

Are molecules calm enough in molecular electronics junctions?

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Collaborators



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(Arizona State Univ.)

First principle calculations of
transport properties

Experimental studies of
diode molecule

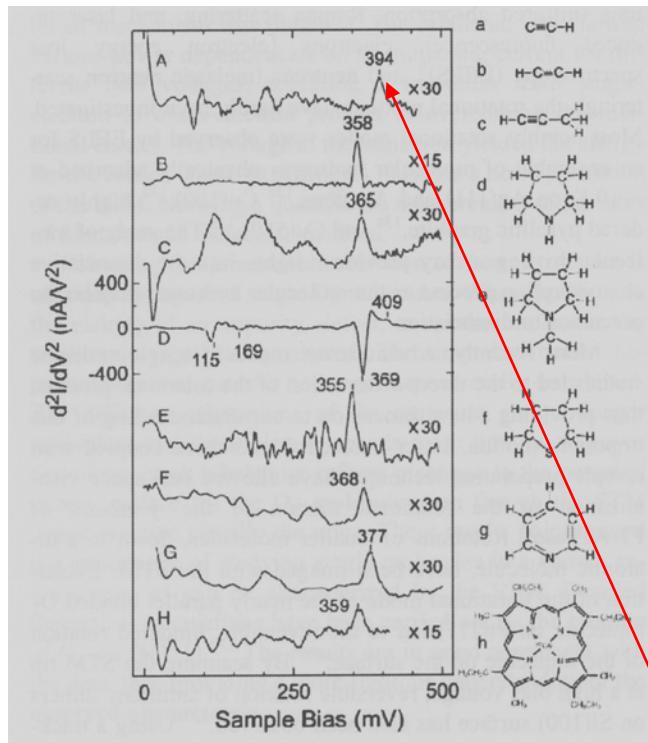


Experimental studies of small β of
organometallic Ru complexes

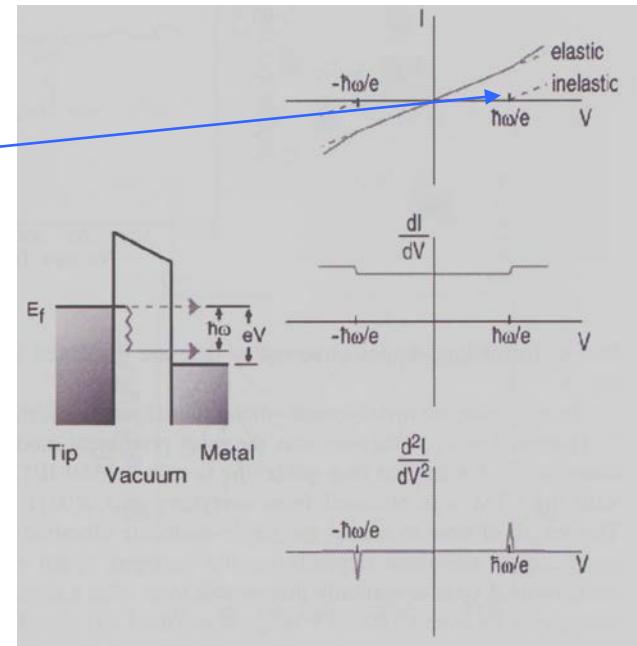
Dr. Takao Ishida (AIST) and Prof. M. Haga (Chuo Univ)

Local heating and inelastic scatterings

InElastic Tunneling Spectroscopy (IETS) in the tunneling region



New channel open when
 $V > \hbar\omega/e$



Ho(2002)

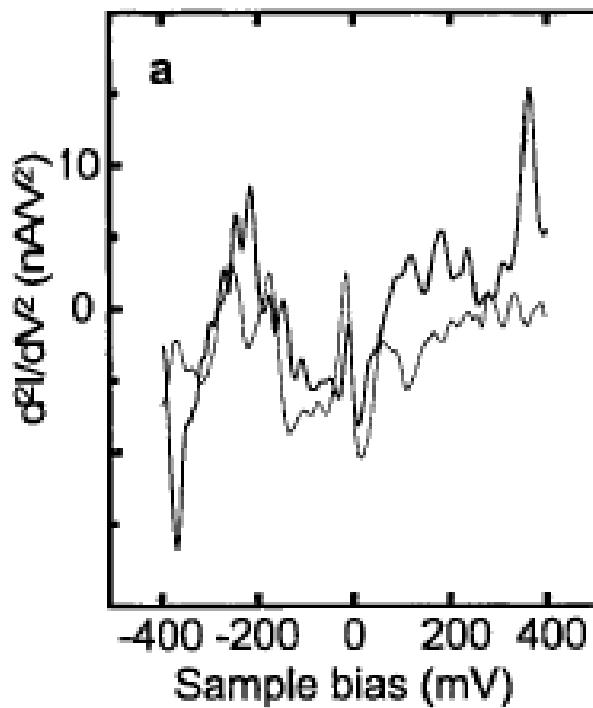
Stipe, (1999)

