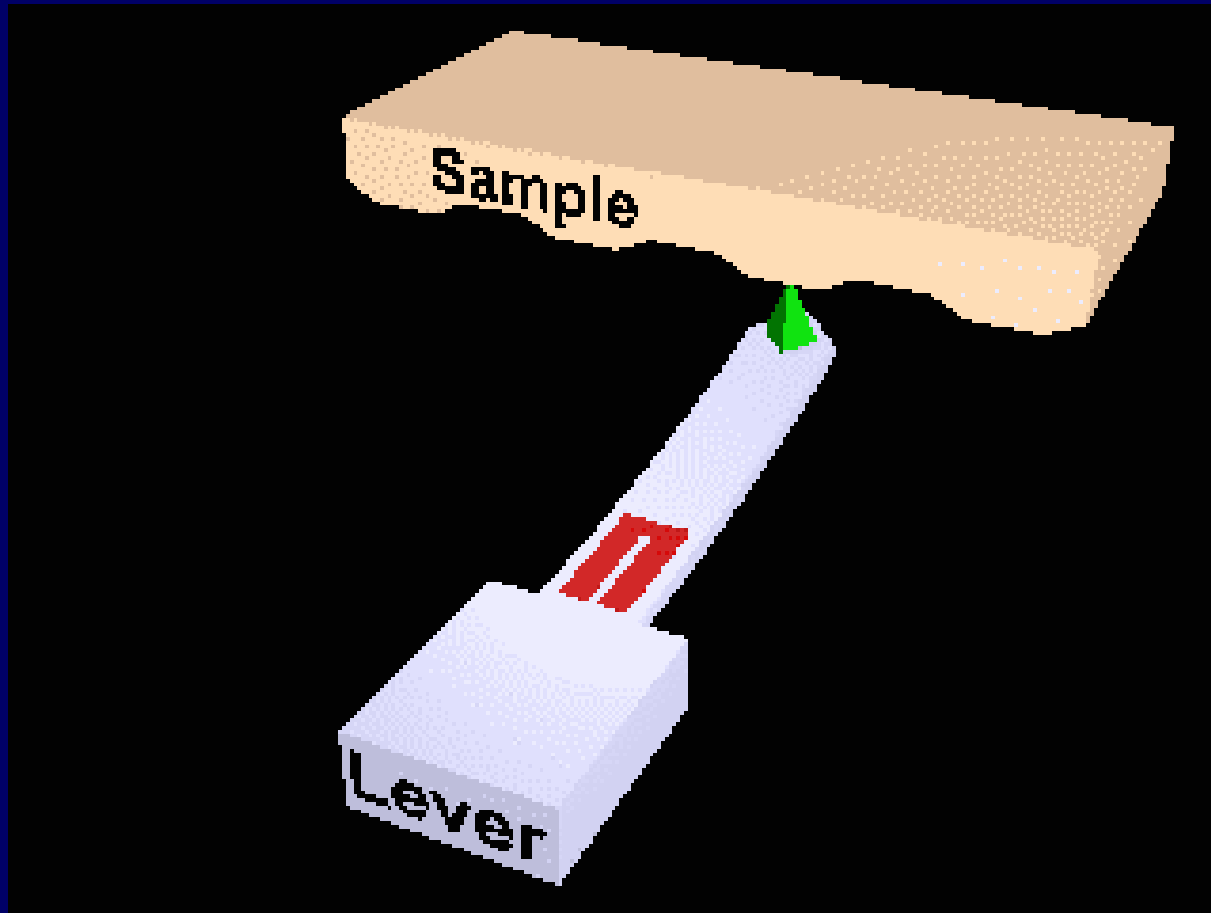
Developing new capabilities for the FM-AFM

Chemical identification: Nature 446, 64 (2007)

Atom Manipulation: Science 322, 413 (2008)

ATOMIC FORCE MICROSCOPY (AFM)

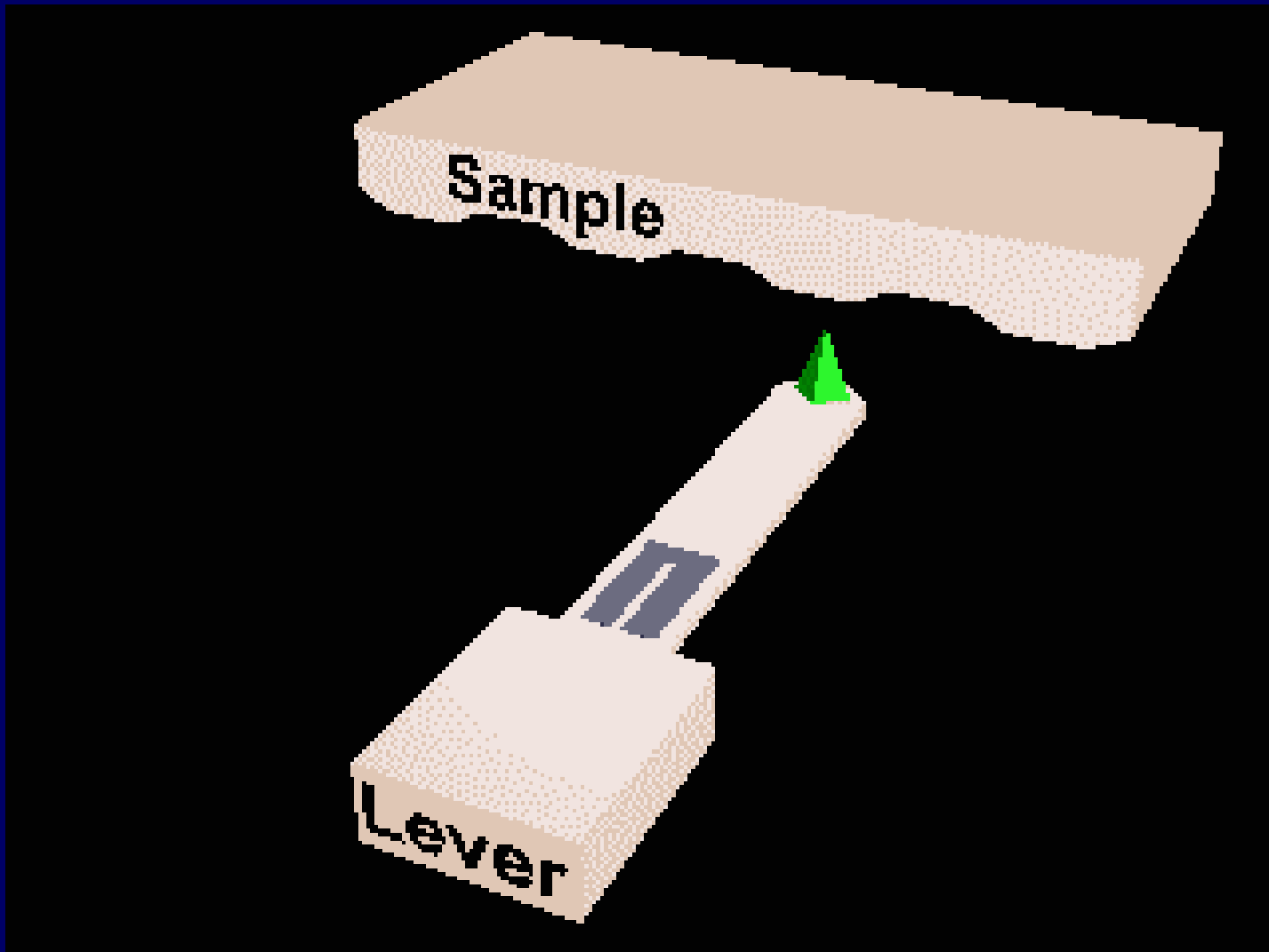
G. Binnig, C. Gerber & C. Quate, PRL 56 (1986) 930



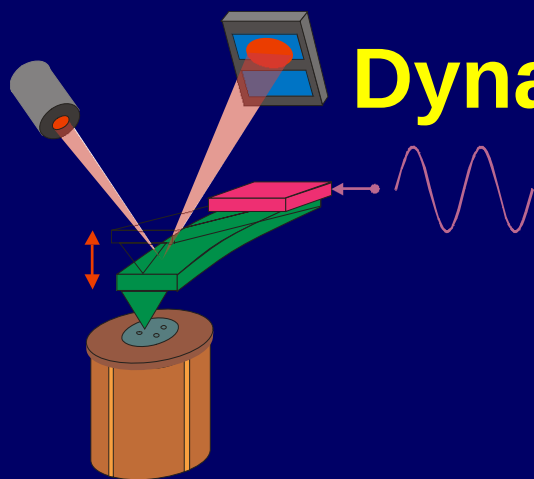
2nd most cited PRL: +5000 citations !!!

http://monet.physik.unibas.ch/famars/afm_prin.htm

Dynamic AFM

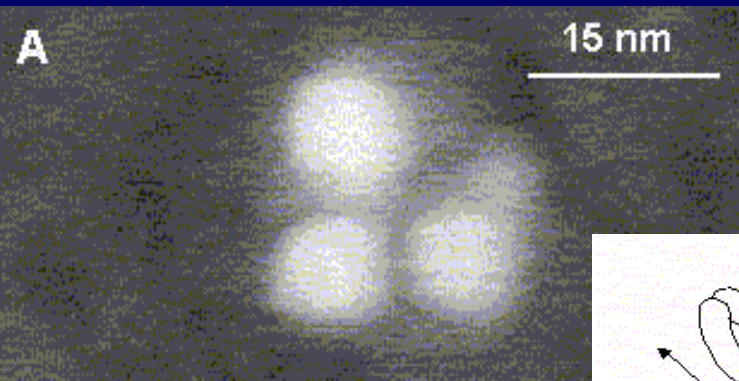


http://monet.physik.unibas.ch/famars/afm_prin.htm

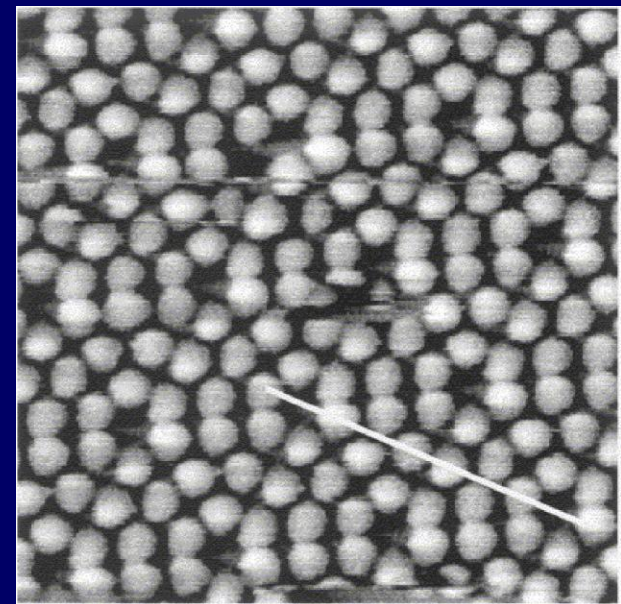
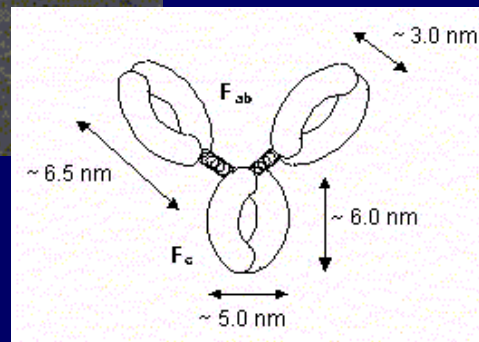


Dynamic AFM: Our Goal

Why changes observed in the dynamic properties of a vibrating cantilever with a tip that interacts with a surface make possible to:



AM-dAFM



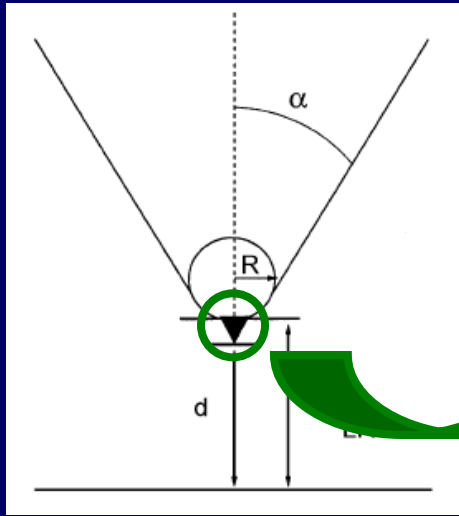
- Obtain **molecular resolution** images of biological samples in **ambient conditions**.

- Resolve **atomic-scale** defects in **UHV**.

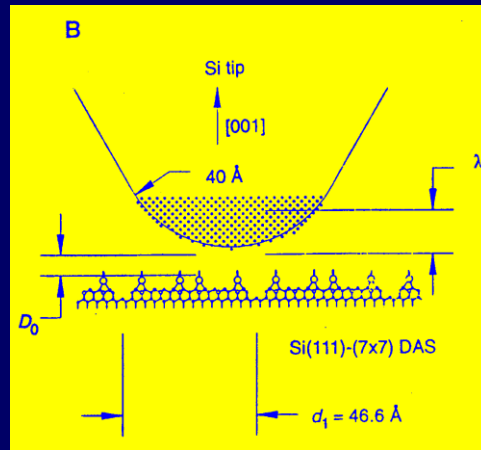
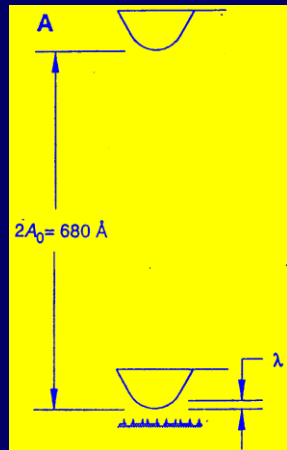
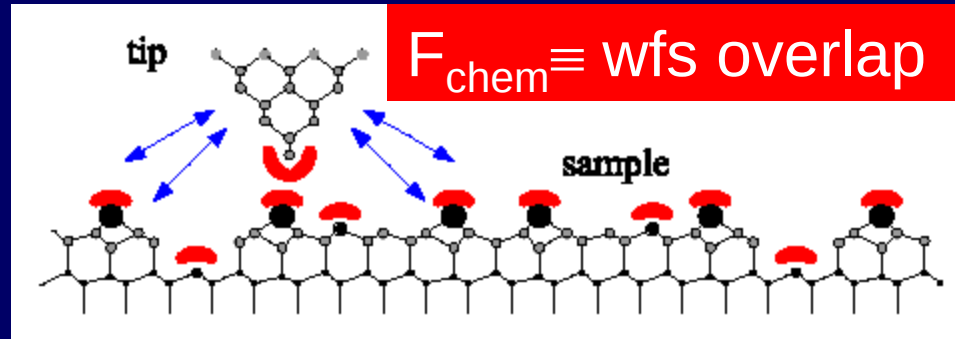
FM-dAFM

Tip-sample Interaction: $F_V + F_{vdW} + F_{chem}$

$$F_V = -\pi\epsilon_0(V_s - V_c)^2 \left\{ \frac{R}{d_{LR}} + s(\alpha) \left[\ln \left(\frac{L}{d_{LR} + R_\alpha} \right) - 1 \right] - \frac{R[1 - s(\alpha) \cos^2 \alpha / \sin \alpha]}{d_{LR} + R_\alpha} \right\}$$



$$F_{vdW} = -\frac{H}{6} \left\{ \frac{R}{d_{LR}^2} + \frac{\tan^2 \alpha}{d_{LR} + R_\alpha} - \frac{R_\alpha}{d_{LR}(d_{LR} + R_\alpha)} \right\}$$



Sensitivity to Short-Range Forces?

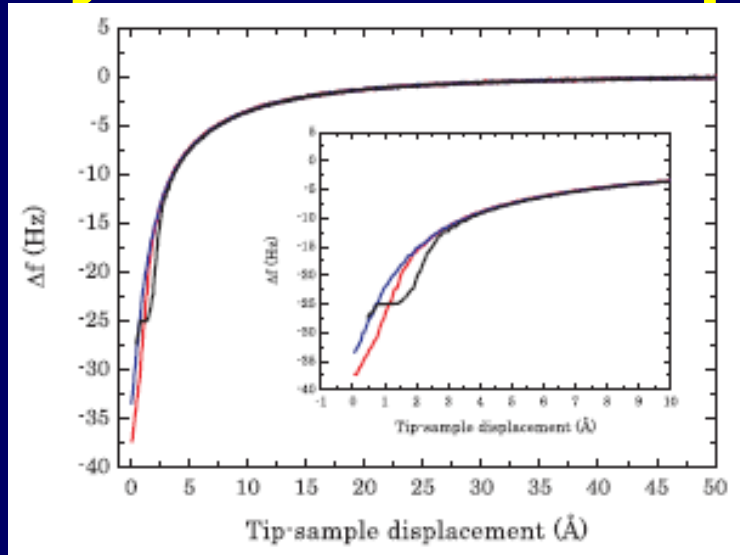
$$\Delta f(d) = \frac{f_0}{\pi k a_1} \int_{-1}^1 F_{ts}[d + a_1(1 + u)] u \frac{du}{\sqrt{1 - u^2}}$$

$$u = \cos(\omega t)$$

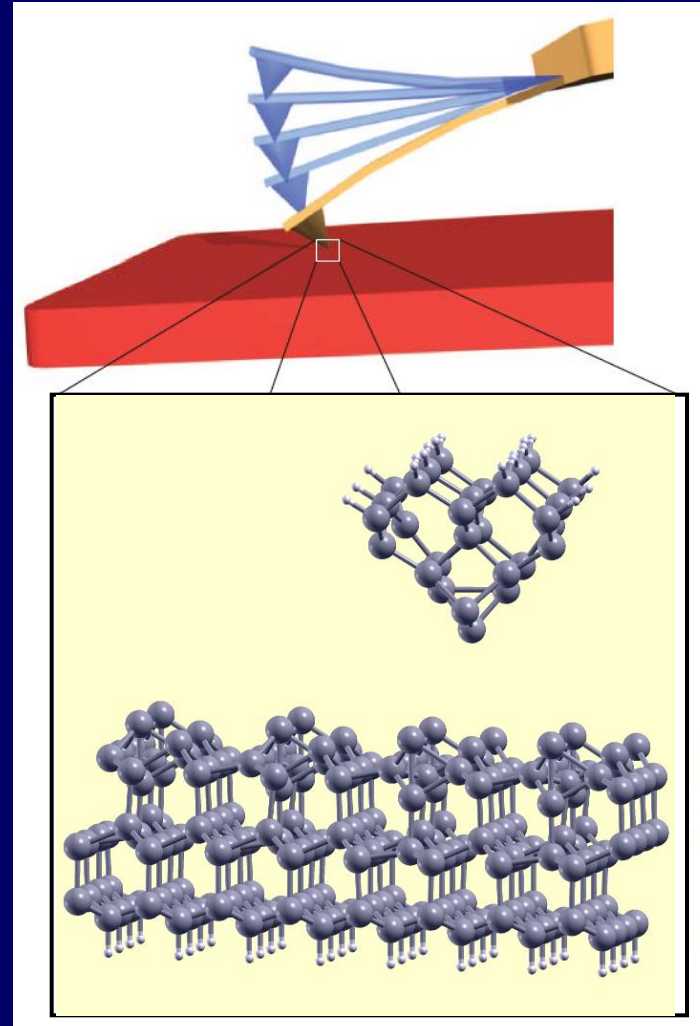
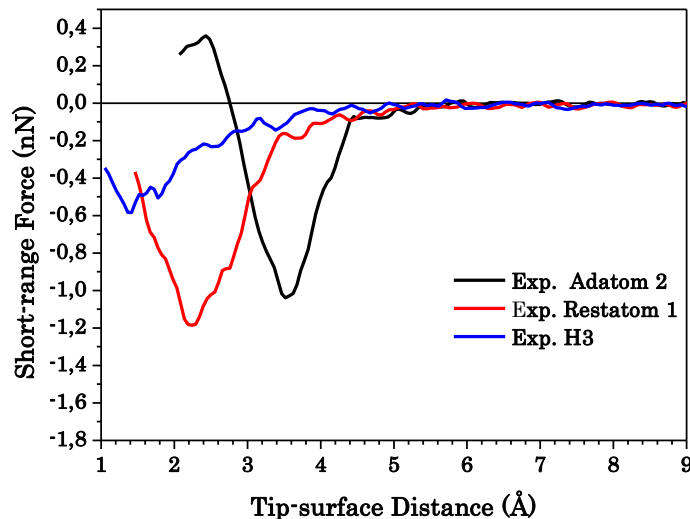
Weak singularity at turning points !!!!

Exp: $A = 340 \text{ \AA}$!!!, $R = 40 \text{ \AA}$

Dynamic Force Spectroscopy: Access to F_{ts}

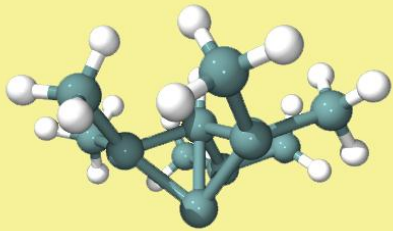


Inversion
algorithms

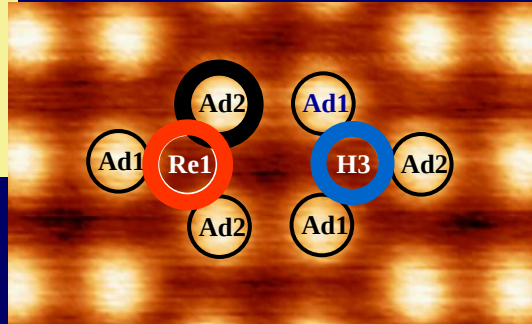


SR forces amenable to
ab initio calculations

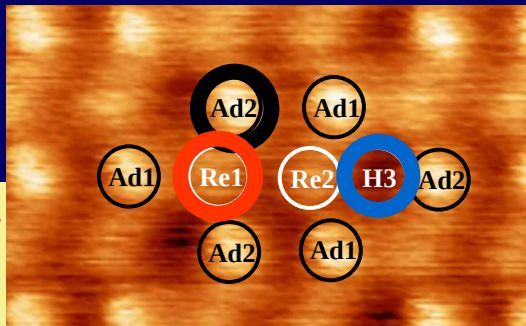
Force spectroscopy: Tip identification



Tip A

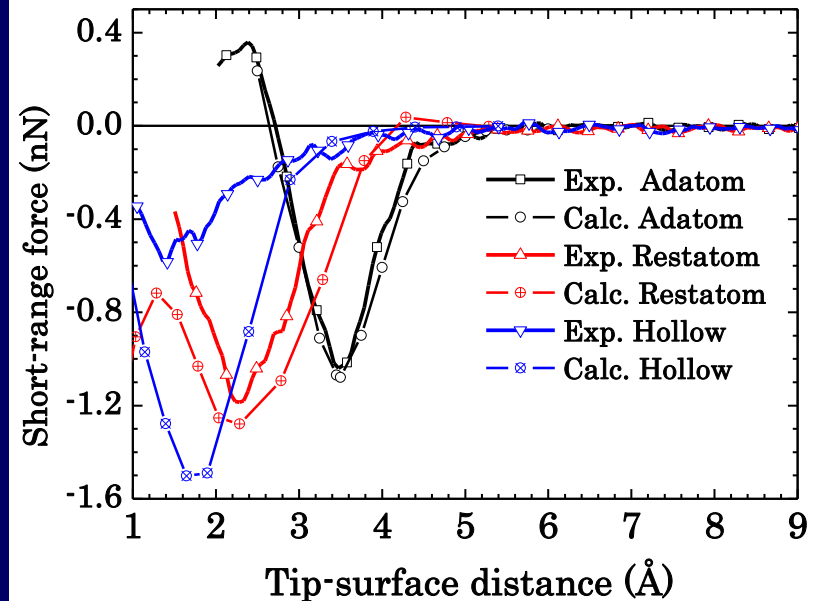
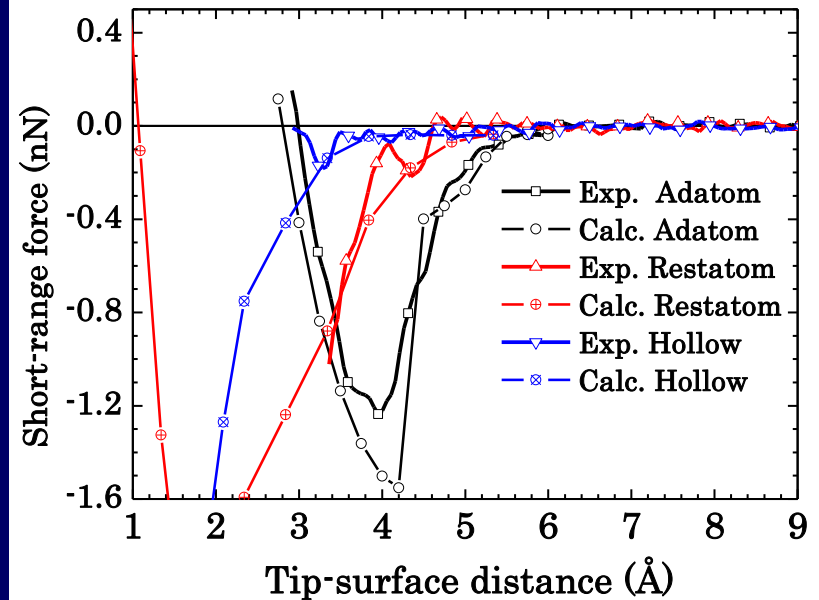
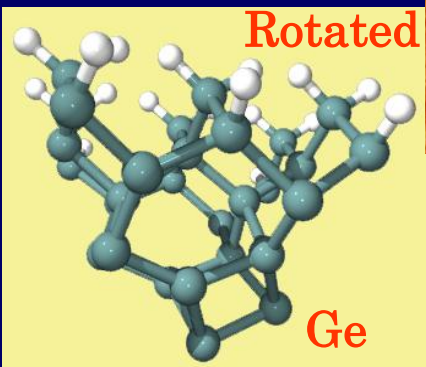