The peak position = vibrational energy
Electric current couples with molecular vibration inelastically

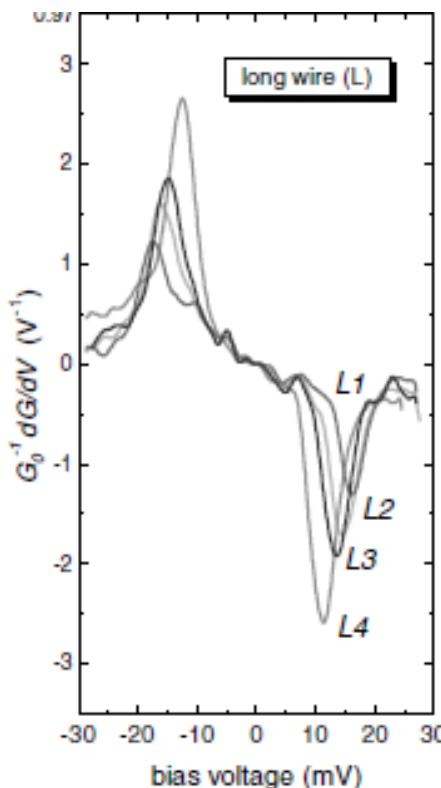
The Line shape of IETS

Importance of the energy gap to determine the line shape?

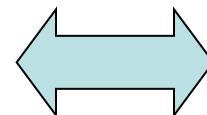
molecule



atomic wire



experiments



different!

K.Kim, T. Komeda, and M. Kawai,
Phys. Rev. Lett. 89, 126104 (2002)

N. Agraït, C. Untiedt, G. Rubio-Bollinger, and S. Vieira,
Phys. Rev. Lett. 88, 216803 (2002)

Keldysh GF theory of electric current

Electron-phonon coupling effects

$$\mathbf{G}_q^{<(>)}(E) = \mathbf{G}_C^R(E) \Sigma_q^{<(>)}(E) \mathbf{G}_C^A(E)$$

Elastic component

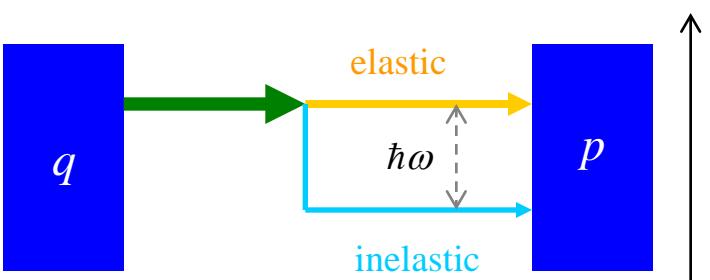
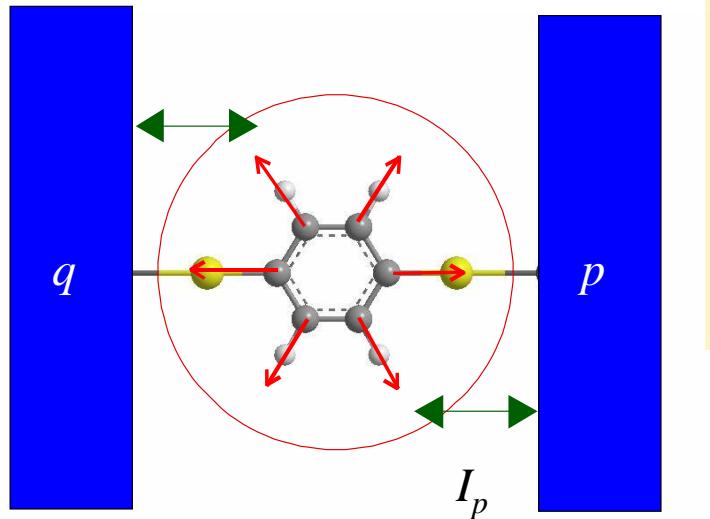
$$I_p = I_{elastic,p} + I_{inelastic,p}$$

$$\begin{aligned} I_{elastic,p} &= \frac{2e}{h} \sum_q \int_{-\infty}^{\infty} Tr \left[\Sigma_p^>(E) \mathbf{G}_q^<(E) - \Sigma_p^<(E) \mathbf{G}_q^>(E) \right] dE \\ &= \frac{2e}{h} \sum_q \int_{-\infty}^{\infty} Tr \left[\Gamma_p(E) \mathbf{G}_C^R(E) \Gamma_q(E) \mathbf{G}_C^A(E) \right] \{f_q(E) - f_p(E)\} dE \end{aligned}$$