Tip B



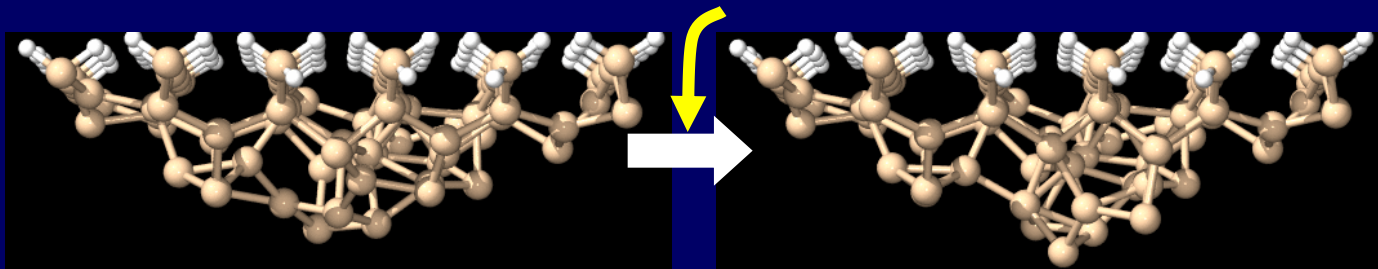
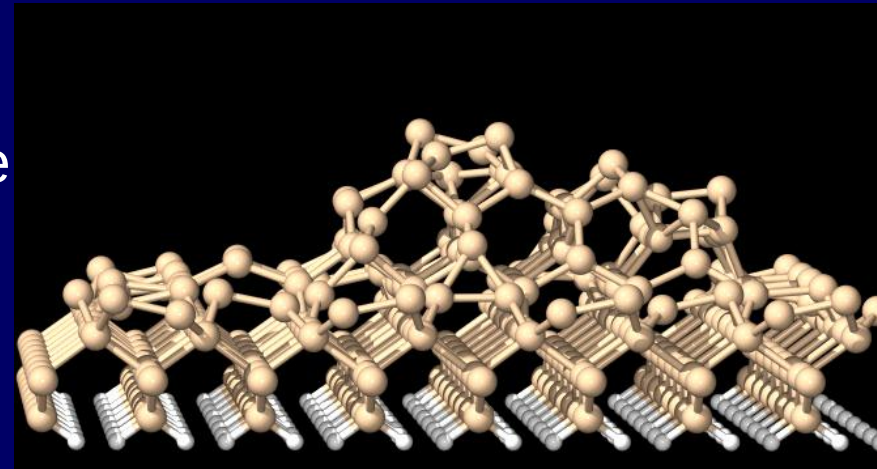
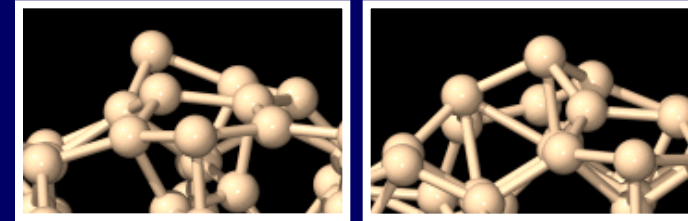
Rotated

Ge



Structure & Stability of Semicond. Tip apexes

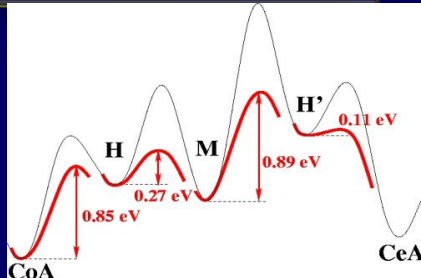
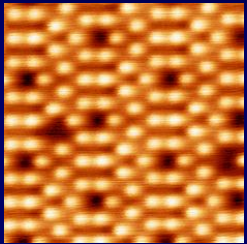
1. Structure of the outermost atoms: Tip terminations (T4, dimer) proposed in previous works are stable.
2. Last atomic layers: Both **crystalline** & **amorphous** solutions are possible
3. Sharpening. Atomically sharp tips are stable. Tip-Sample interaction helps to produce atomically sharp tips. (More work is needed.)



P. Pou, S.A. Ghasemi, P. Jelinek, T. Lenosky, S. Goedecker & R. P.
Nanotechnology 20, 264015 (2009)

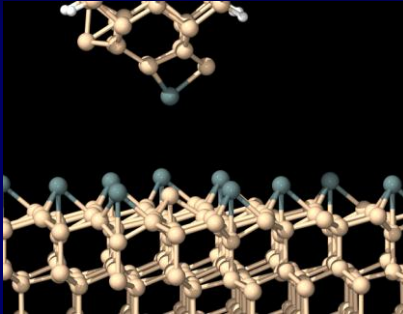
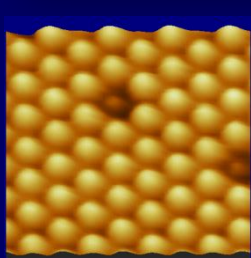
FM-AFM: Atomic contrast with SR Forces

Lateral Manipulation



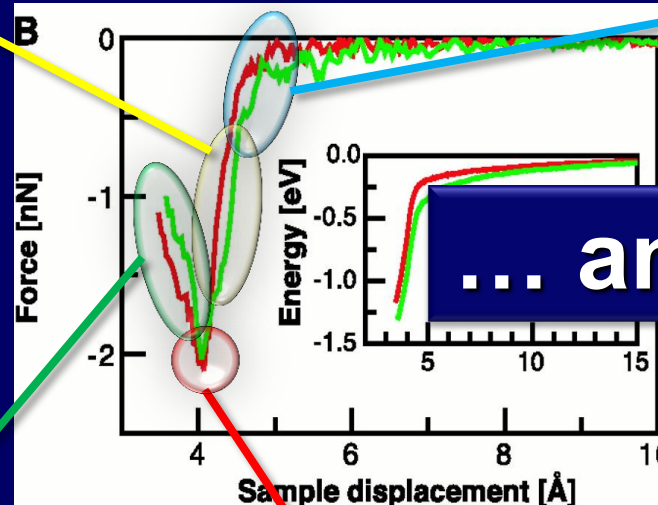
Y. Sugimoto et al PRL 98, 106104 (2007).

Vertical Manipulation



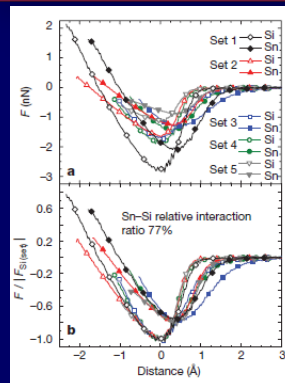
Y. Sugimoto et al, Science 322 (2008) 413

O. Custance et al, Nat. Nano 4 (2009) 803



M. Lantz et al., Science 291, 2580 (2001)

Chemical Identification

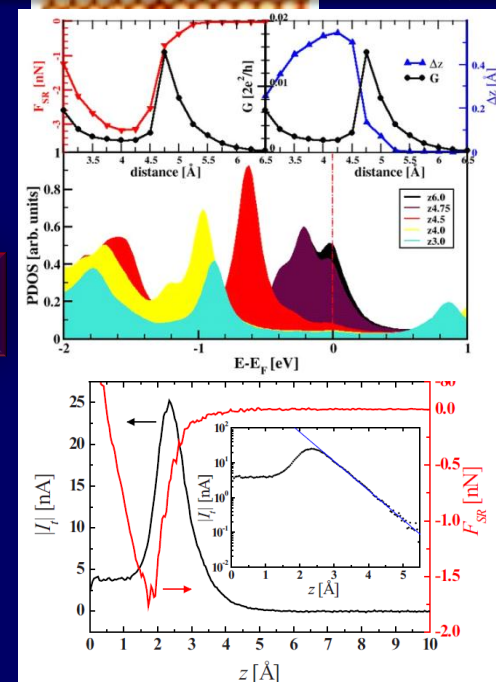


Y. Sugimoto et al, Nature 446 (2007) 68

Imaging

access to the real surface structure

... and currents



P. Jelinek, et al, PRL 101 (2008) 176101

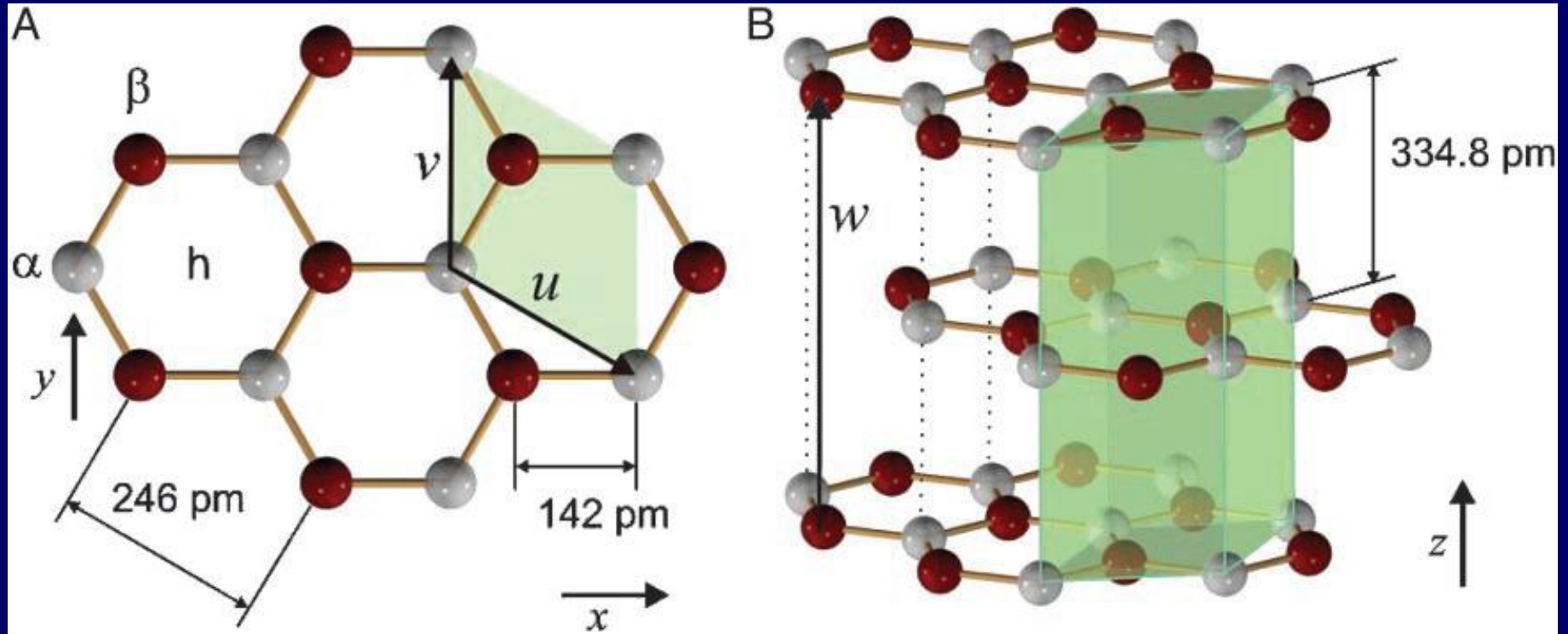
D. Sawada et al, APL (2009) 173117

3. Forces and currents in Carbon Nanostructures: Are we imaging atoms?

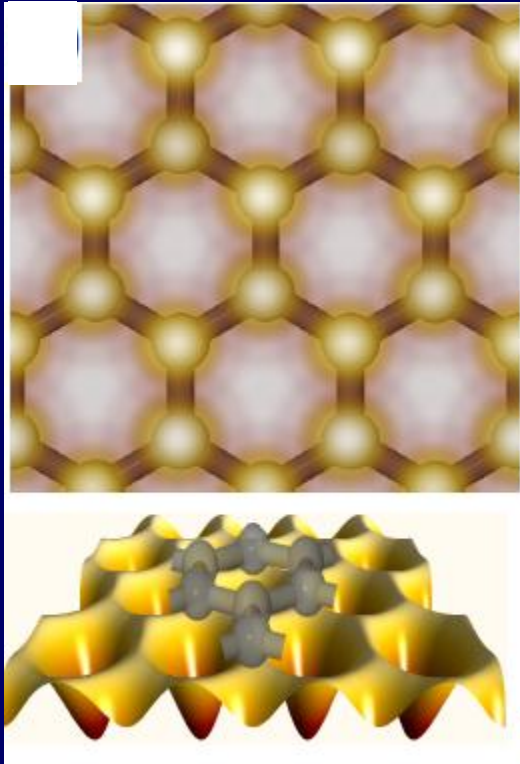
Atomic contrast: PRL 106 (2011) 176101(Editors' suggestion)

Vacancies on Graphene/Pt(111): PRL (accepted)

Graphite: Bernal stacking

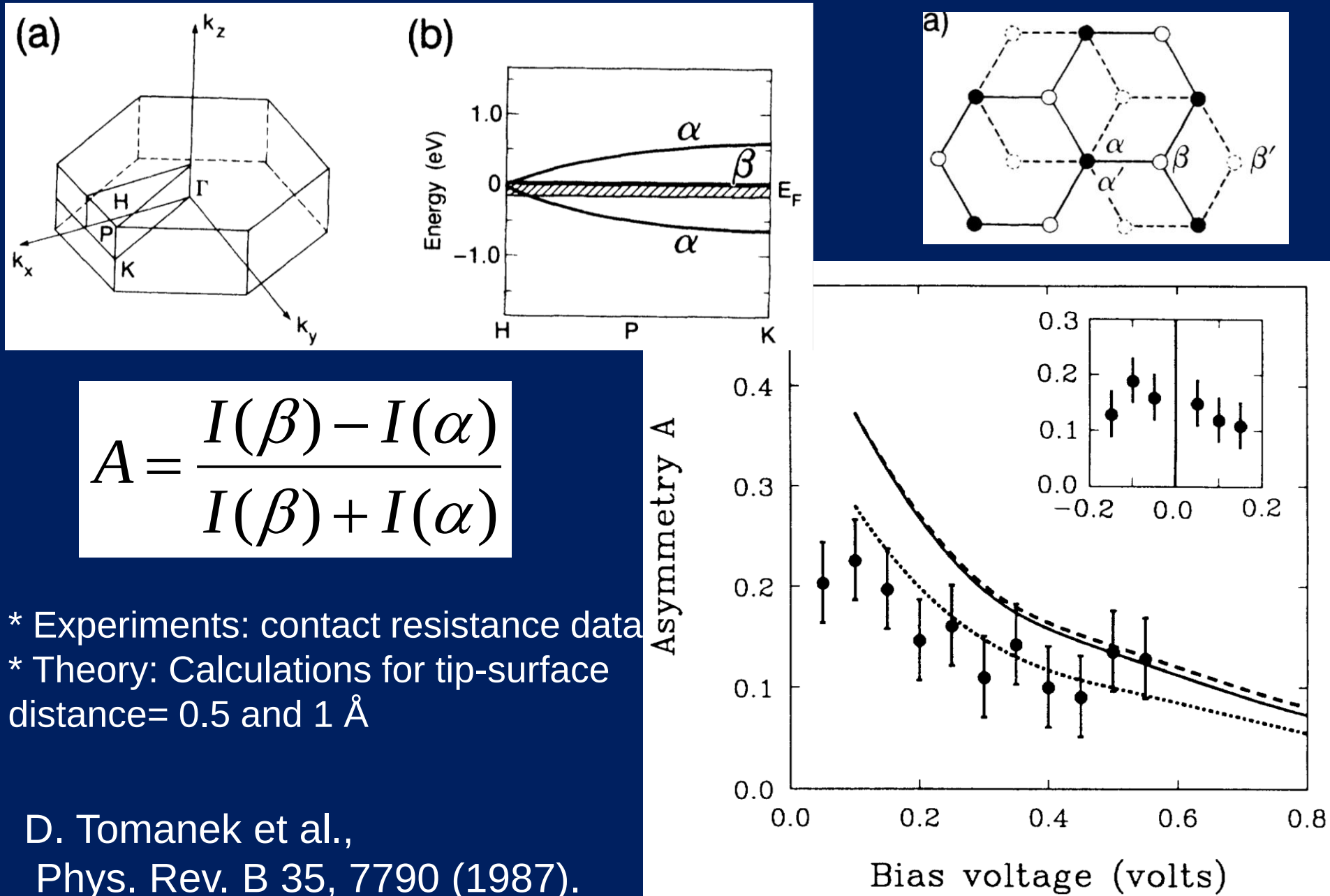


Atomic-scale contrast: maxima on the atoms $\Rightarrow$ honeycomb image



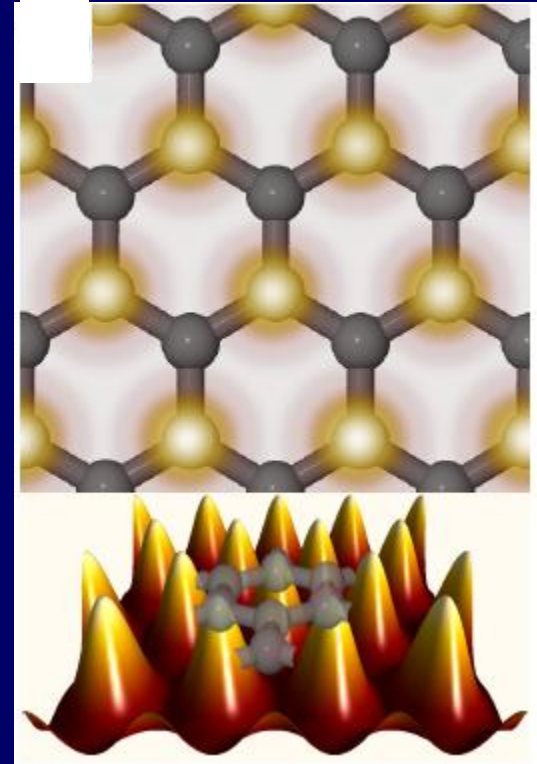
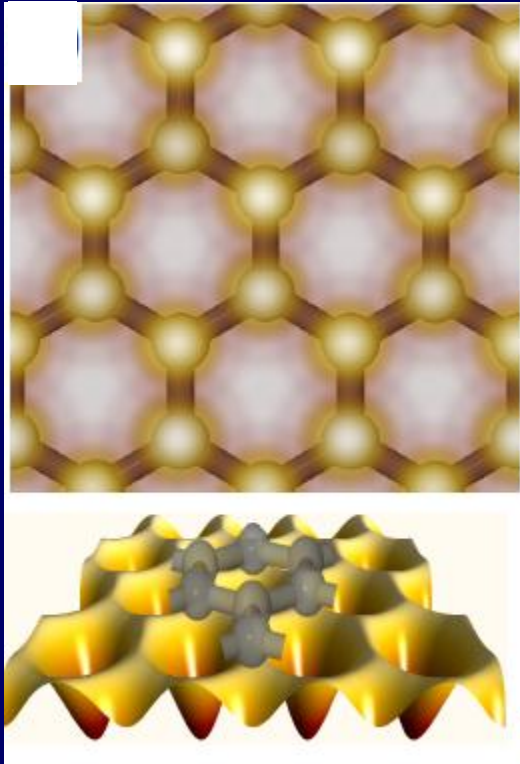
STM Experiments:
bright protrusions form
an **hexagonal lattice** !!

Low-bias STM: Imaging of the β sublattice



D. Tomanek et al.,
 Phys. Rev. B 35, 7790 (1987).

Atomic-scale contrast: honeycomb vs hexagonal

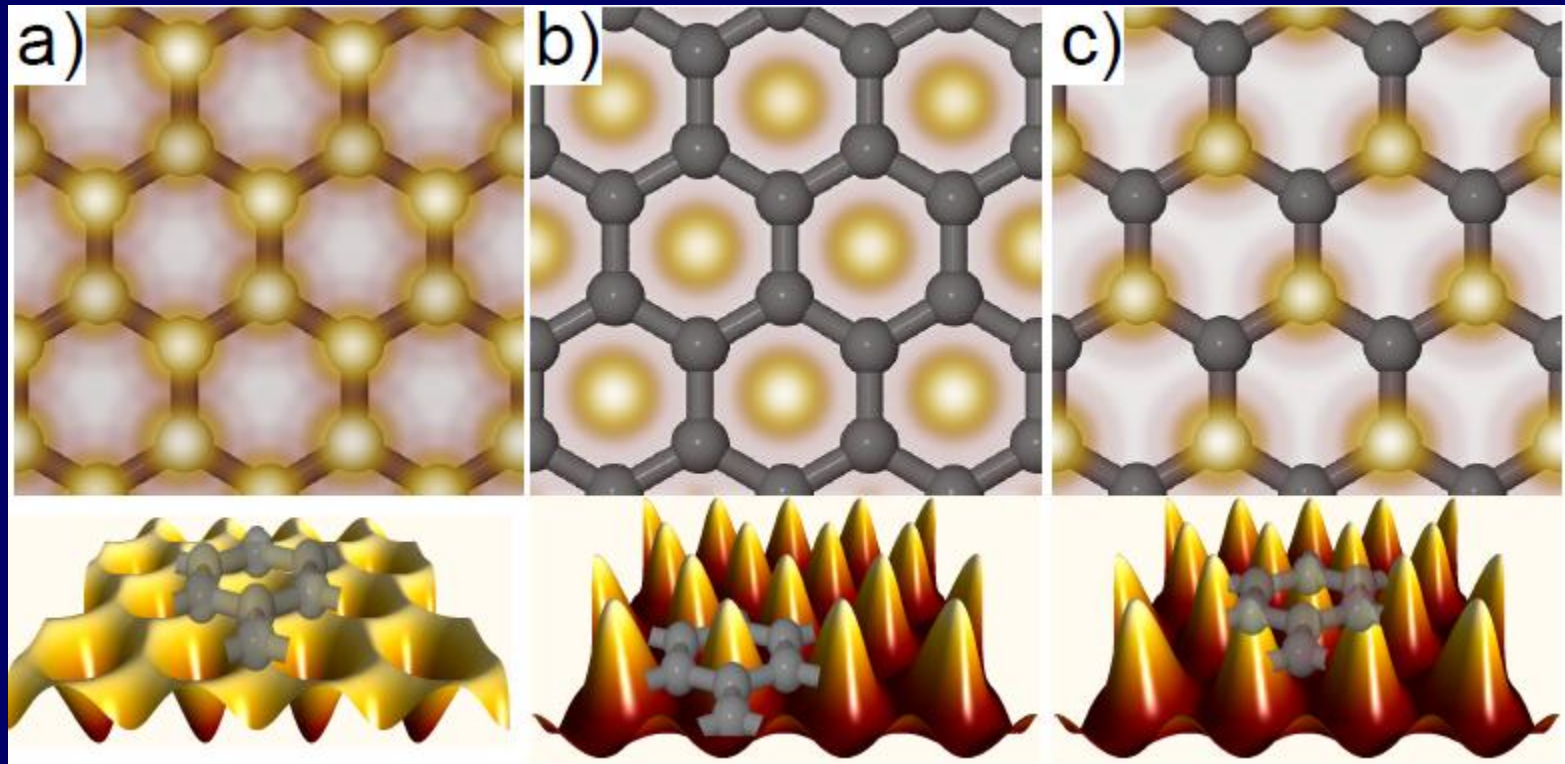


Atomic-scale contrast: maxima on the atoms or hollow sites?

honeycomb

hexagonal

hexagonal



STM images taken with very different operation conditions and bias voltages show always an hexagonal symmetry...

SPM on low dimension carbon materials

STM: Graphite (>25 years ago)

FM-AFM (>10 years):

- **Graphite**

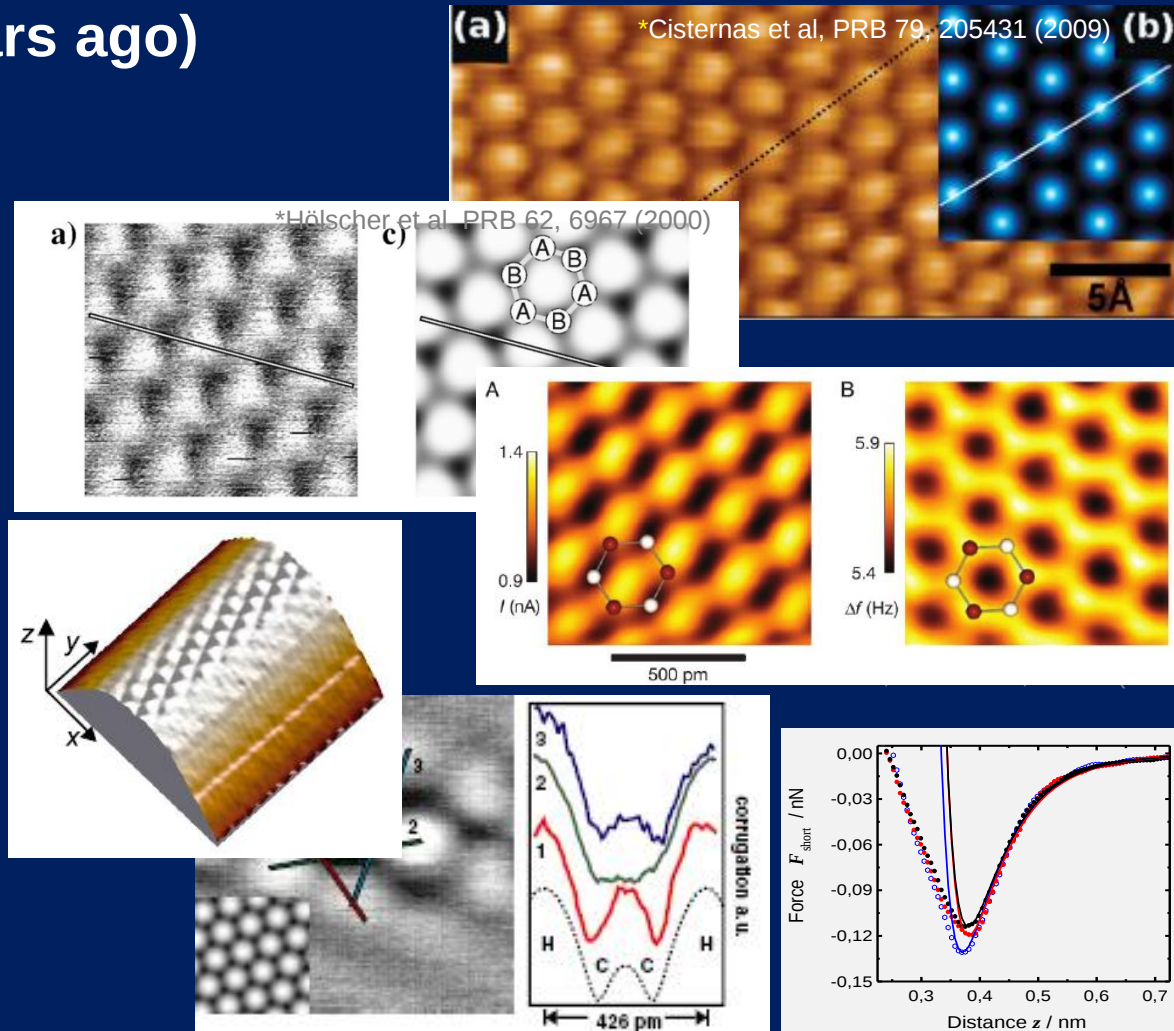
- Albers et al, Nature Nano 2009;
- Hembacher et al, PRL 2005;
- Hembacher et al, PNAS 2003;
- Hölscher et al, PRB 2000;...

- **Carbon Nanotubes**

- Ashino et al, PRL 2004;
- Ashino et al nanotech 2005;...

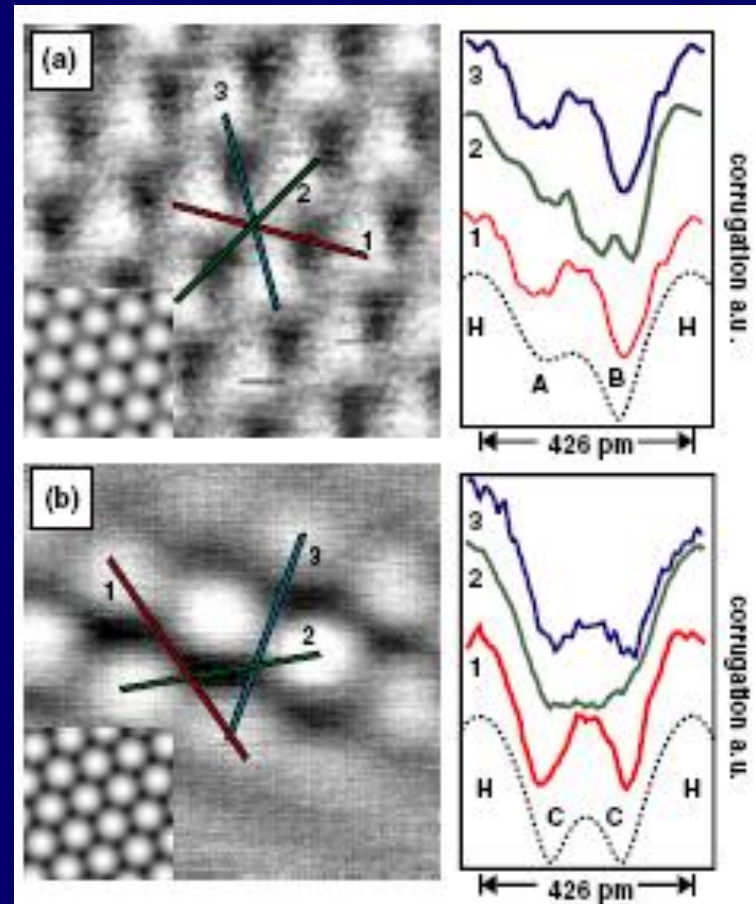
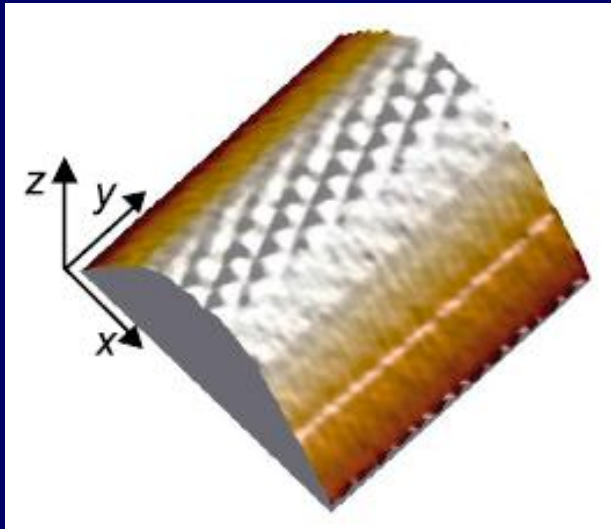
- **PAHs: Pentacene**

- Gross et al, Science 2009



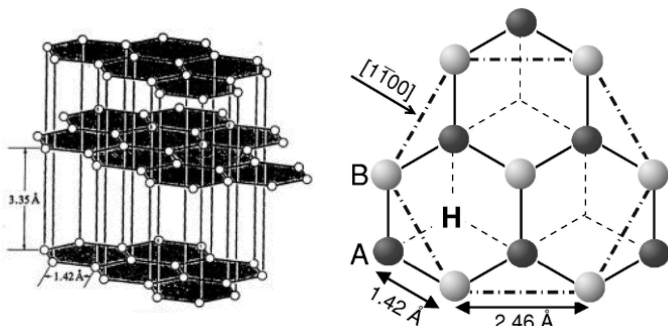
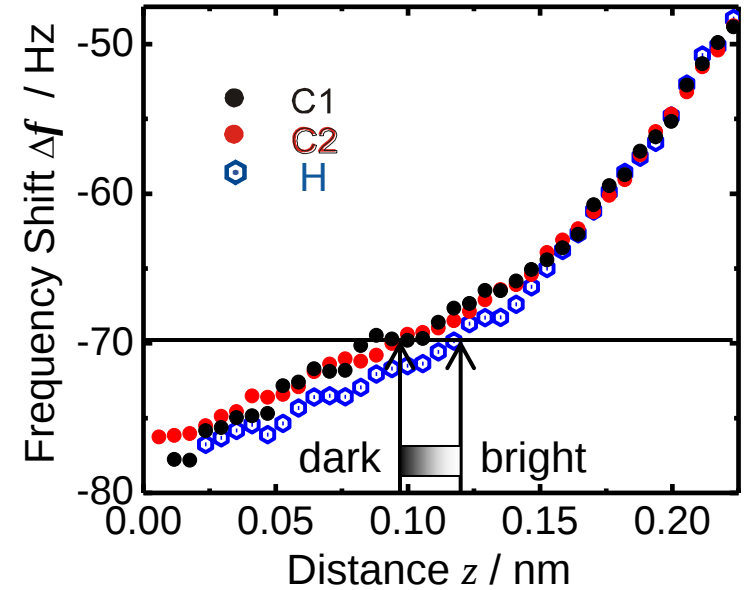
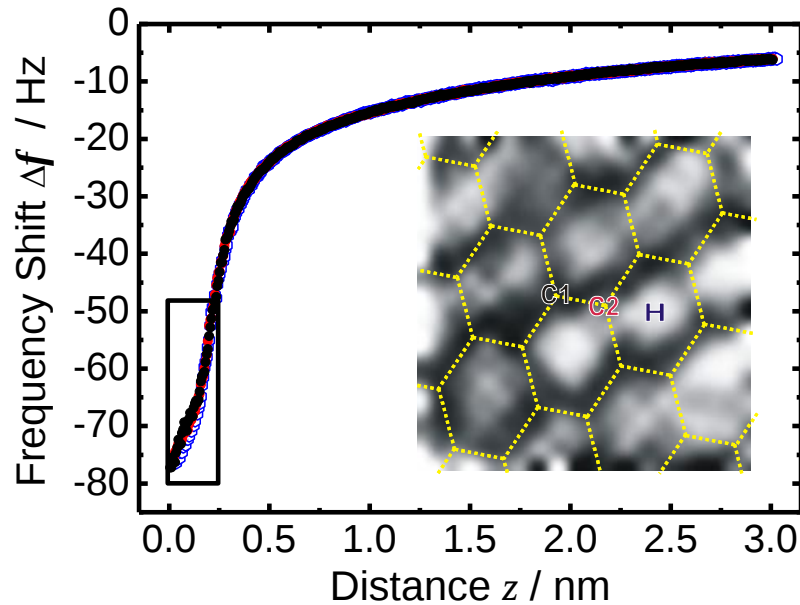
*Ashino et al, Nanotec. 16, S134 (2005)

Imaging nanotubes with FM-AFM

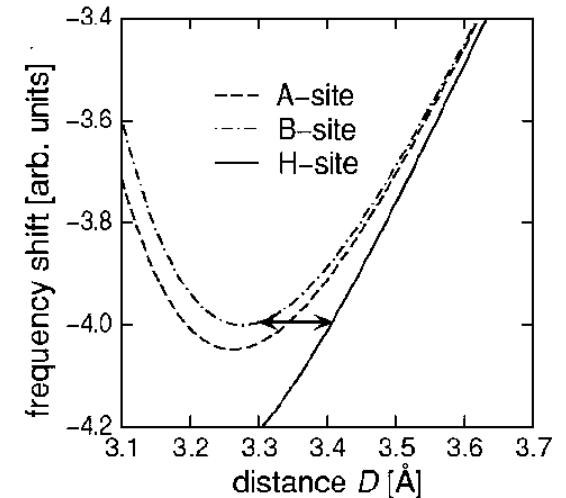
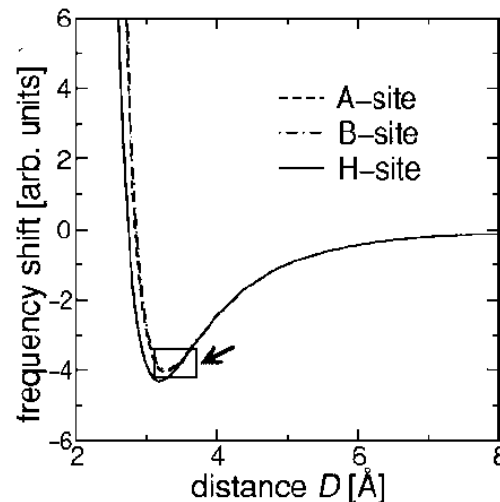


M. Ashino et al, Phys. Rev. Lett. 93, 136101 (2004).
M. Ashino et al., Nat. Nanotechnol. 3, 337 (2008).

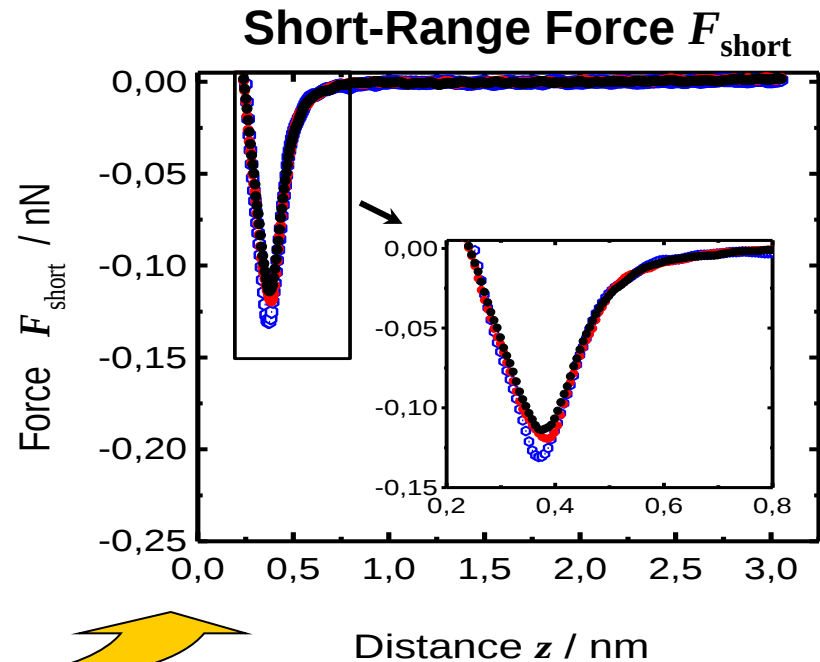
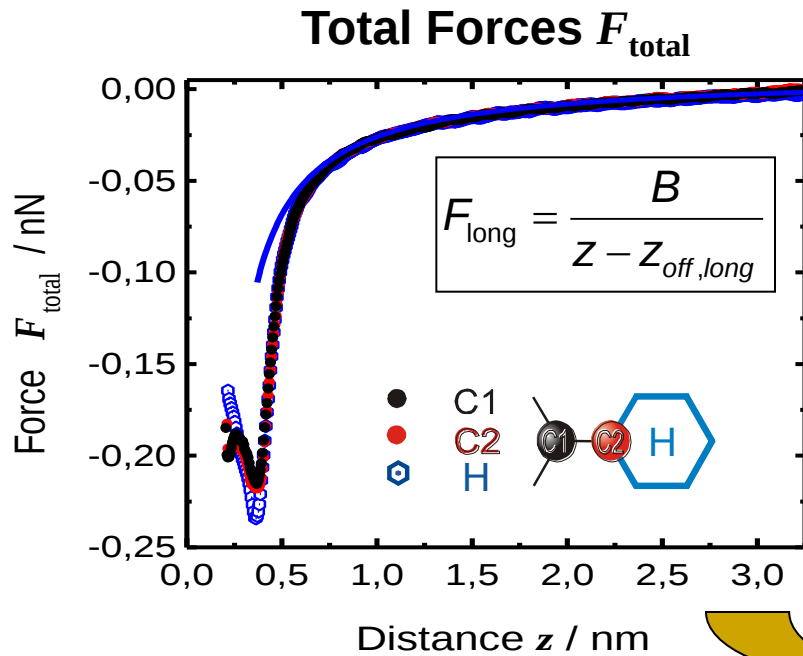
Frequency Shift vs Distance Curves @ Individual Atomic Lattice Sites



H. Hölscher *et al.*,
PRB 62, 6967 (2000).



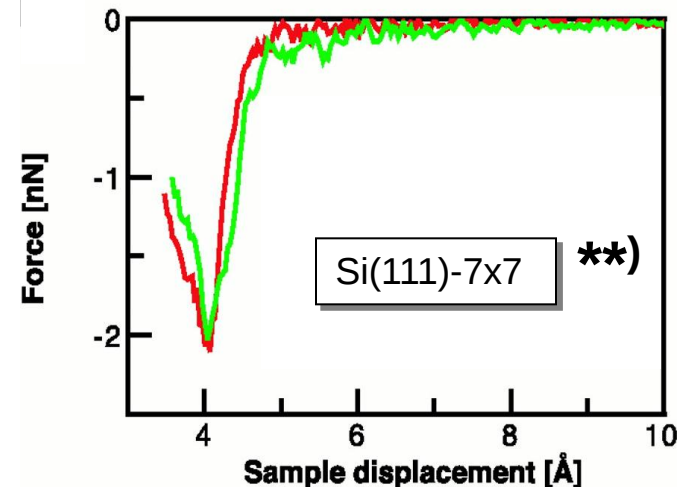
Analysis of Short-Range $F_{\text{short}}(z)$ Curves



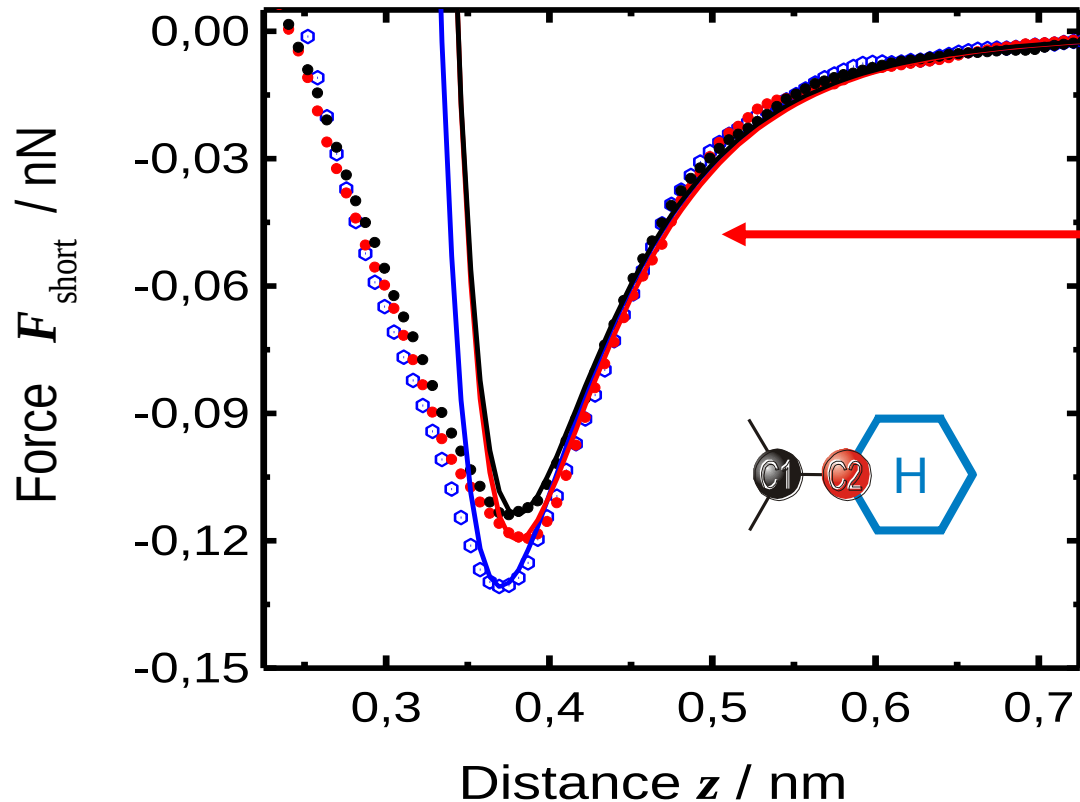
$$F_{\text{short}} = F_{\text{total}} - F_{\text{long}} \quad *)$$

*) Guggisberg *et al.*, PRB **61**, 11151 (2000).

) M. Lantz *et al.*, Science **291, 2580 (2001).



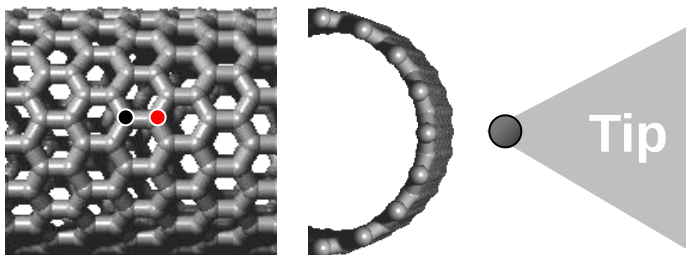
Analysis of Short-Range $F_{\text{short}}(z)$ Curves



Forces derived from
Lennard—Jones Potential

$$F_{L-J}(z) = \frac{12E_0}{z_0} \left[\left(\frac{z_0}{z} \right)^{13} - \left(\frac{z_0}{z} \right)^7 \right]$$

- E_0 = **Binding Energy**
- z_0 = Equilibrium Distance
($z_0 \equiv 0.334 \text{ nm}$)



$$E_0(\text{C1}) \approx 87.4 \text{ meV}$$

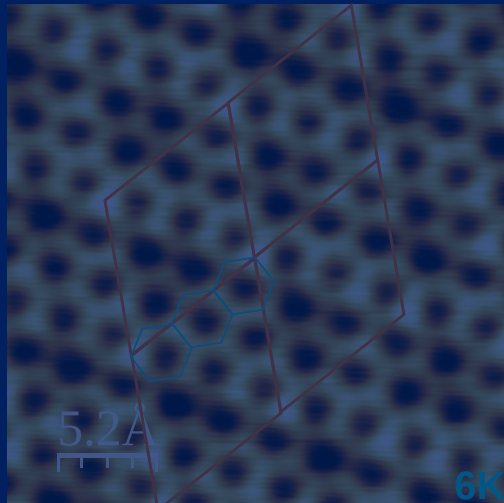
$$E_0(\text{C2}) \approx 91.8 \text{ meV}$$

$$E_0(\text{H}) \approx 101 \text{ meV}$$

Origin of the atomic contrast?

- FM-AFM: DFT+vdW Forces on SWCNT and graphite (0001)
 - Is vdW providing atomic resolution?
 - atomic contrast versus tip reactivity
- Does STM always image atoms?: multiple scattering effects

- Graphene on Pt(111):
Moire patterns



- Vacancies on Gr/Pt(111):
Are the localized states on the
vacancy preserved?