Inelastic component

$$I_{inelastic,p} = \frac{2e}{h} \int_{-\infty}^{\infty} Tr \left[\Sigma_p^>(E) \mathbf{G}_{e-ph}^<(E) - \Sigma_p^<(E) \mathbf{G}_{e-ph}^>(E) \right] dE$$

$$\mathbf{G}_{e-ph}^{<(>)}(E) = \mathbf{G}_C^R(E) \Sigma_{e-ph}^{<(>)}(E) \mathbf{G}_C^A(E)$$



Standard theory of IETS

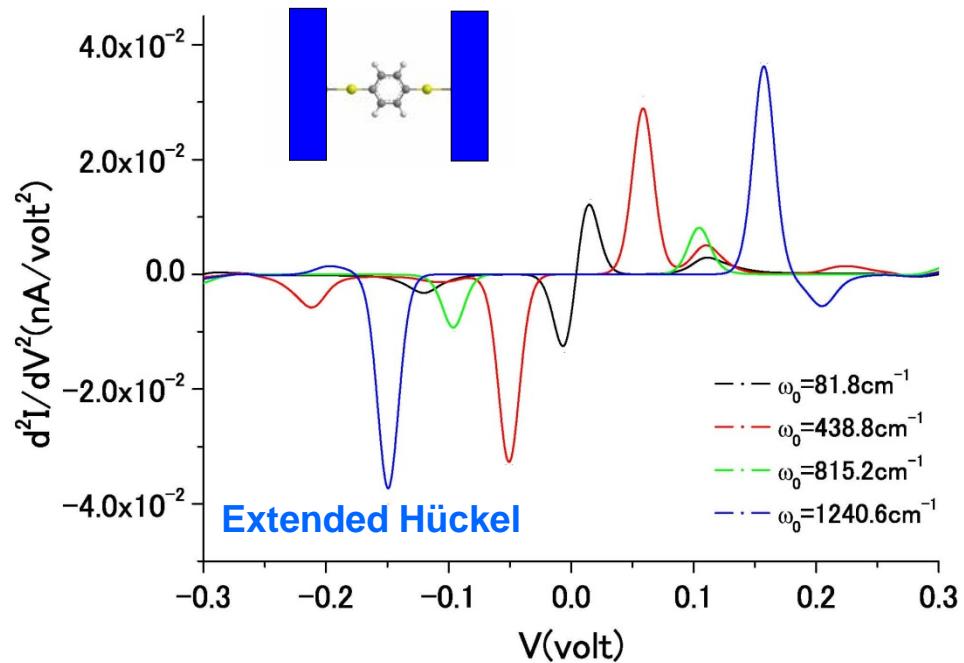
Thermal equilibrium phonon supposed!

Does it work well ?