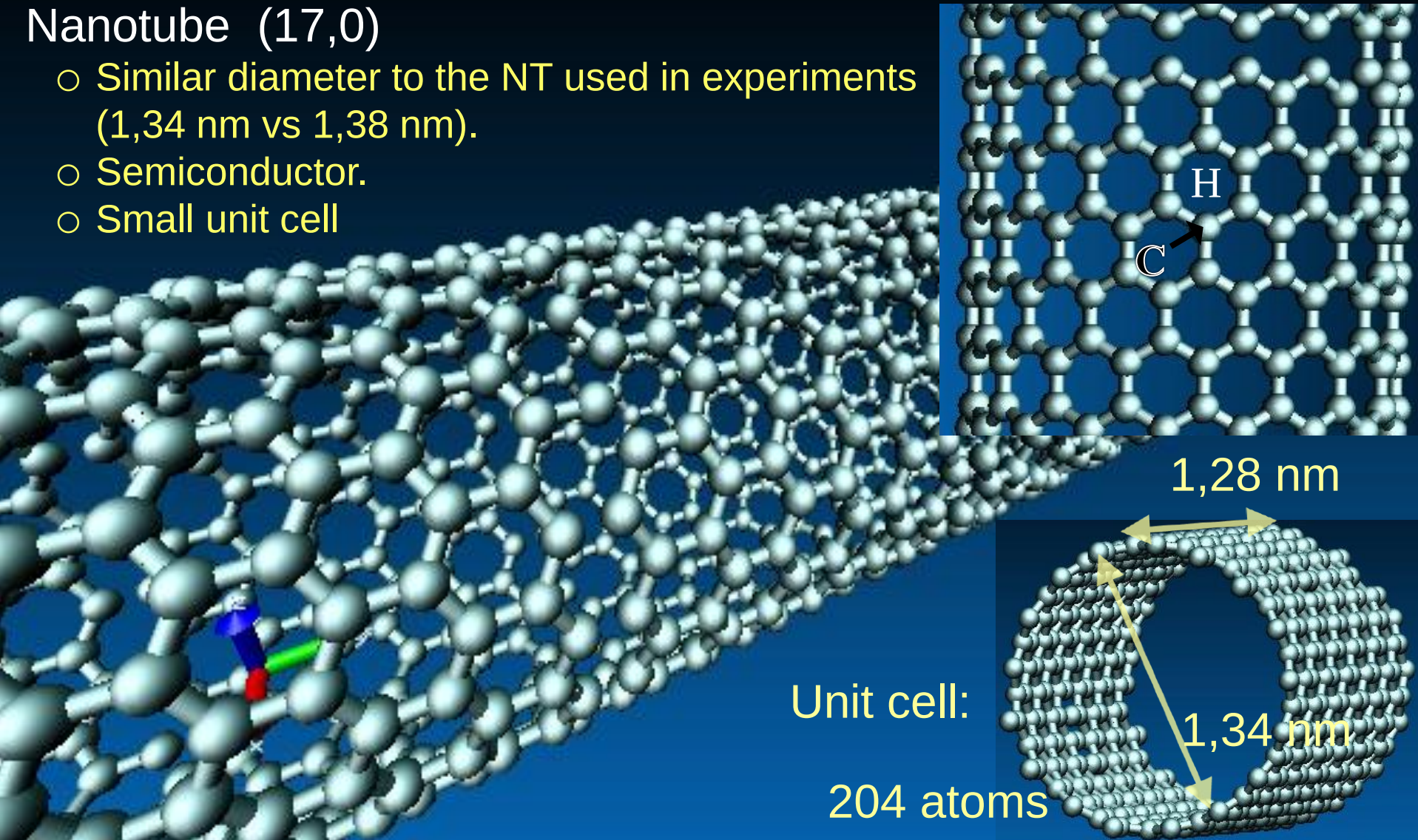


M. M. Ugeda et al, PRL 104, 096804 (2010)

DFT+vdW calculations on CNT: system

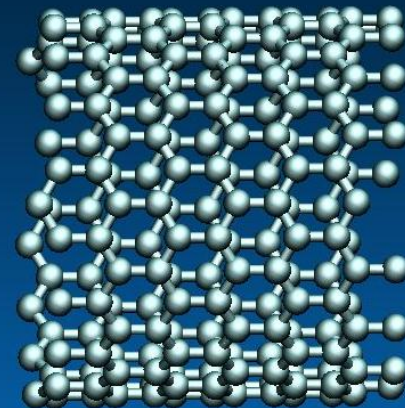
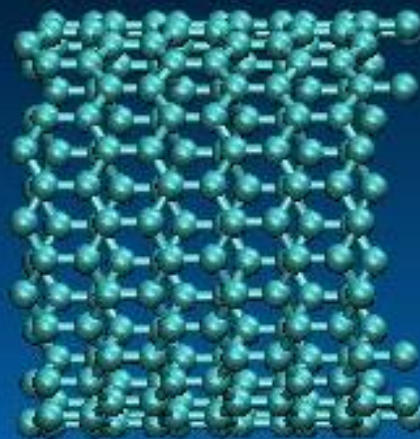
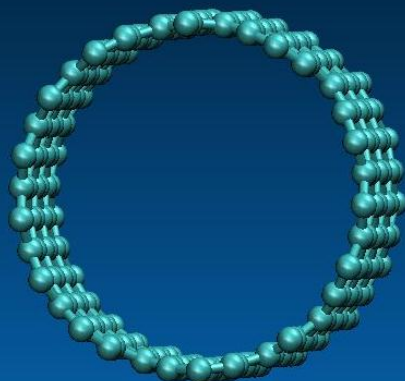
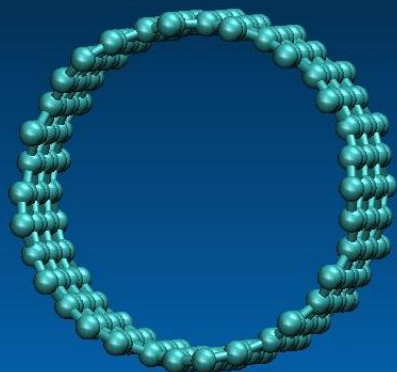
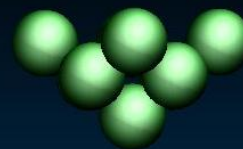
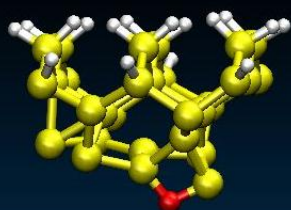
Nanotube (17,0)

- Similar diameter to the NT used in experiments (1,34 nm vs 1,38 nm).
- Semiconductor.
- Small unit cell



DFT+vdW calculations on CNT: Tips

CHEMICAL REACTIVITY



O apex atom
in a Si tip

Dimer Si tip

H3 Si tip

Metallic W tip

DFT+vdW calculations on NT: method

Atomistic simulations with DFT + vdW:

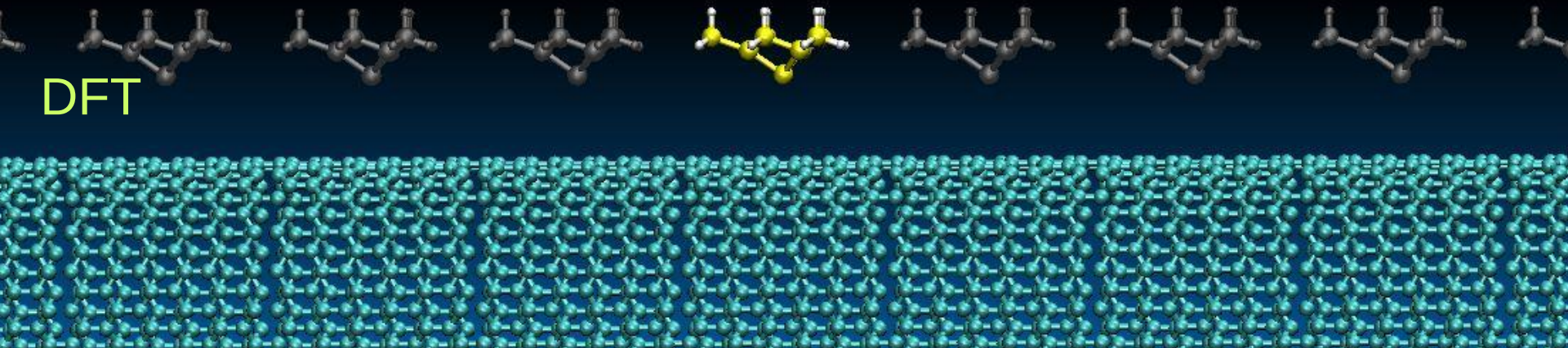
- DFT calculation:
 - VASP: PW + PAW pseudopotentials
 - Electronic exchange correlation: PBE (also LDA)
 - Supercell calculation, 1x4x1 Monkhorst–Pack (MP) E_k -sampling mesh. Convergence criteria: $E_{\text{cut}} = 500$ eV. Forces < 0.01 eV/Å
- vdW calculation:
 - Grimme approach [S. Grimme, *J. Comp. Chem.*, **25** 1463 (2004)]
 - Atomic relaxations included
 - Calculation taking into account the Tip and a large NT fragment (not supercell approach)

$$E_{\text{TOTAL}} = E_{\text{DFT}} + E_{\text{vdW}}$$

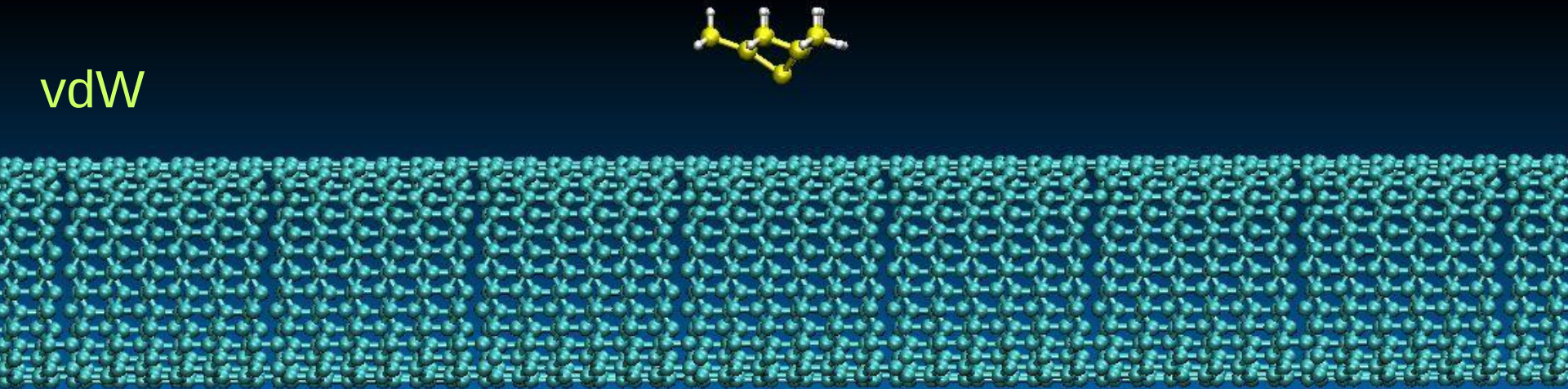
DFT+vdW calculations on NT: method

Supercell for DFT vs nanotube + single tip for vdW

DFT

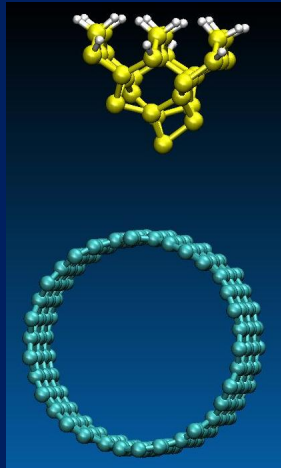


vdW



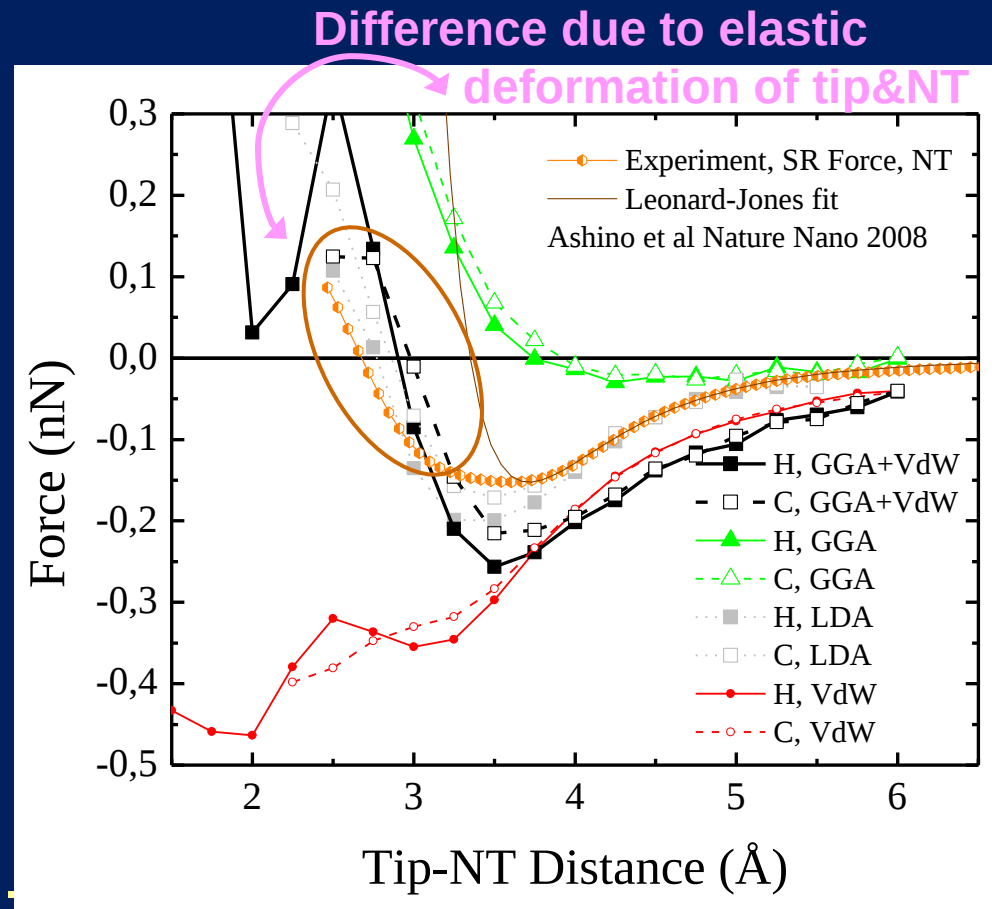
DFT+vdW calculations on NT: Results

Dimer Si tip



Forces vs experiments:

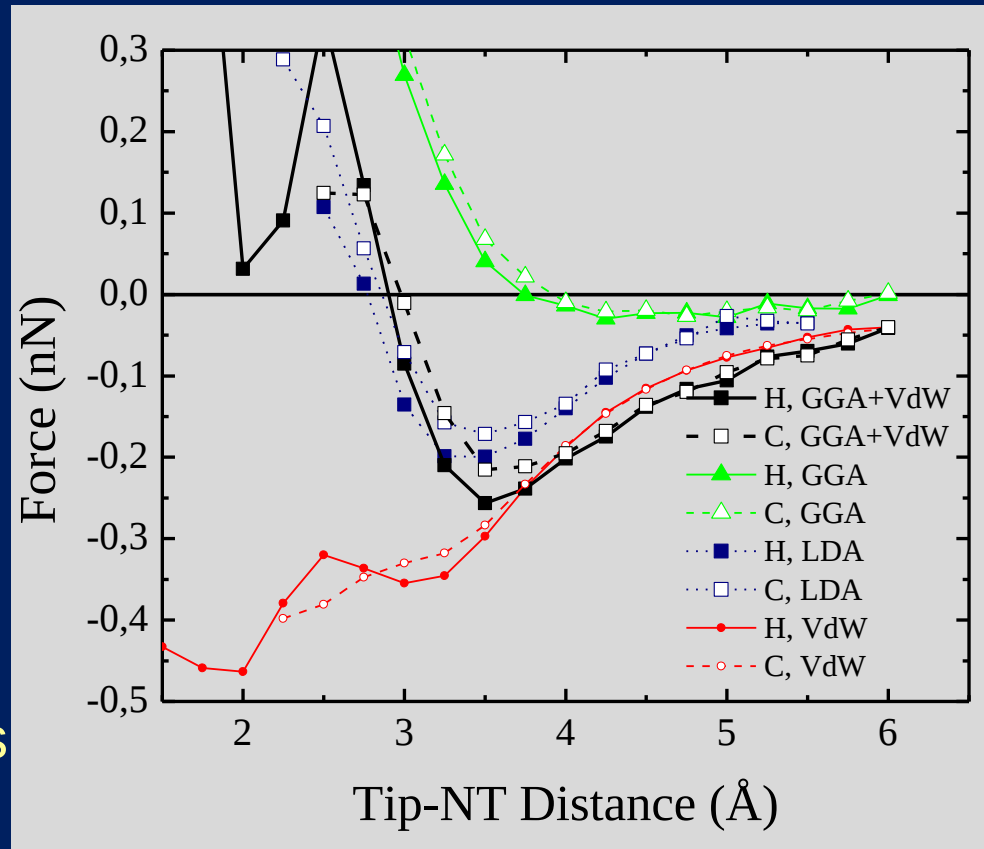
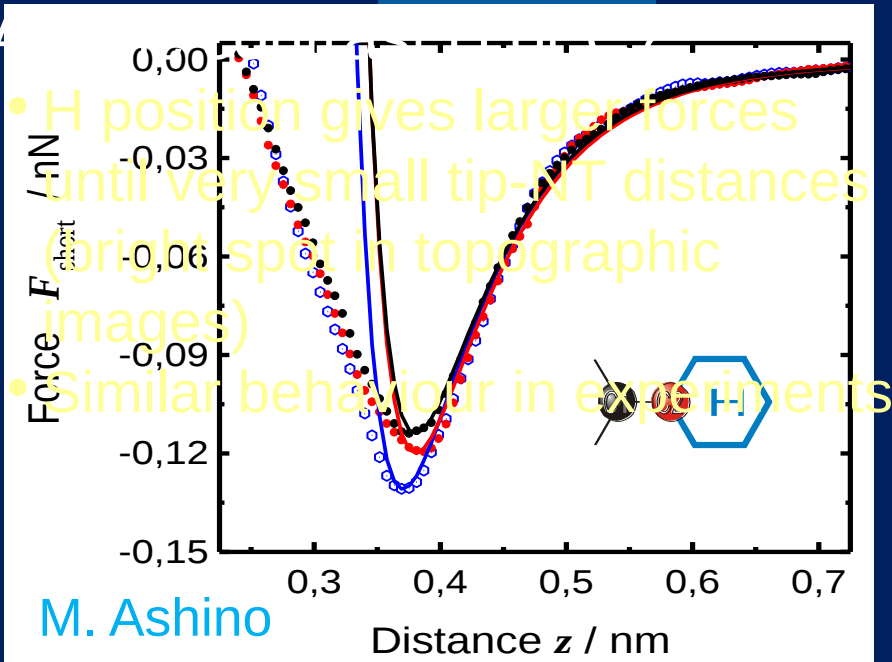
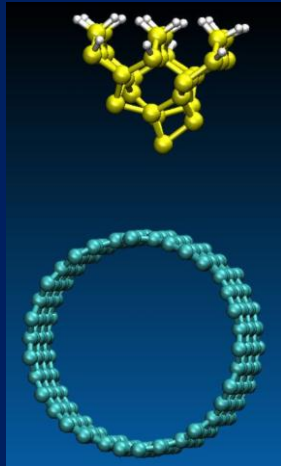
- GGA gives very small forces
- GGA+vdW yields the correct order of magnitude ~ -0.25 nN, but forces are larger than the experimental values, < 0.15 nN (tip model or vdW parameters?).



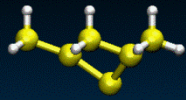
- LDA provides a surprisingly good agreement with GGA+vdW

DFT+vdW calculations on NT: Results

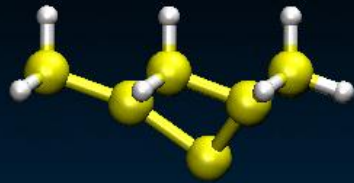
Dimer Si tip



DFT+vdW calculations on NT: H3 Si tip

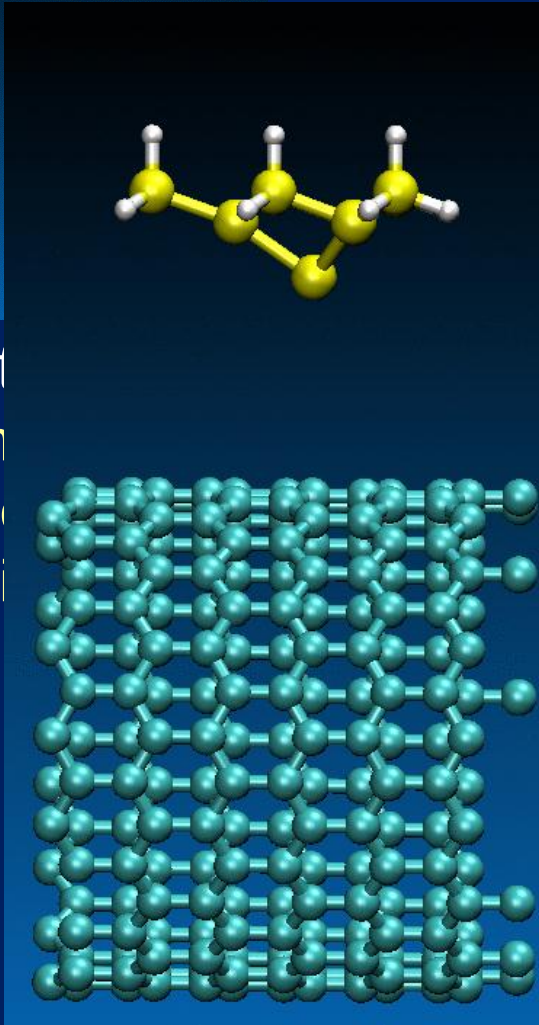


H3 Si tip

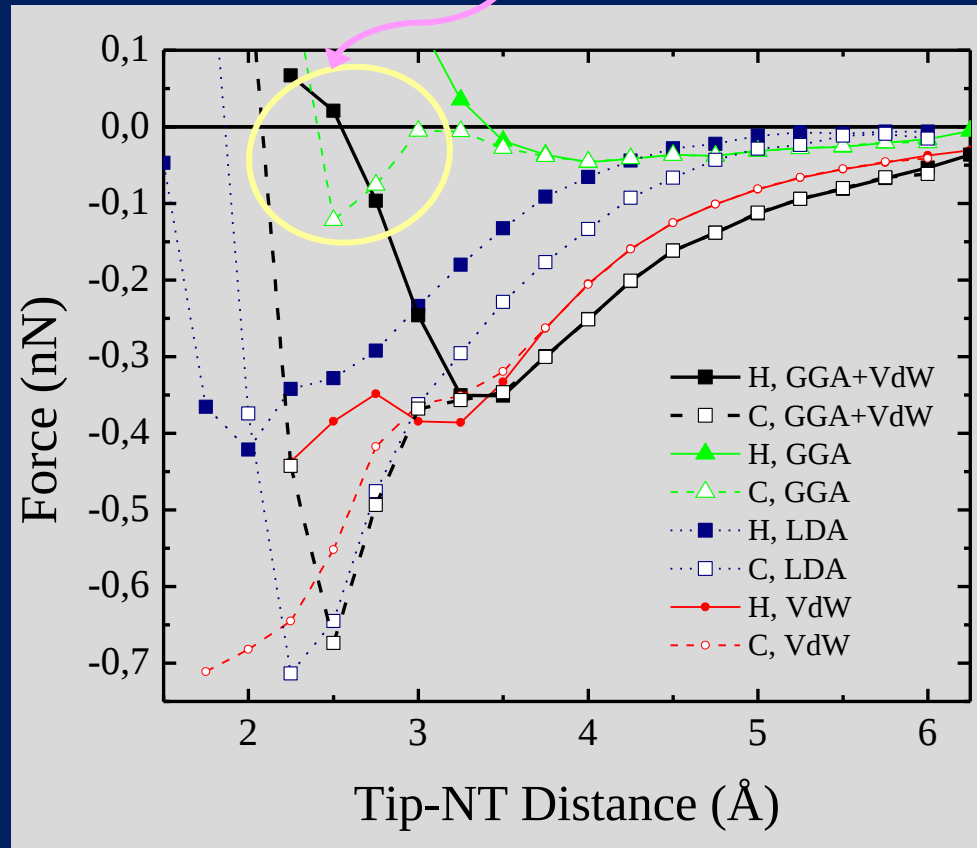


Atomic cont

- H3 tip is m
- larger force
- topographi
- Very large



Formation of a Si-C bond

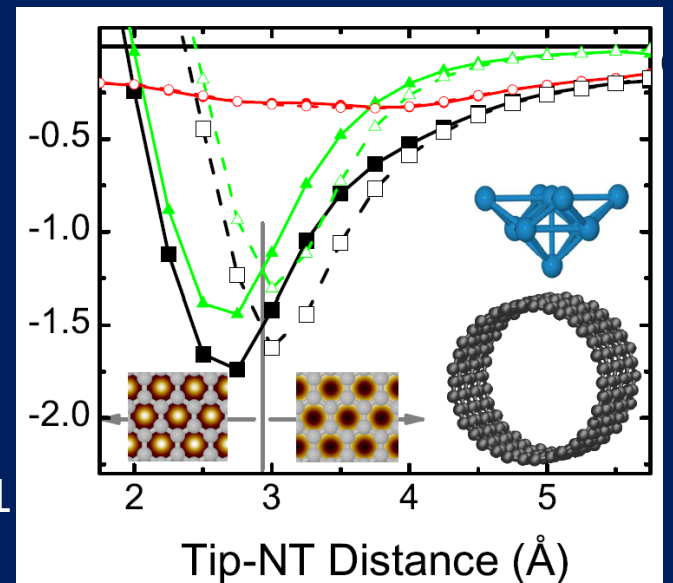
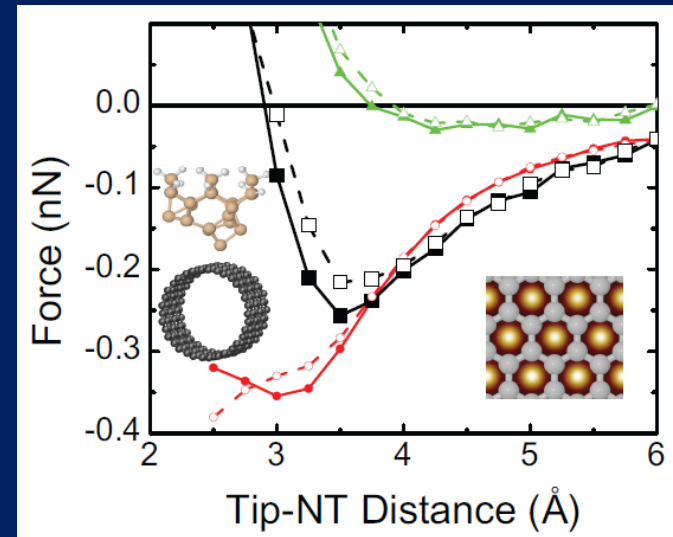


Atomic contrast vs tip reactivity

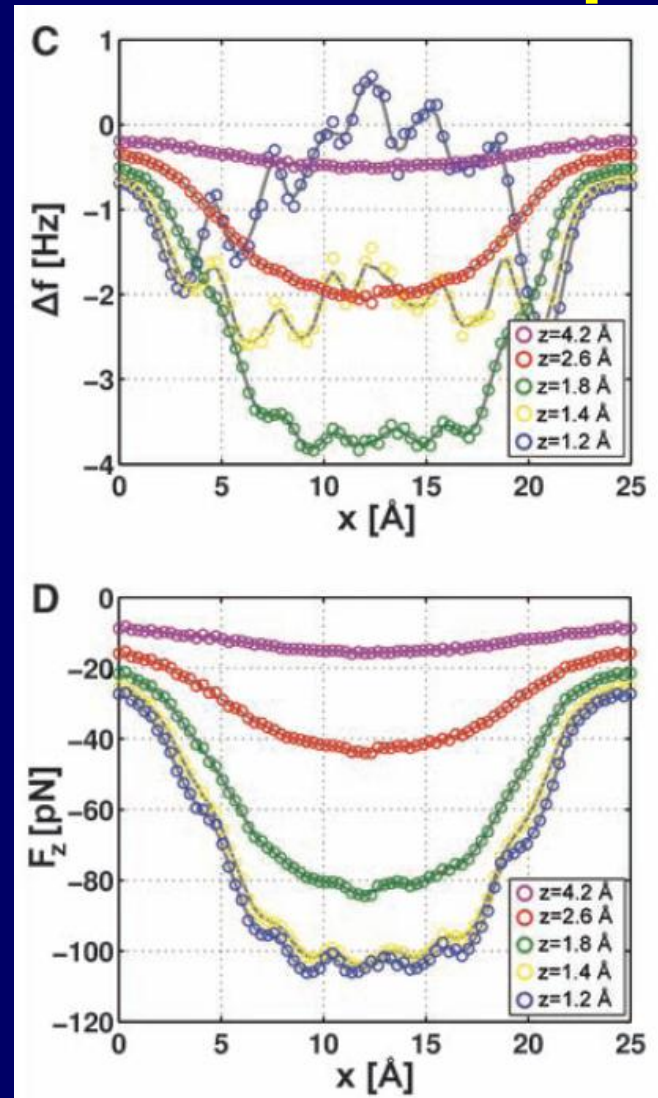
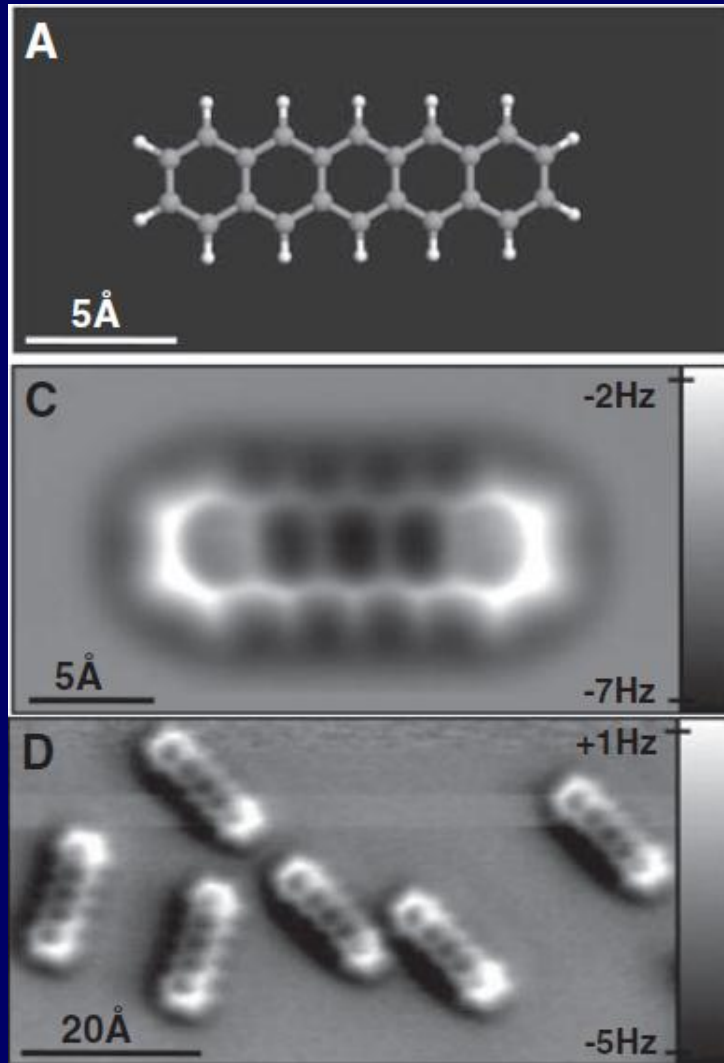
For weakly reactive tips, vdW sets the absolute force scale but **atomic contrast is always controlled by SR Chemical forces**

Weakly reactive tips: Pauli repulsion (smaller on areas of low electronic density) $\rightarrow$ larger attractive forces on the hollow site: **hexagonal pattern** of bright spots

Strongly interacting Tip: attractive interaction dominates $\rightarrow$ larger forces on atoms (local change of hybridization) $\rightarrow$ **honeycomb pattern**
Inverted contrast on the repulsive regime



The Chemical Structure of a Molecule Resolved by FM-AFM with a CO tip !!

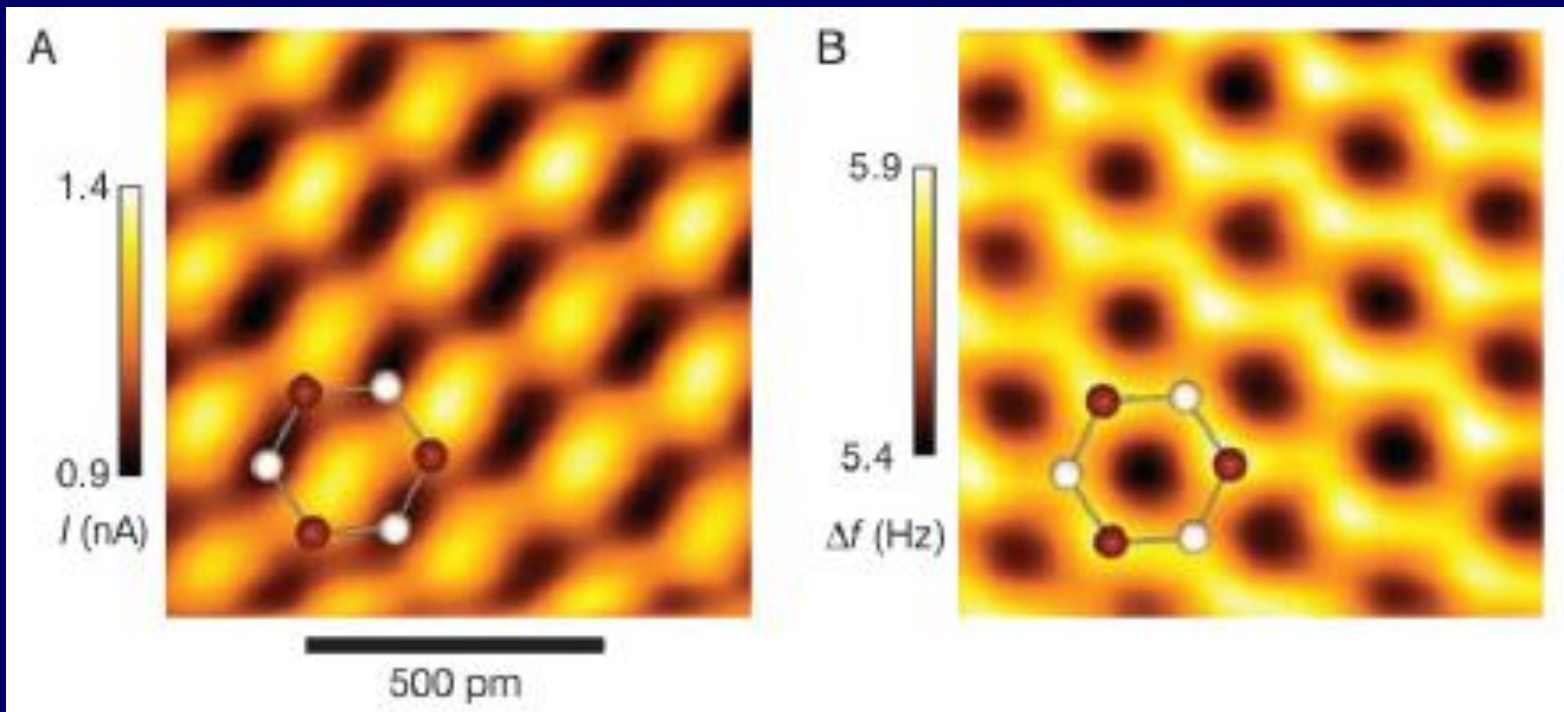


L. Gross et al, Science 325, 1110 (2009)

Does STM provides a clear identification of the maxima?

STM

AFM



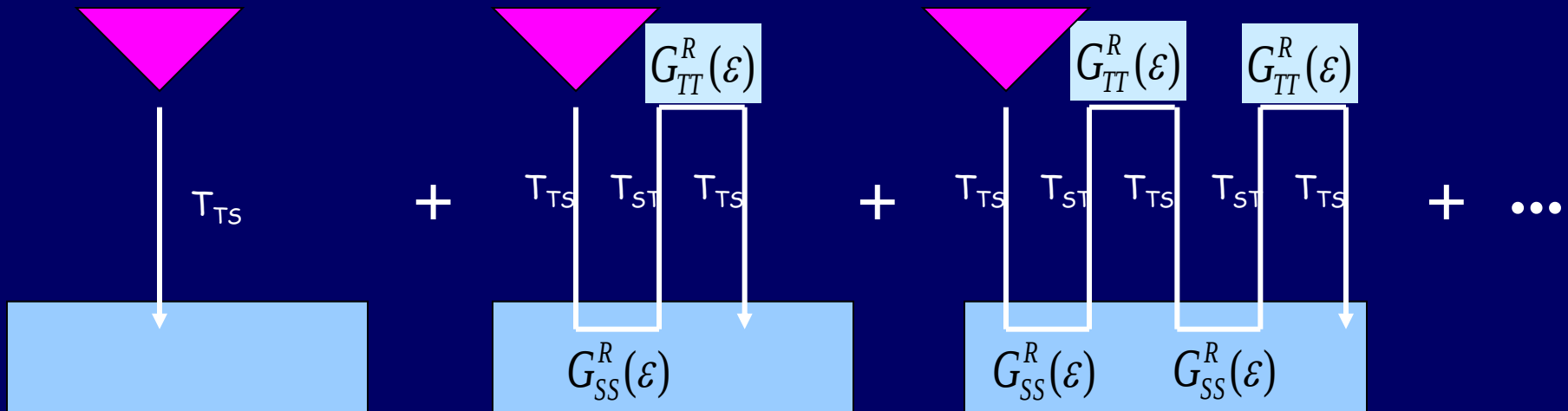
Hembacher et al, PRL 2005; Hembacher et al, PNAS 2003;

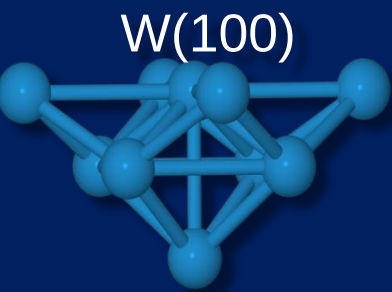
STM Simulations: KELDYSH-GREEN'S FUNCTION METHOD

Exact solution to all orders in the tip-sample hoppings !!

$$I_{tunnel} = 4\pi e / \hbar \int_{-\infty}^{+\infty} \text{Trace} \{ \hat{T}_{TS}^{eff} \hat{\rho}_{SS} \hat{T}_{ST}^{eff} \hat{\rho}_{TT} \} [f_T(\varepsilon) - f_S(\varepsilon)] d\varepsilon$$

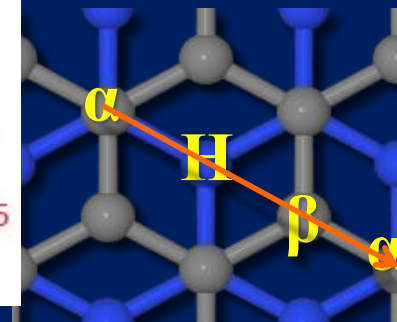
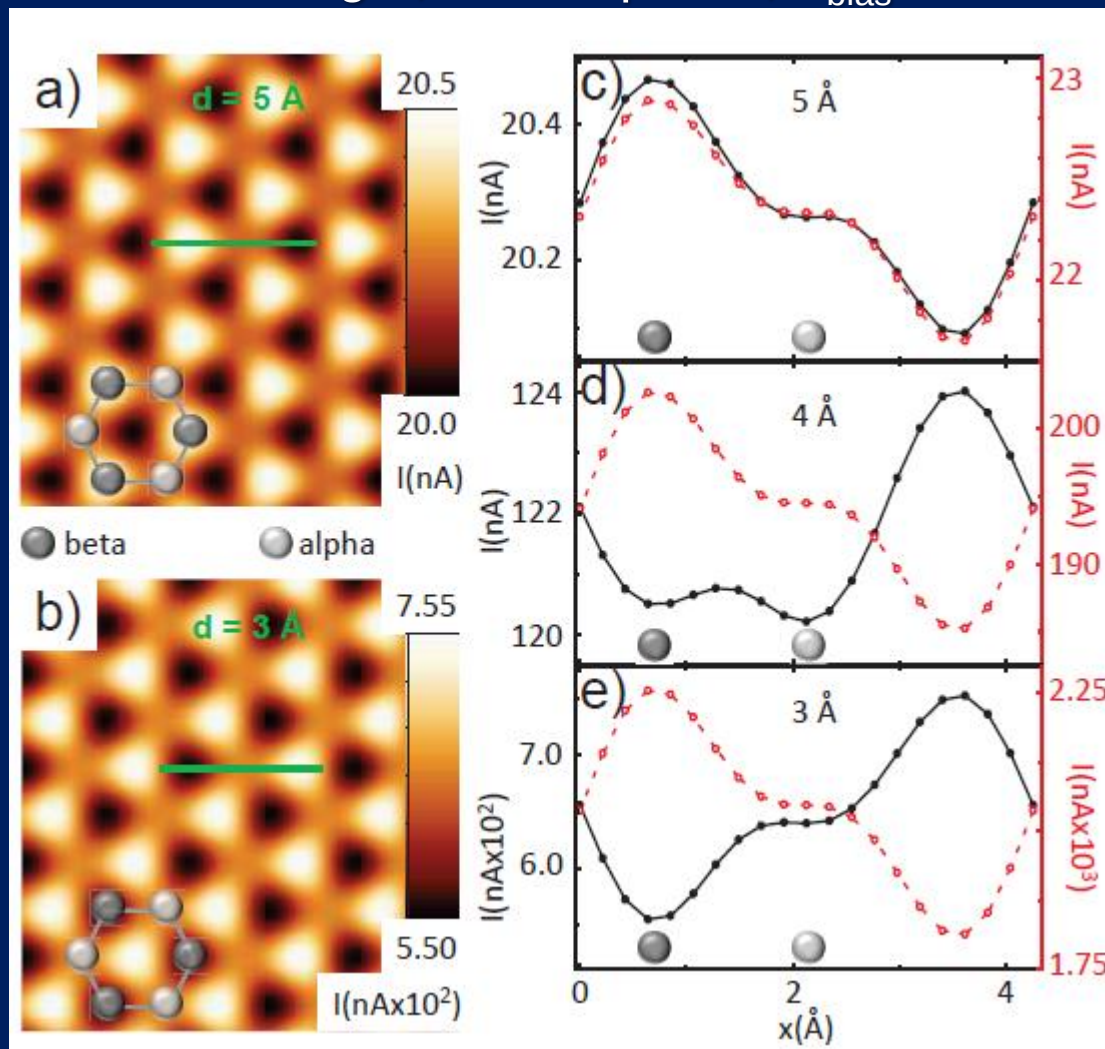
$$\hat{T}_{TS}^{eff} = \{ 1 - \hat{G}_{SS}^R \hat{T}_{ST} \hat{G}_{TT}^R \hat{T}_{TS} \}^{-1} \hat{T}_{TS} = \hat{T}_{TS} + \hat{T}_{TS} \hat{G}_{SS}^R \hat{T}_{ST} \hat{G}_{TT}^R \hat{T}_{TS} + \dots$$





“Static” STM: results

constant height; 1024 k-points, $V_{\text{bias}} = -0.3 \text{ V}$



- change in the contrast with the distance
- inverted contrast for distances $< 4 \text{ Å}$ due to the multiple scattering effect

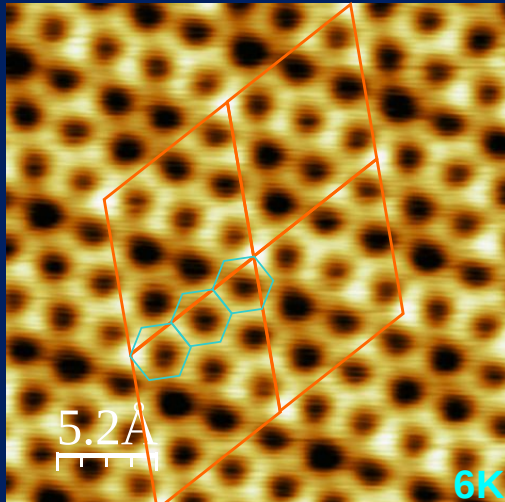
Conclusions: Are we imaging atoms?

- FM-AFM: The atomic contrast is controlled by the Short Range Chemical forces. vdW interaction sets the absolute force scale.
- Topographic images yield bright spots on H or C positions depending on the Tip apex: less reactive tips favours the hollow position, more reactive apexes or metallic tips would yield the C atoms as bright spots.
- STM contrast changes with distance: Bright spots located on hollow sites for close distances. Multiple Scattering required!.
- AFM is an excellent experimental tool to check the theoretical vdW approaches!

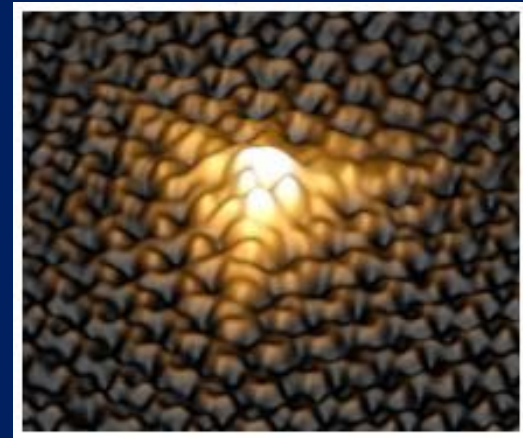
Origin of the atomic contrast?

- FM-AFM: DFT+vdW Forces on SWCNT and graphite (0001)
 - Is vdW providing atomic resolution?
 - atomic contrast versus tip reactivity
- Does STM always image atoms?: multiple scattering effects

- Graphene on Pt(111):
Moire patterns



- Vacancies on Gr/Pt(111):
Are the localized states on the
vacancy preserved?



M. M. Ugeda et al, PRL 104, 096804 (2010)

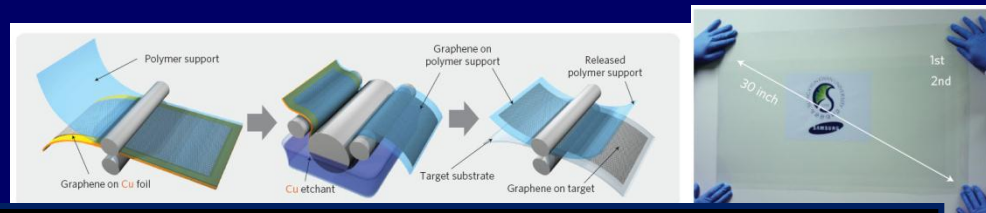
Graphene on metals

Highly perfect graphene sheets can be grown on various metals

ML graphene on: Co, Ni, Ru, Rh, Pd, Ir, Pt, Cu

see J. Wintterlin *et al.*, Surf. Sci. **603**, 1841 (2009) for a review

Macroscopic-sized graphene films recently transferred to arbitrary substrates

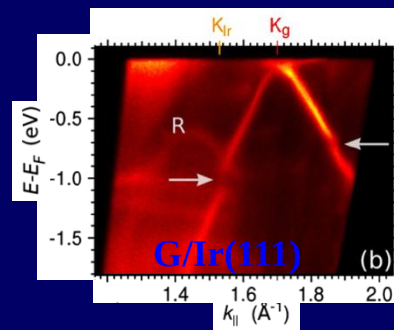


How does the presence of a metallic substrate modify the properties of an atomically tailored graphene layer

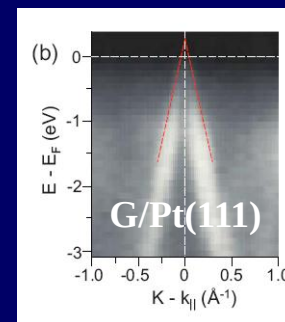
G. Giovannetti *et al.*, PRL **101** 026803 (2008).

A. B. Preobrajnski *et al.* PRB **78**, (2008)

In the weakly interacting systems the electronic structure of ideal graphene is basically preserved.



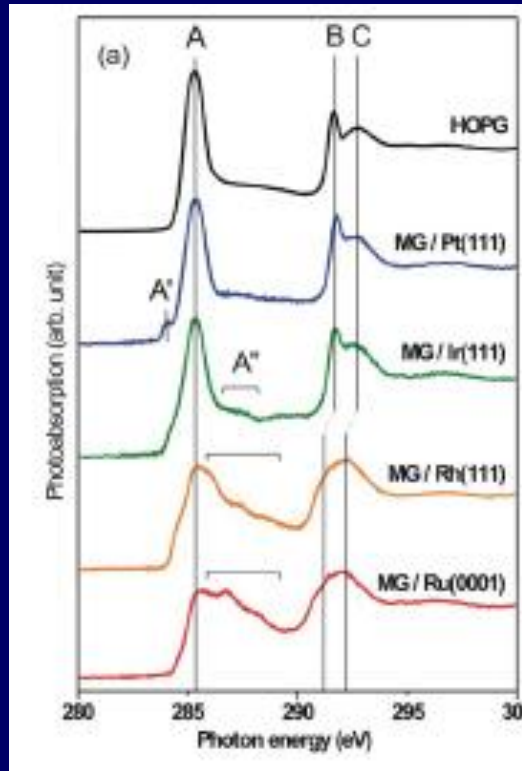
I. Pletikoscic *et al.*, PRL. **102** 056808 (2009)



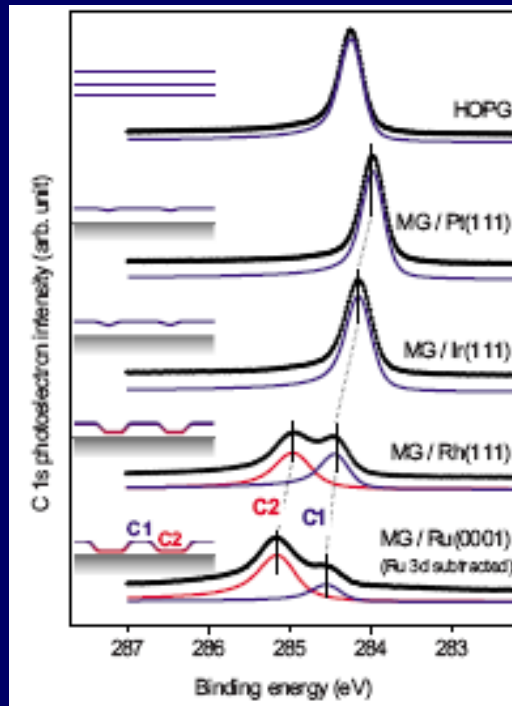
P. Sutter, *et al* PRB **80** (2009)

Pristine graphene adsorbed on Pt(111) surfaces

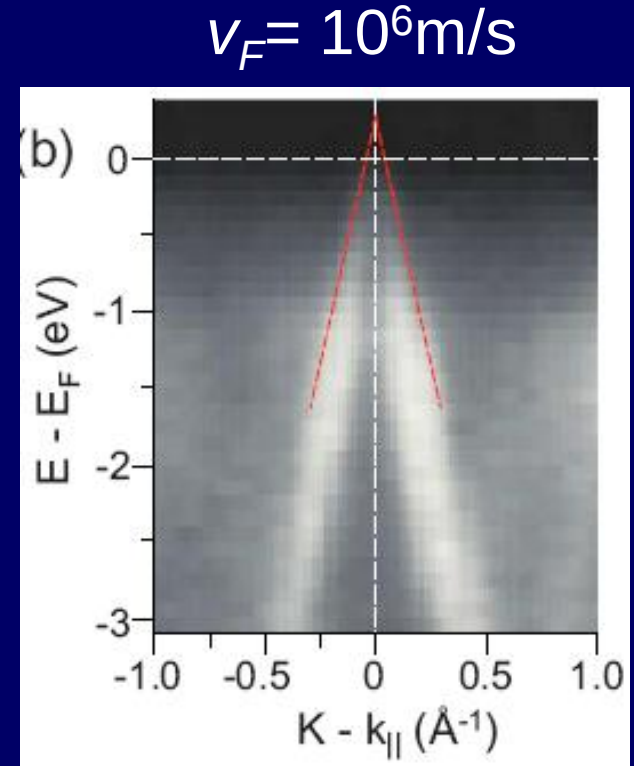
Graphene monolayer adsorbed on Pt(111)
one of the weakest interacting graphene-metal systems



A. B. Preobrajenski *et al.* PRB **78**,
073401 (2008)

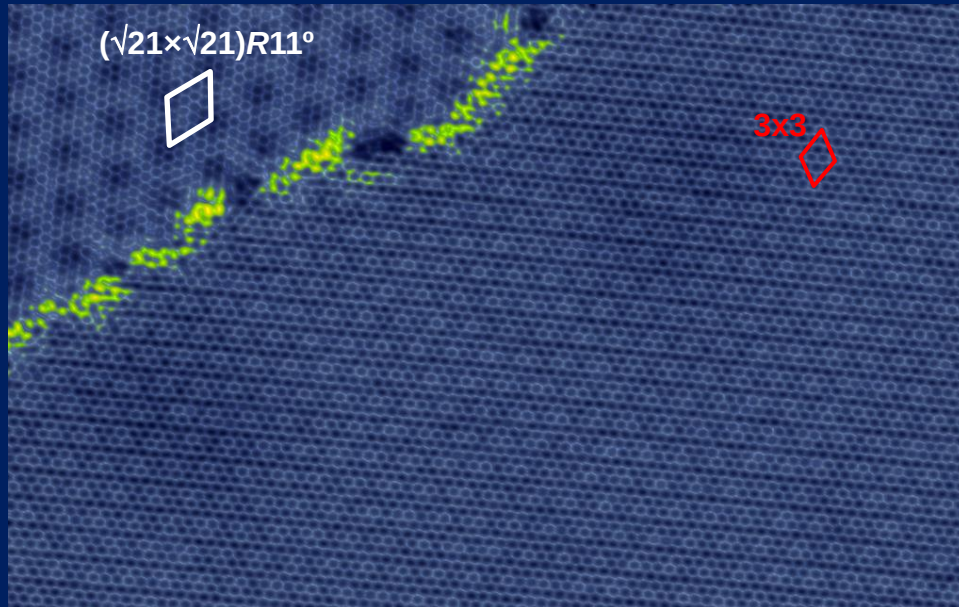


P. Sutter, *et al.*, PRB **80**,
245411 (2009).



Pristine graphene adsorbed on Pt(111) surfaces

Formed by chemical vapor deposition of ethylene in UHV at temperatures above 1275K



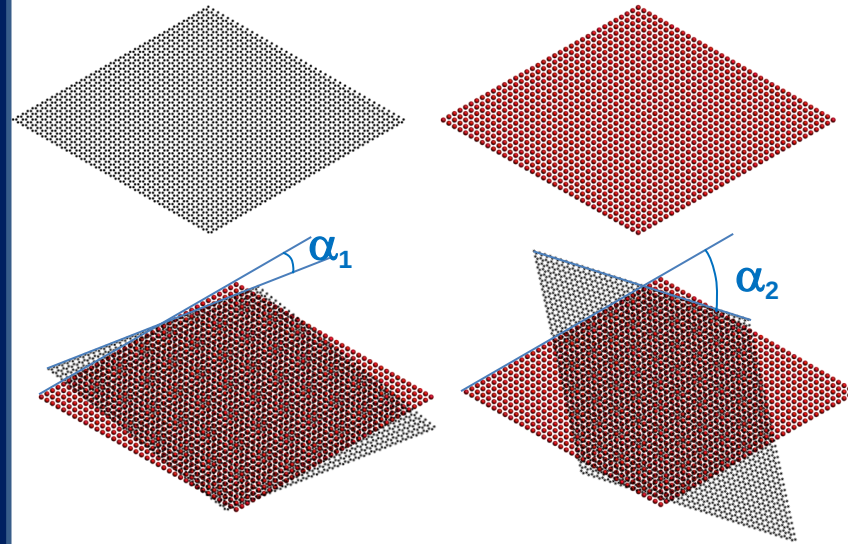
Various moiré patterns are formed

- T. A. Land et al., Surf. Sci. **264** 261 (1992).
- M. Enachescu et al., Phys. Rev. B **60**, 16913 (1999).
- P. Sutter, et al., PRB. B **80**, 245411 (2009).
- G. Otero et al. Phys. Rev. Lett. **105**, 216102 (2010).

Moiré periodicity

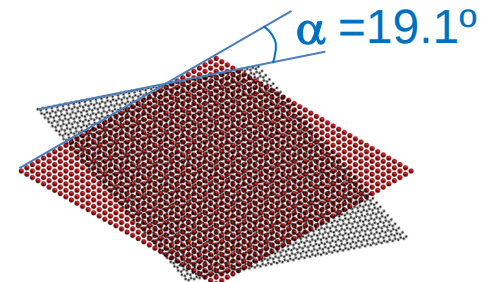
ML Graphene ($a=2.46 \text{ \AA}$)

Pt(111) surface ($a=2.78 \text{ \AA}$)



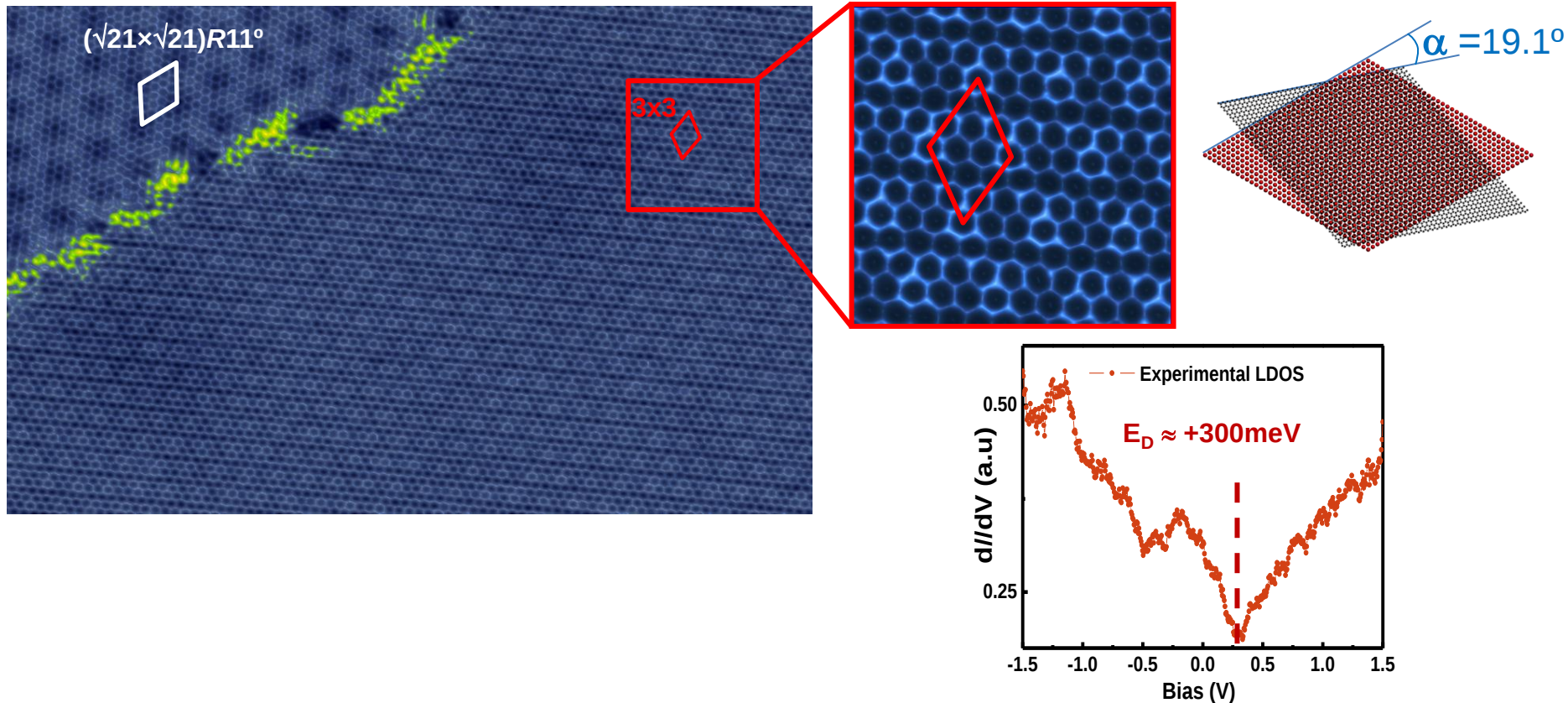
Our results are basically independent of the moiré superstructure

=> 3x3



Pristine graphene adsorbed on Pt(111) surfaces

3x3 moiré

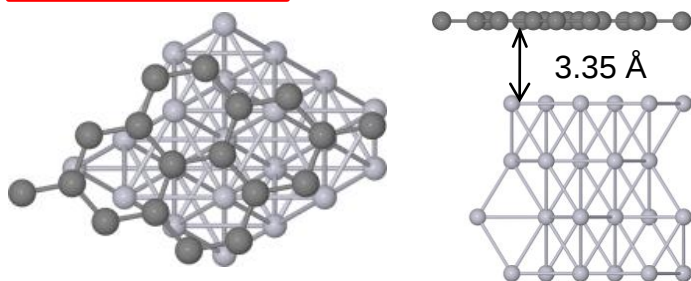


Dirac point at +300 meV

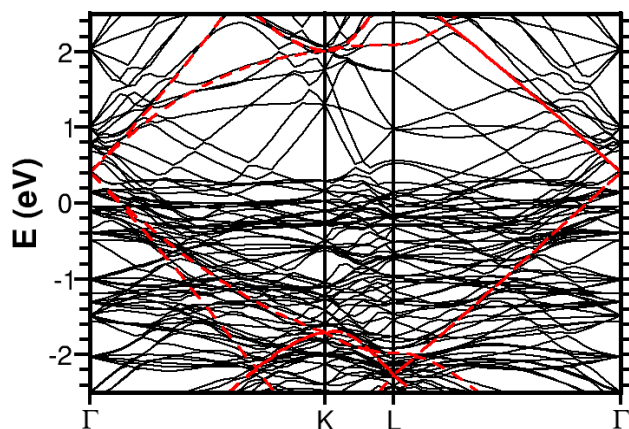
In agreement with theoretical predictions and photoemission estimations

Graphene on Pt(111): DFT calculations

3x3 moiré

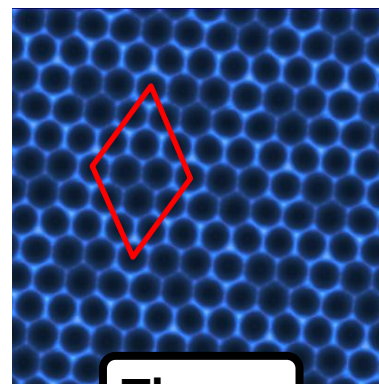


Optimal Pt – graphene distance !!

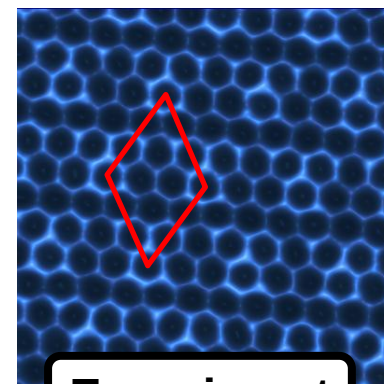
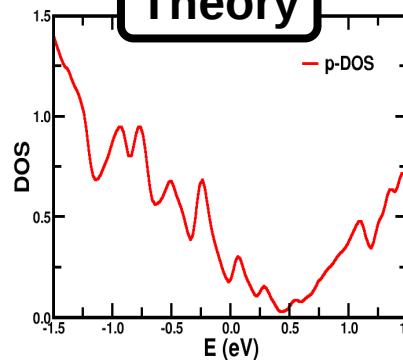


Calculation details:

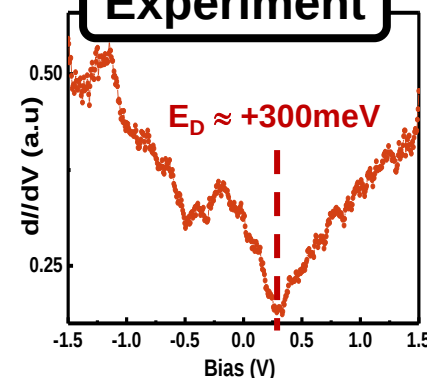
- DFT- VASP code
- **PBE functional + van der Waals interactions**
- Planewave cutoff: 400 eV
- STM images: OpenMX code



Theory



Experiment

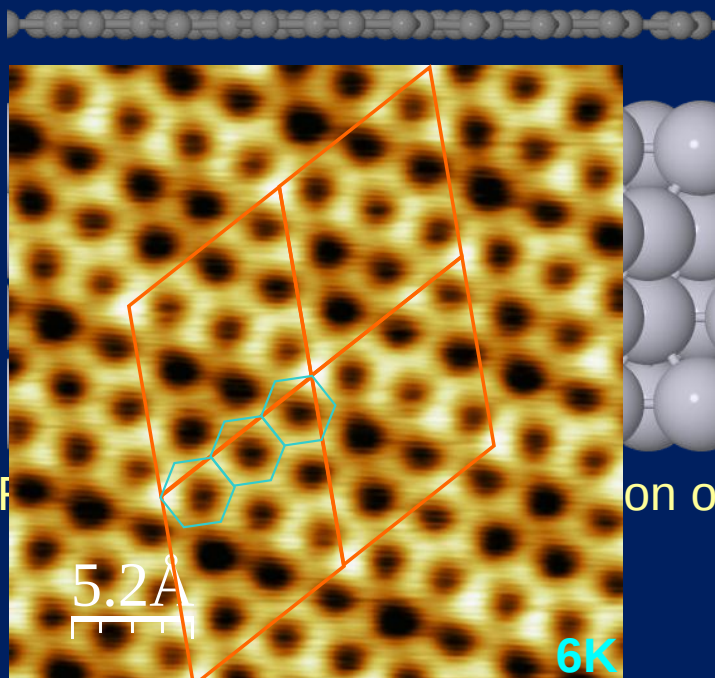


STM corrugation can be explained as a purely electronic effect

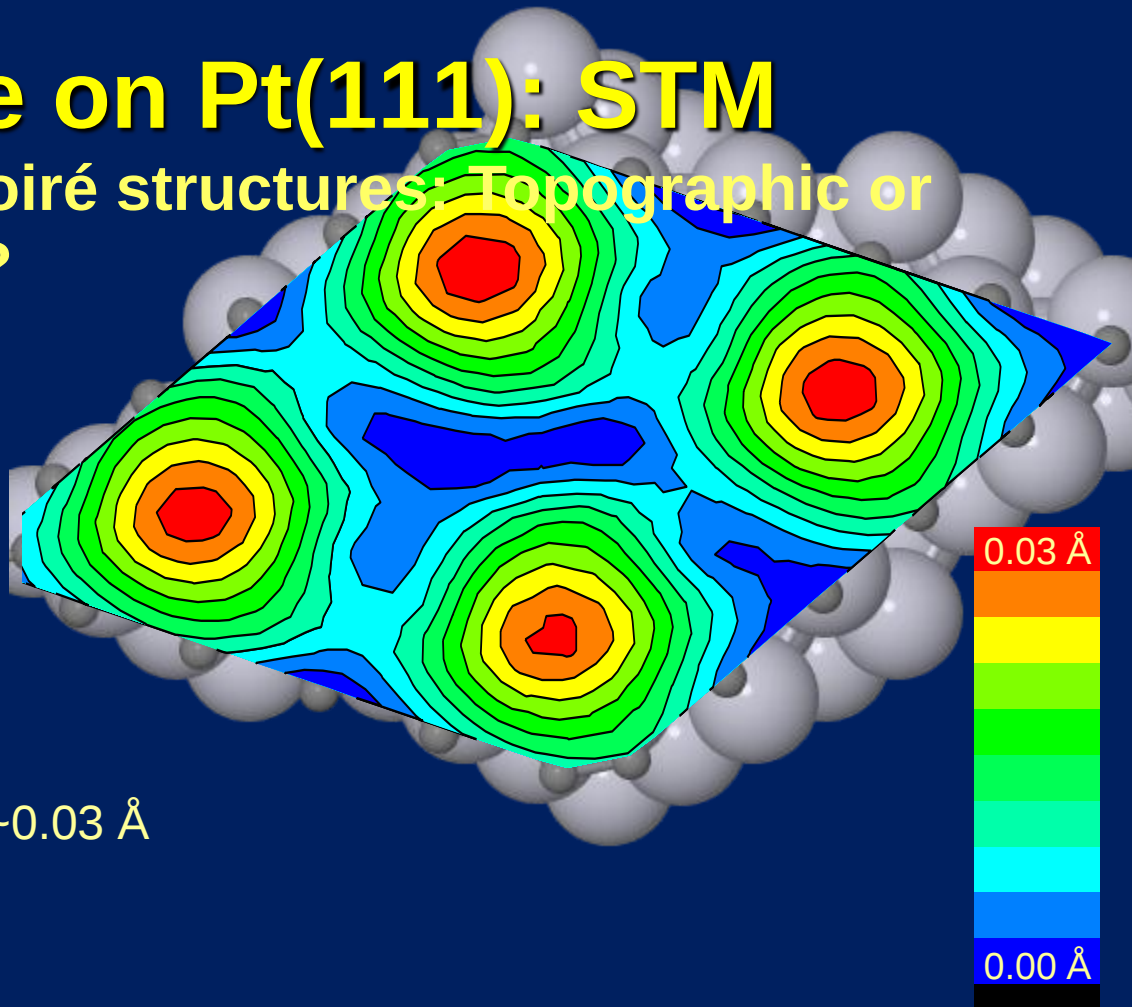
Calculations reinforce the association of the dip in the DOS with E_D position

Graphene on Pt(111): STM

- Graphene on metals: Moiré structures: Topographic or purely electronic effect?



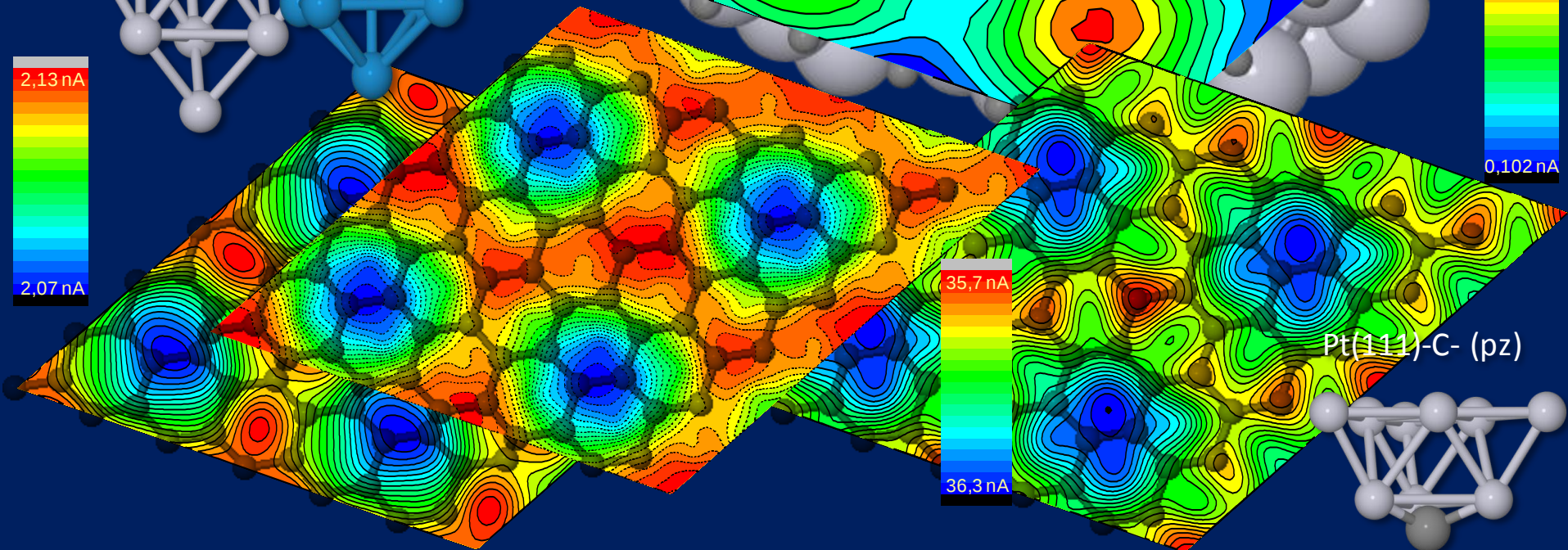
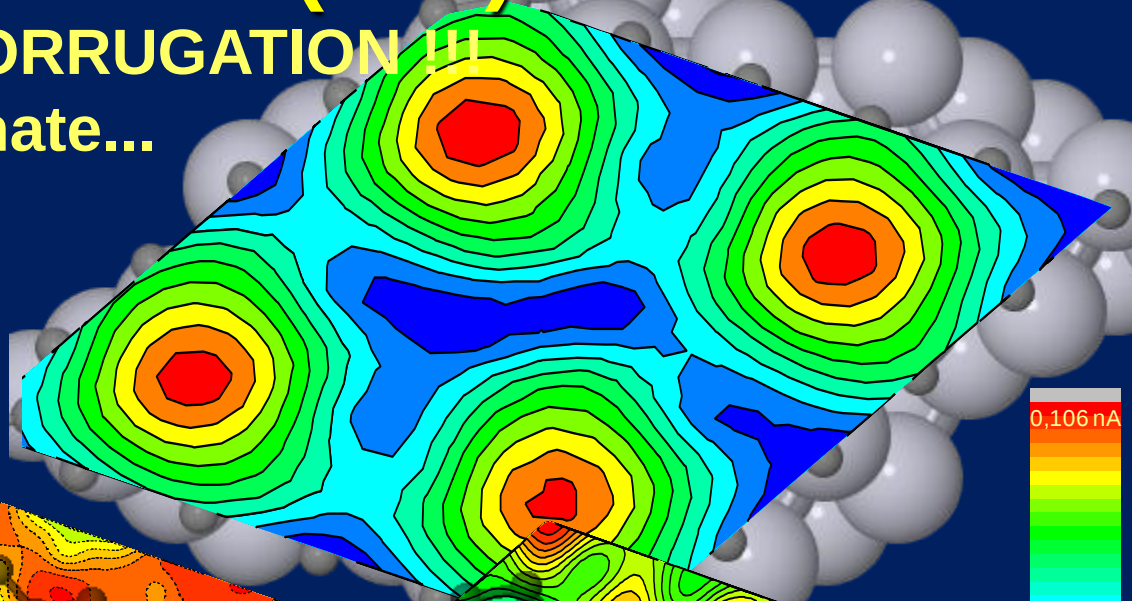
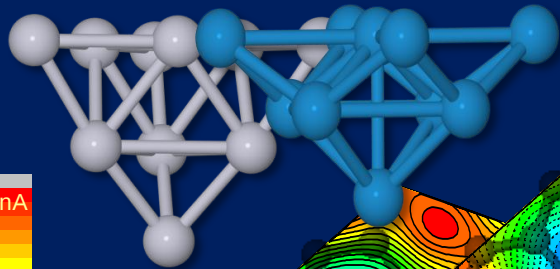
on of $\sim 0.03 \text{ \AA}$



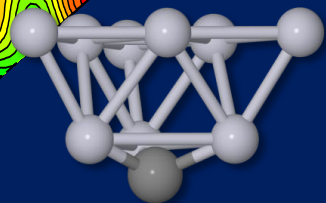
Graphene on Pt(111): STM

Moiré structures: ANTICORRUGATION !!!
electronic effects dominate...

Pt(111)- (d_{zx}-d_{xy}) W(100)- (sp_d)

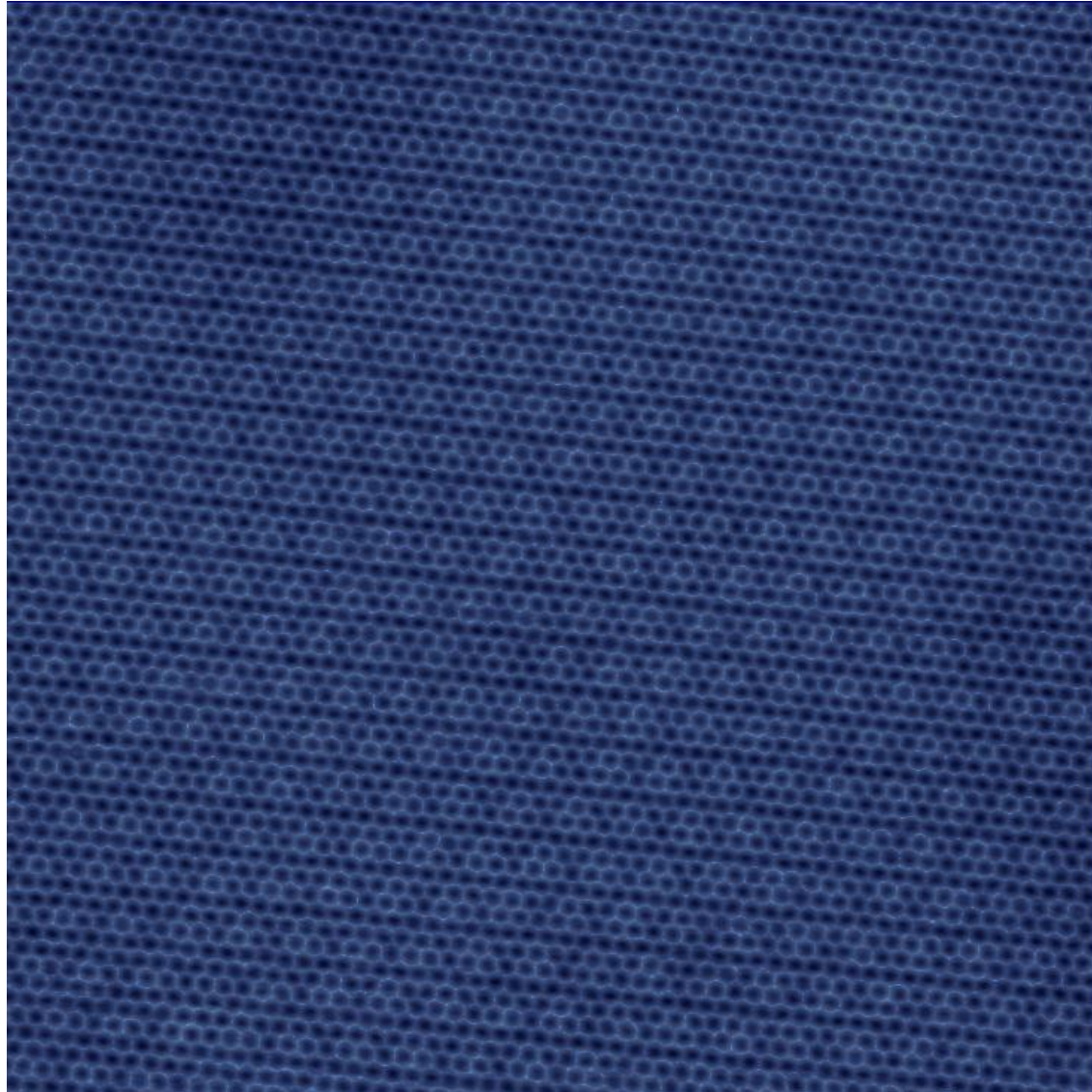


Pt(111)-C- (p_z)



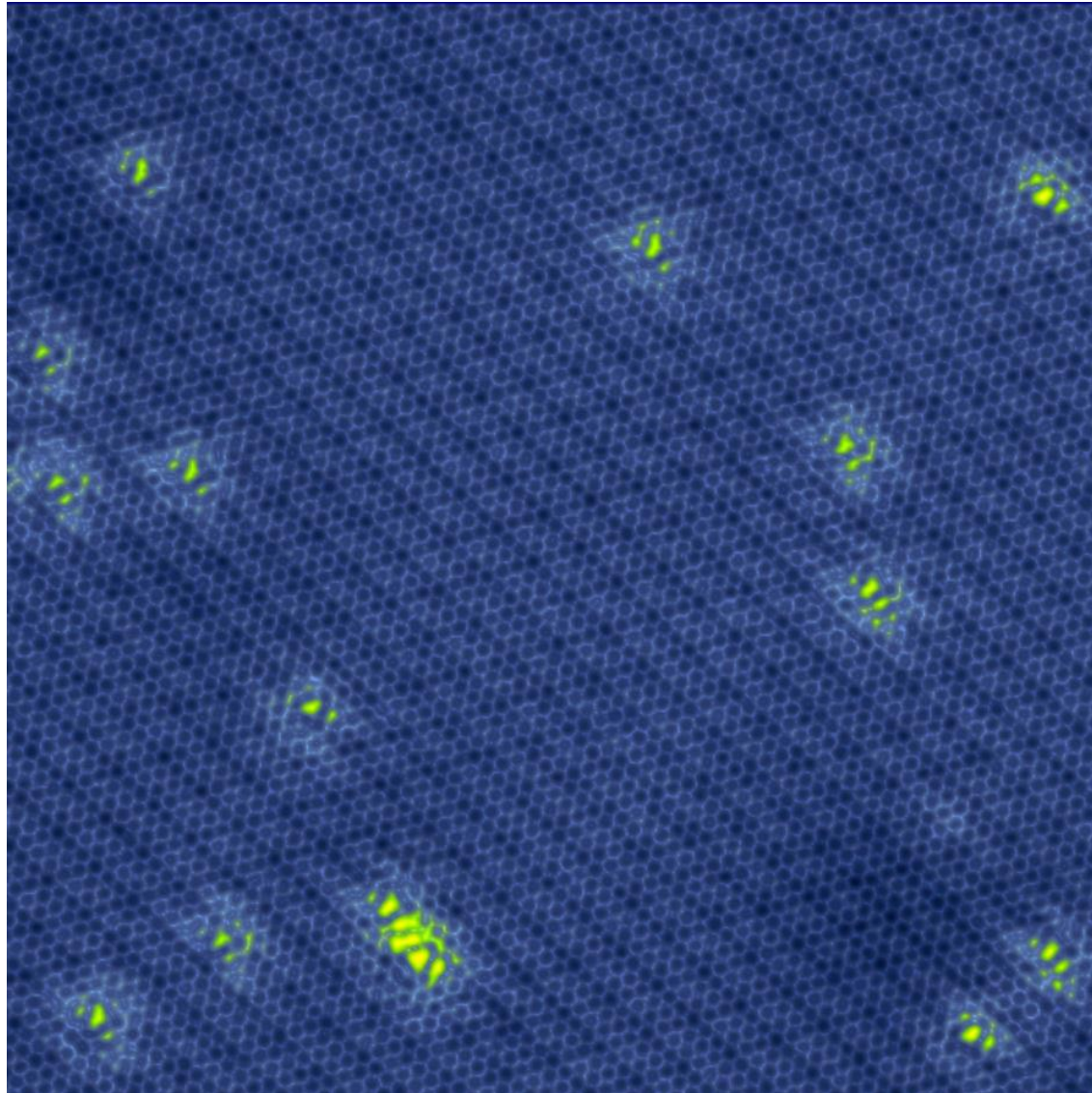
Vacancies on Graphene/Pt(111)

- ✓ Creation of single vacancies by Ar⁺ irradiation at RT (same parameters as in HOPG)

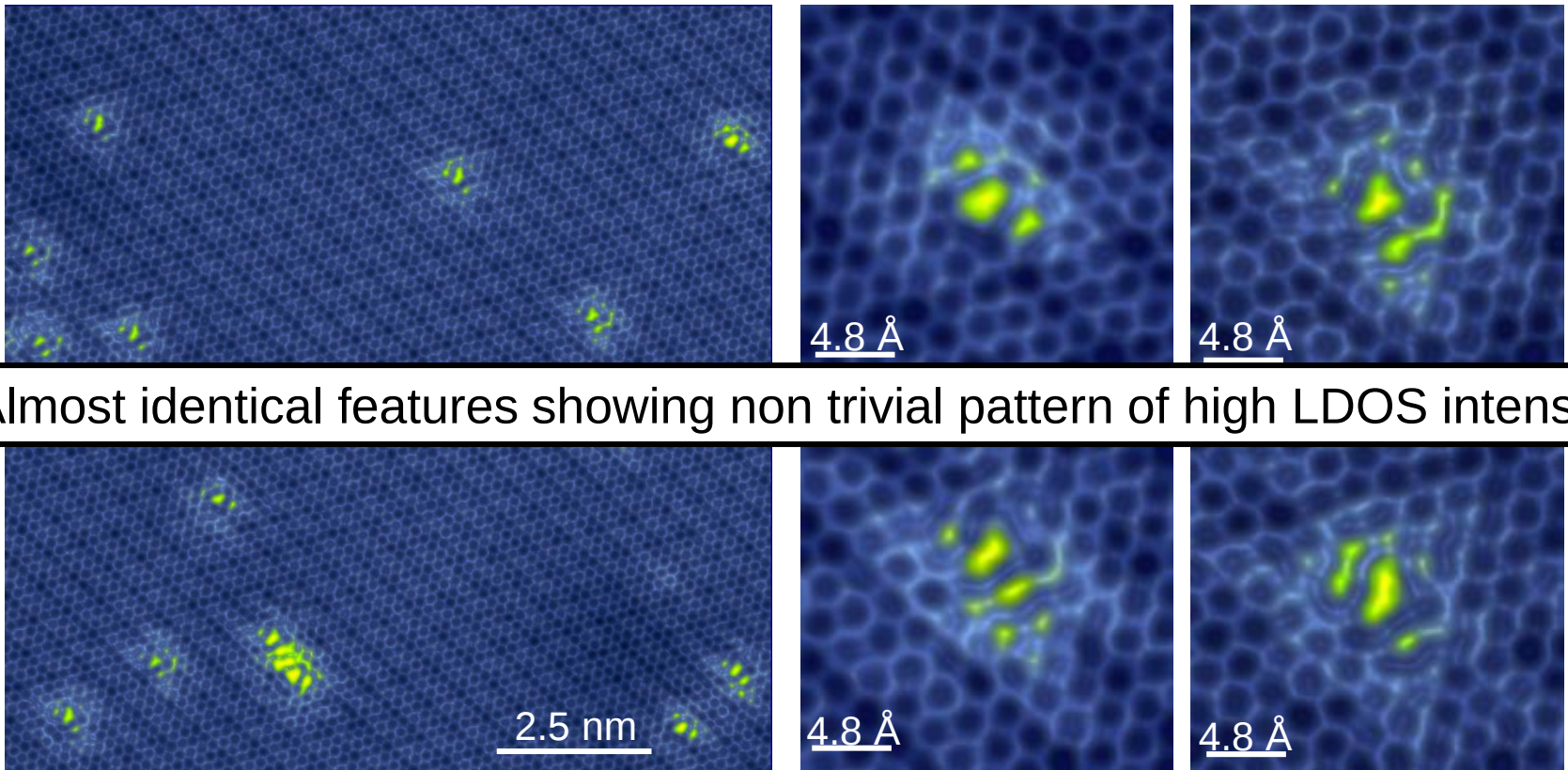


Vacancies on Graphene/Pt(111)

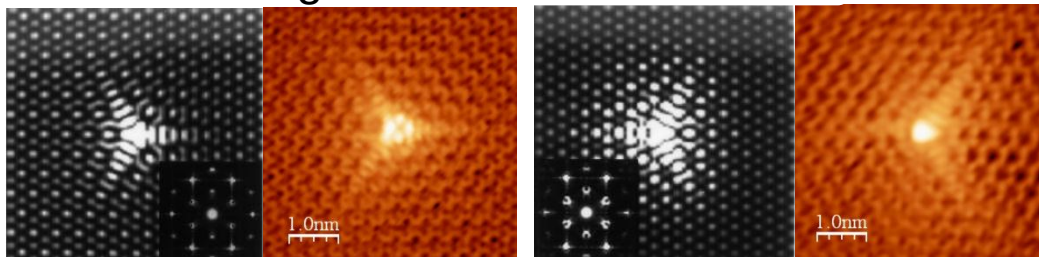
- ✓ Creation of single vacancies by Ar⁺ irradiation at RT (same parameters as in HOPG)



Vacancies on Graphene/Pt(111)



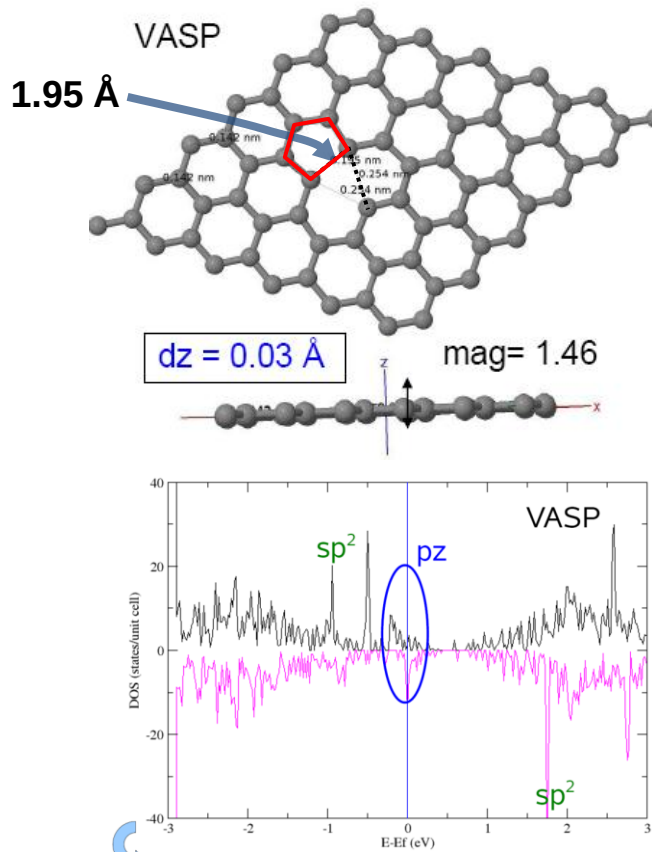
Single C vacancies in HOPG



We need bring into play DFT calculations in order to unravel its nature

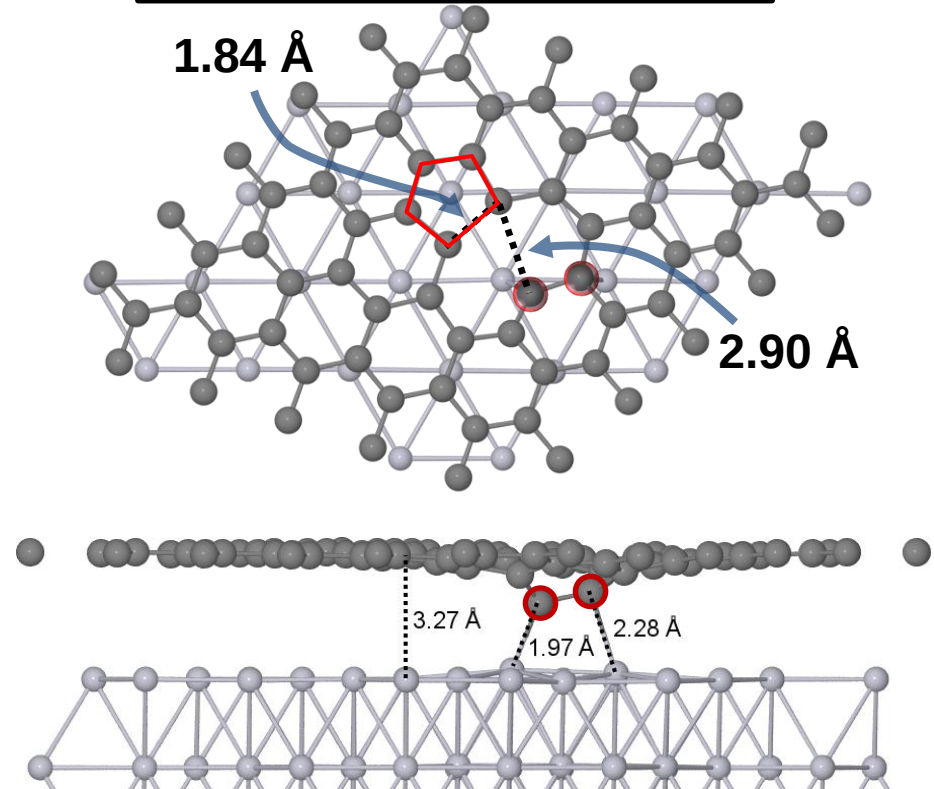
Vacancies on Graphene/Pt(111)

Free standing graphene (6x6)



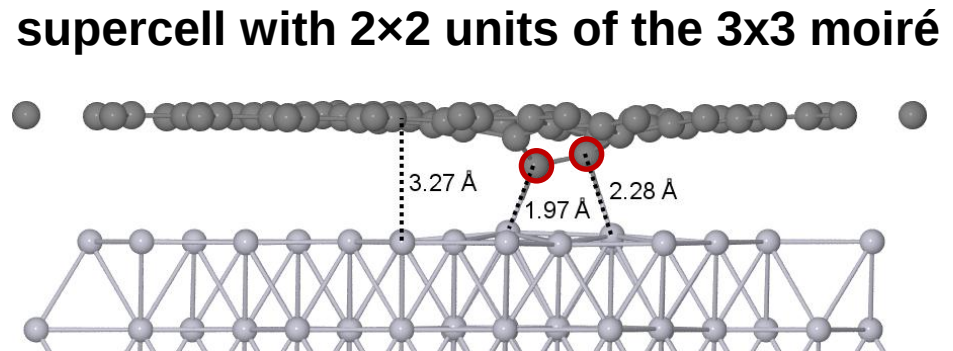
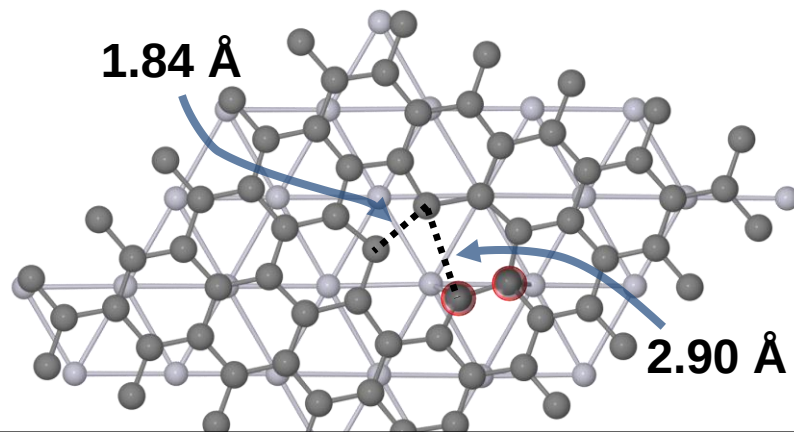
Calculations show a magnetic moment for vacancy in FSG in agreement with O. Yazyev et al, Phys. Rev. B. 75, 125408 (2007)

Graphene on Pt(111) (6x6)

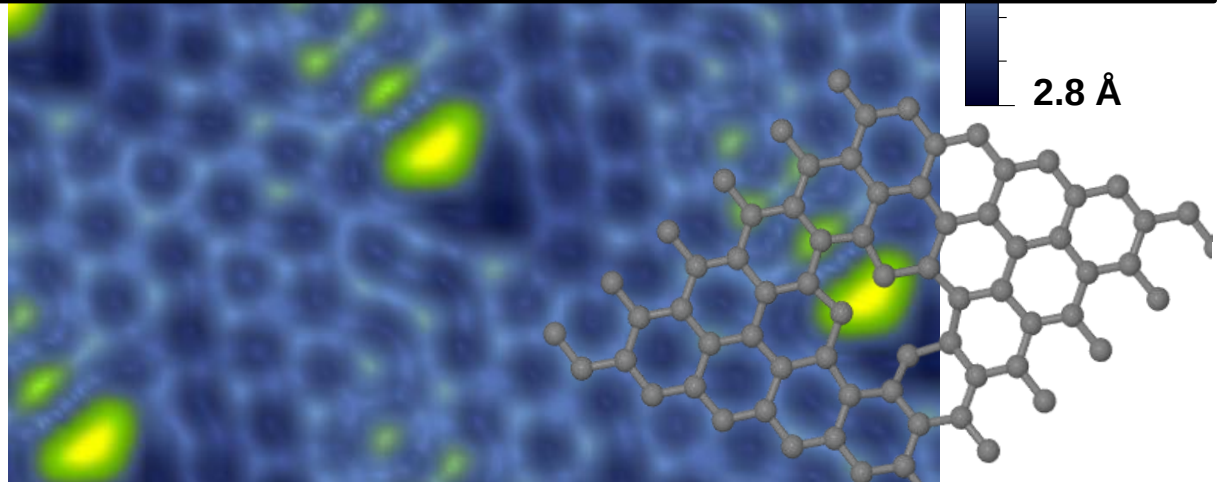
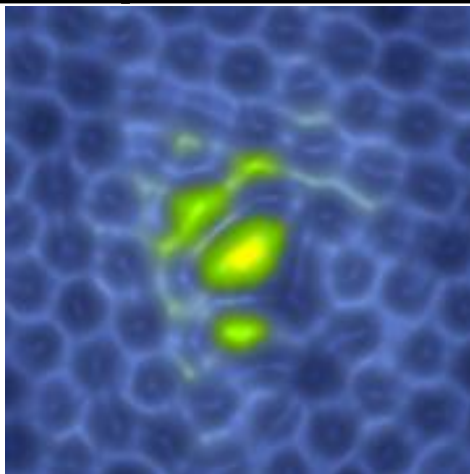


Graphene interaction with the metal strongly increases due to vacancies, giving rise to the quenching of the magnetic moment of the system

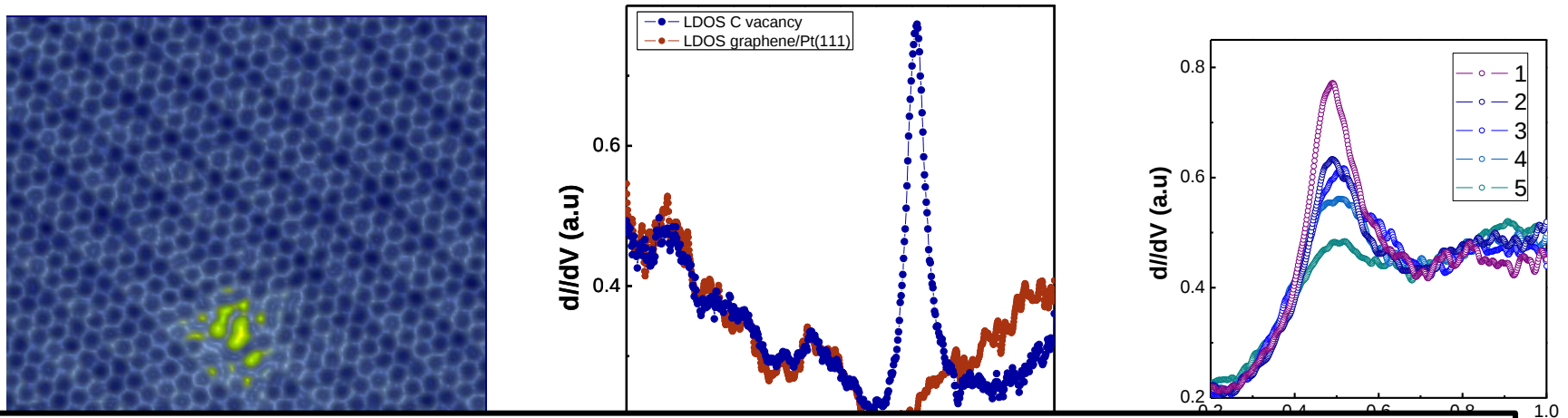
Vacancies on Graphene/Pt(111)



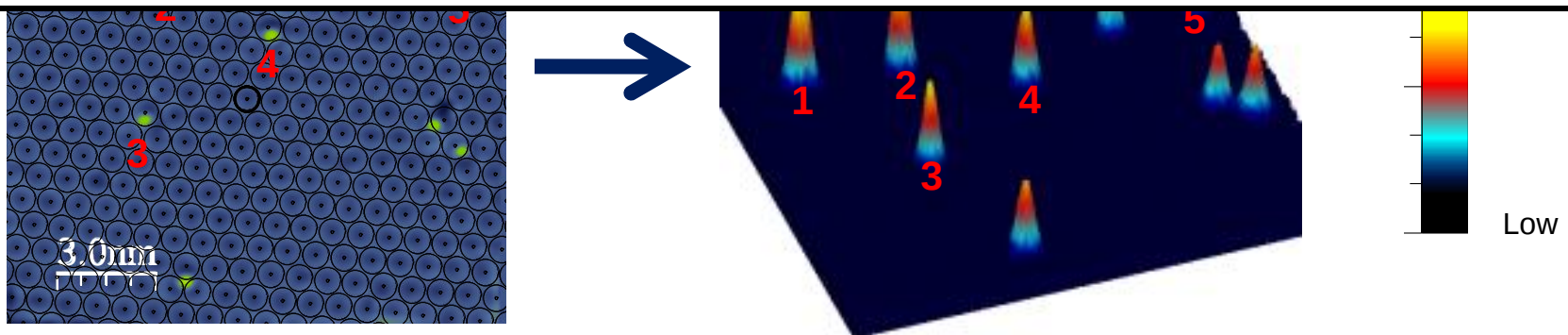
Calculations show that each of the bright features found in the STM images after the ion bombardment is due a missing C atom



Tunneling Spectroscopy



Even in weakly coupled graphene/metal systems, the presence of the metal has to be seriously taken into account in order to controllably tune graphene properties by locally modifying its structure

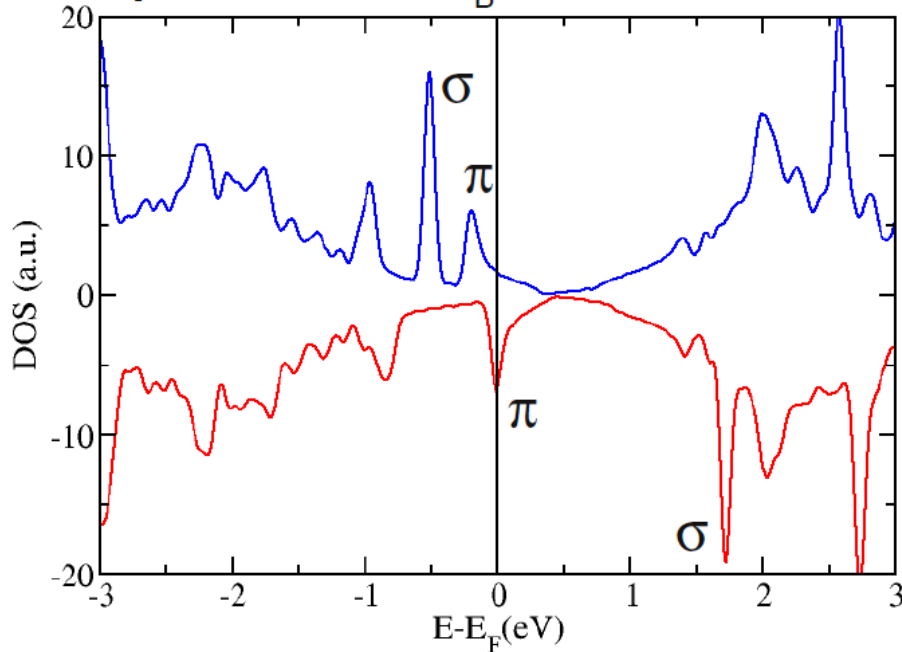


Quenching of the magnetism (1): doping

The doping effect induced by the metal pushes the C π states of both spins above the Fermi level !!

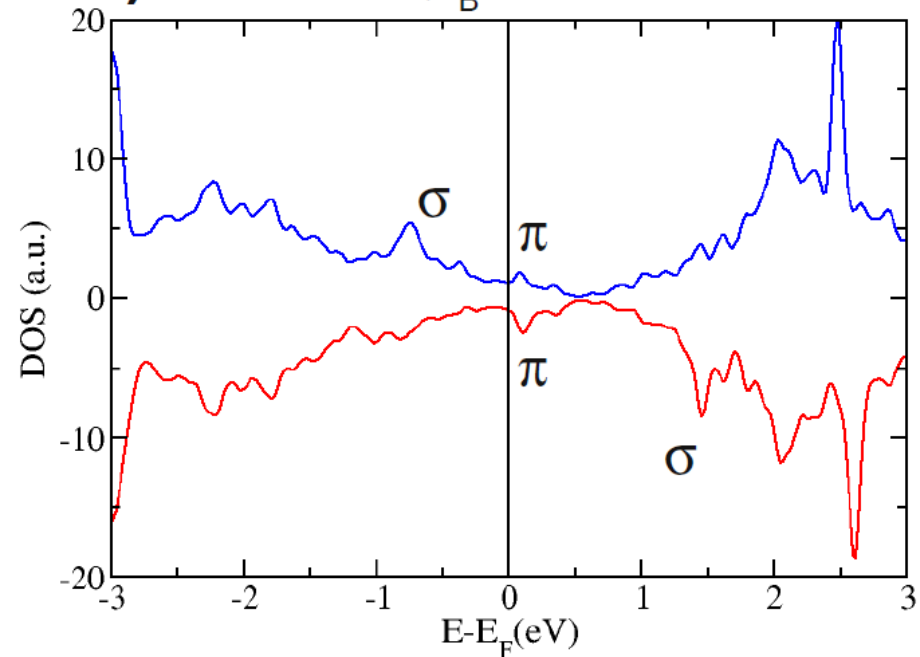
Vacancy

a) $m = 1.46 \mu_B$, $dz = 0.03 \text{ \AA}$



Vacancy on Pt(111)

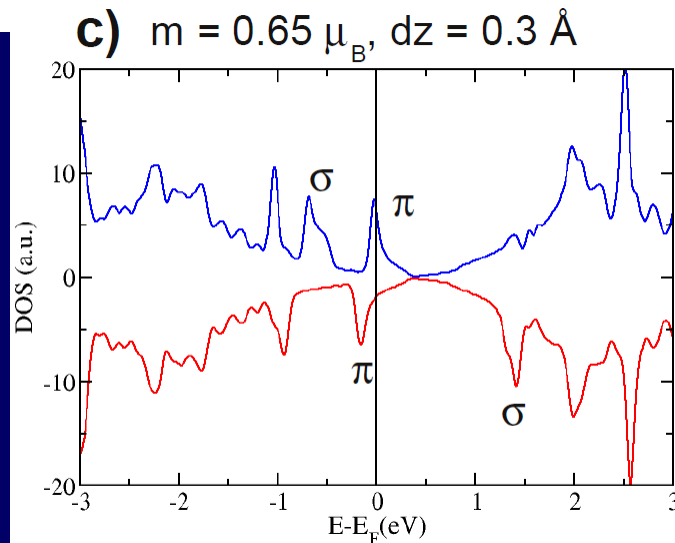
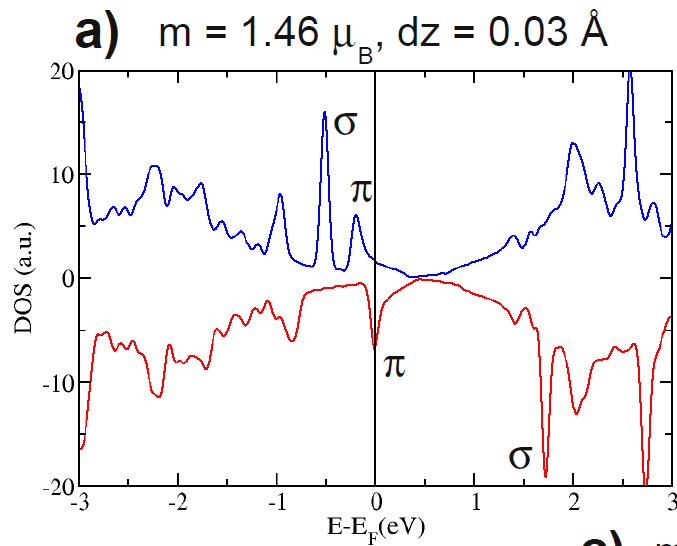
b) $m = 1.11 \mu_B$, $dz = 0.03 \text{ \AA}$



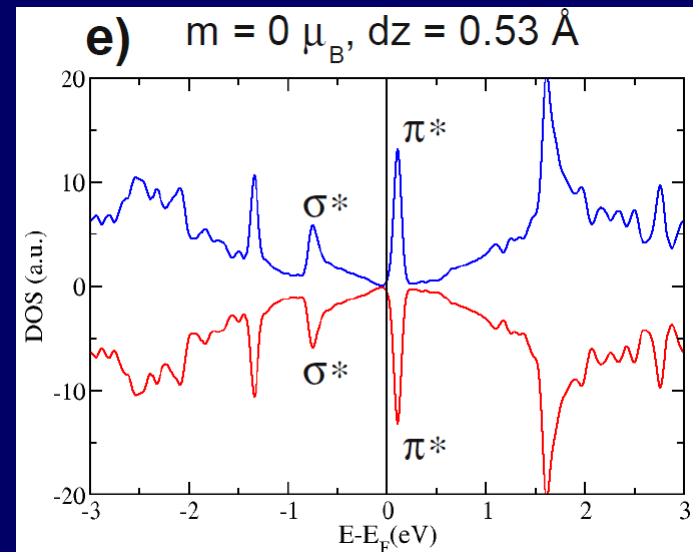
A planar structure, like the one of the vacancy in isolated graphene, would be still magnetic !!

Vacancy

Quenching of the magnetism (2): relaxation of the unpaired C atom out of graphene plane

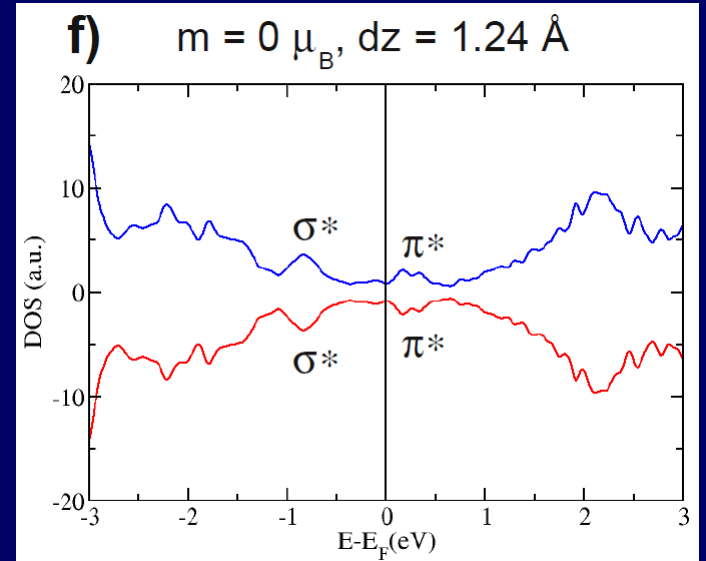
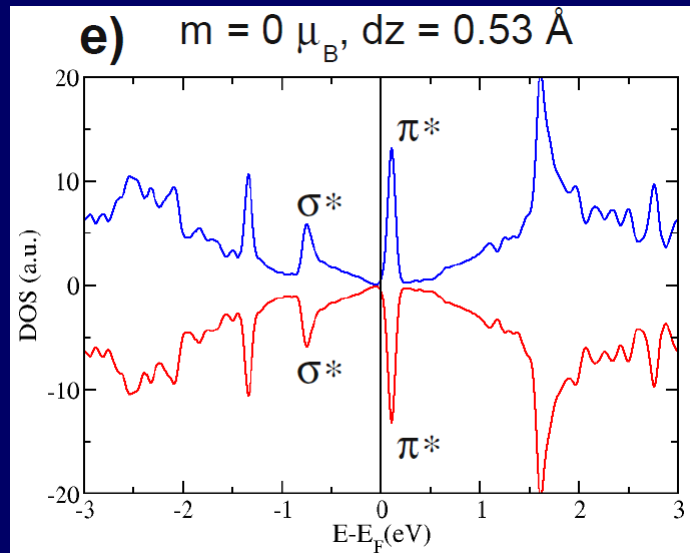
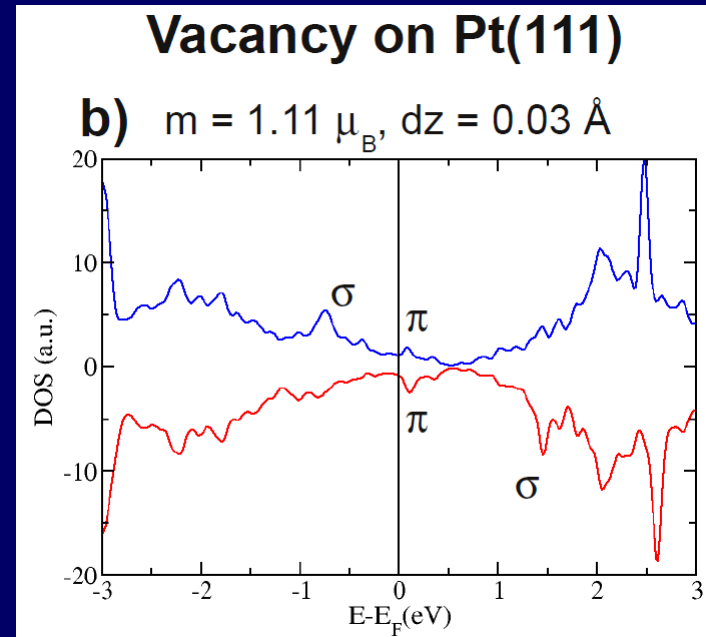
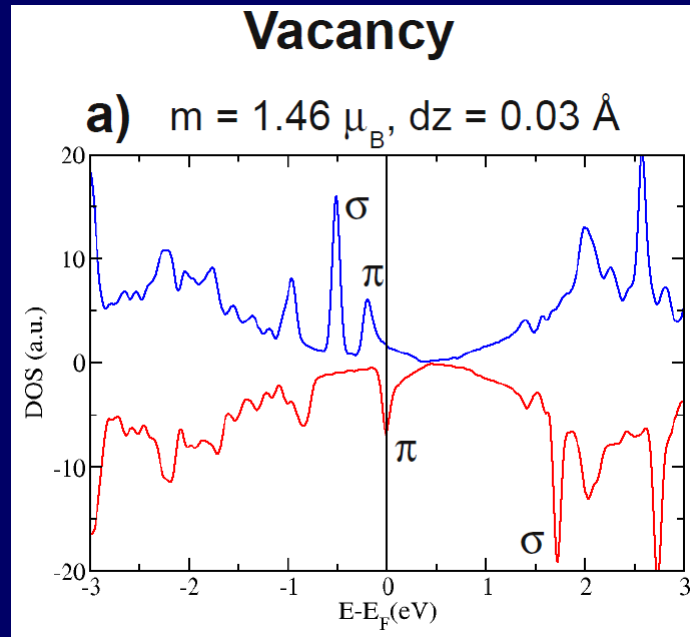


0.1 eV above magnetic GS



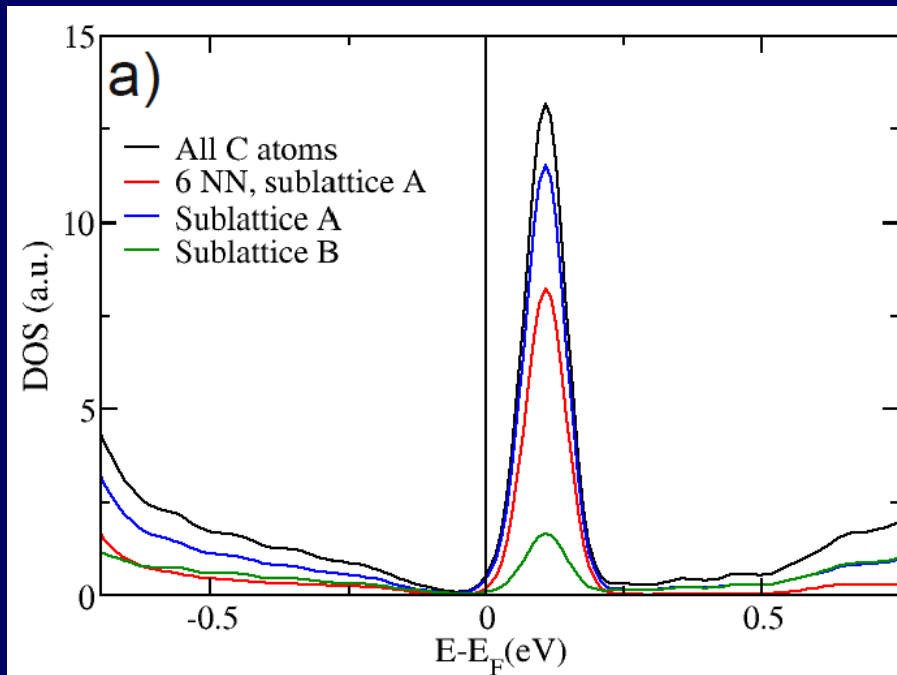
The outward displacement mixes the σ and π states and changes the hybridization of the atom

Quenching of magnetism: Gr/Pt(111)

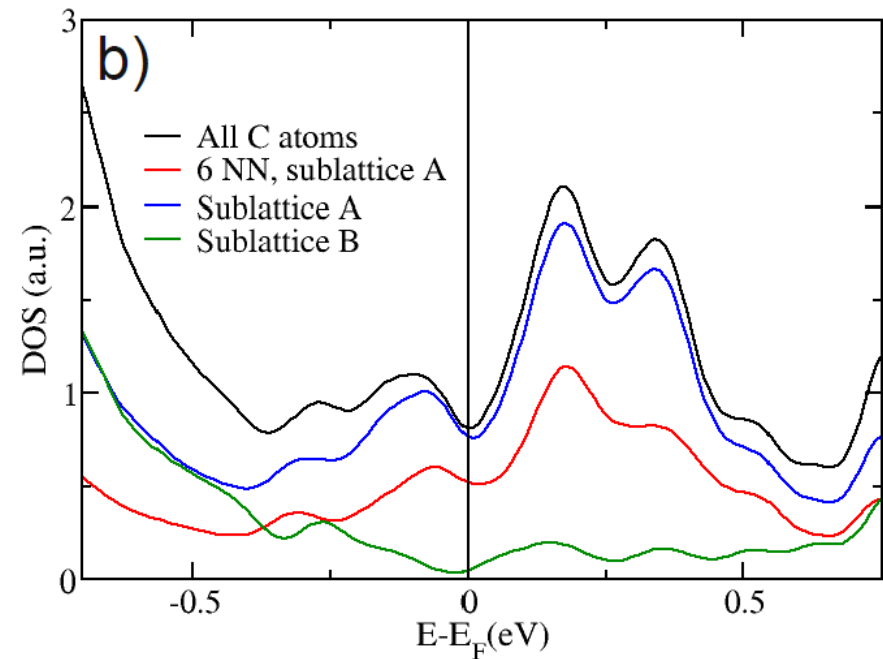


Character of the DOS resonance above E_F

Vacancy on Graphene



Vacancy on Gr/Pt(111)



The PDOS has essentially a **p-character** in this energy range

Conclusions

1. **Forces & Transport in Nanostructures with ab initio methods:** a perfect tool to extract information from STM and AFM experiments
2. **FM-AFM:** single-atom imaging, manipulation, and chemical identification on all kind of surfaces.
3. **Forces and currents in carbon nanostructures**
AFM contrast controlled by tip apex reactivity
STM contrast depends on distance: inversion !!
Graphene/Pt(111): interaction modifies significantly the properties of the defects
4. **Heterofullerenes from planar precursors:**
Atomic-scale Origami (2D chemistry+ surface catalyzed cyclization)
Same ideas applied to the production of graphene nanoribbons (Jao et al., Nature 458, 877 (2010))

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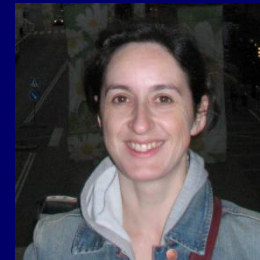
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Thank you for your attention !!

<http://www.uam.es/spmth>