Inelastic current calculation

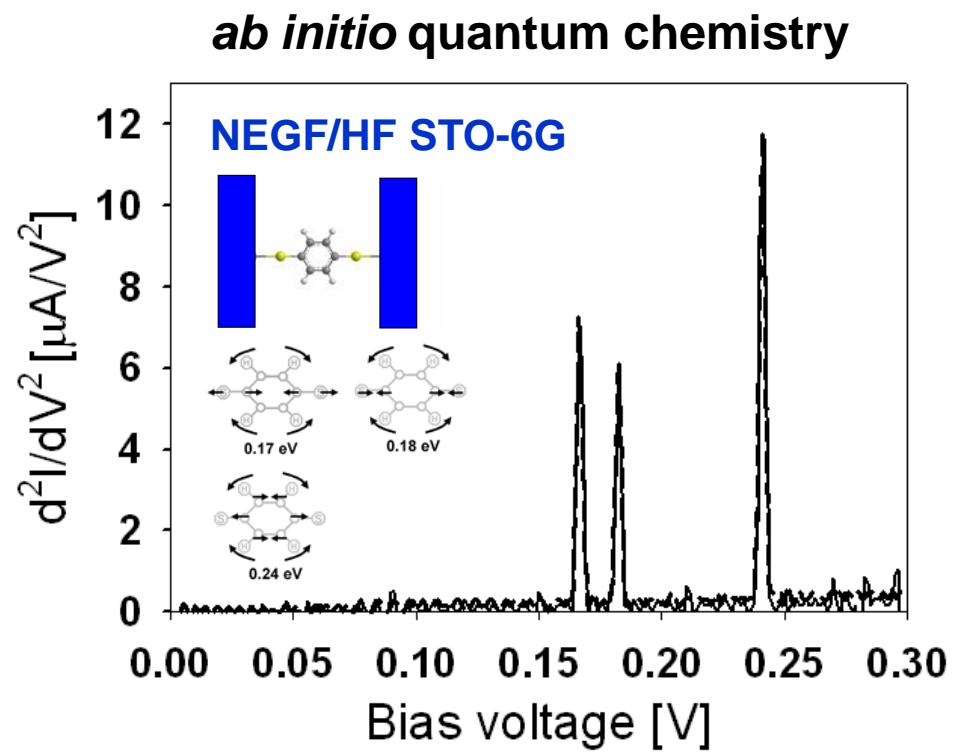
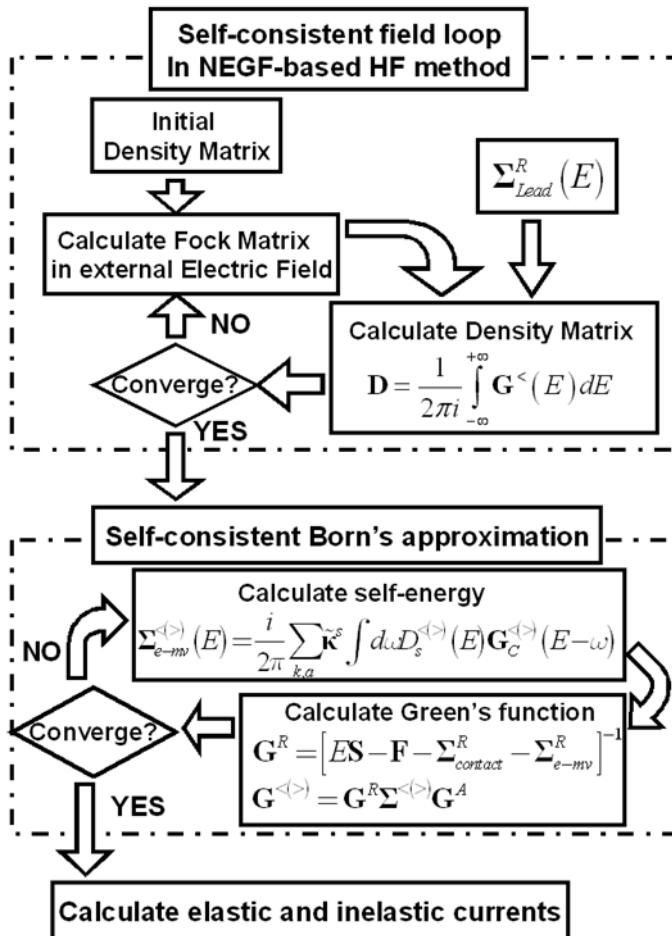
Self-consistent Born approximation

$$\begin{aligned}
 i_p &= i_{\text{elastic}} + i_{\text{inelastic}} \\
 i_{\text{elastic}} &= \frac{ie}{h} \sum_q \text{Tr} \left[\Sigma_p^< G^R \Gamma_q G^A - \Gamma_p G^R \Sigma_q^< G^A \right] \\
 i_{\text{inelastic}} &= \frac{ie}{h} \sum_q \text{Tr} \left[\Sigma_p^< G^R \Gamma_\varphi G^A - \Gamma_p G^R \Sigma_\varphi^< G^A \right] \\
 \Sigma_{rs}^{<\varphi}(E) &= \sum_{ab} \lambda_{rs}^{ab} \int d\omega D(\omega) G_{ab}^<(E - \omega) \\
 \Sigma_{rs}^{>\varphi}(E) &= \sum_{ab} \lambda_{rs}^{ab} \int d\omega D(\omega) G_{ab}^>(E + \omega) \\
 \lambda_{rs}^{ab} &= \frac{1}{2\omega_0} \sum_k \left\langle \chi_r | \psi_k \right\rangle \left(\frac{\partial \varepsilon_k}{\partial Q} \right)^2 \left\langle \psi_k | \chi_s \right\rangle u_{ka}^* u_{kb} \\
 \varepsilon_\gamma(Q) &= \varepsilon_\gamma(0) + \sum_n \left(\frac{\partial \varepsilon_\gamma}{\partial Q} \right)_0 Q_n + \sum_{nm} \left(\frac{\partial^2 \varepsilon_\gamma}{\partial Q_n \partial Q_m} \right)_0 \frac{Q_n Q_m}{2} + \dots
 \end{aligned}$$



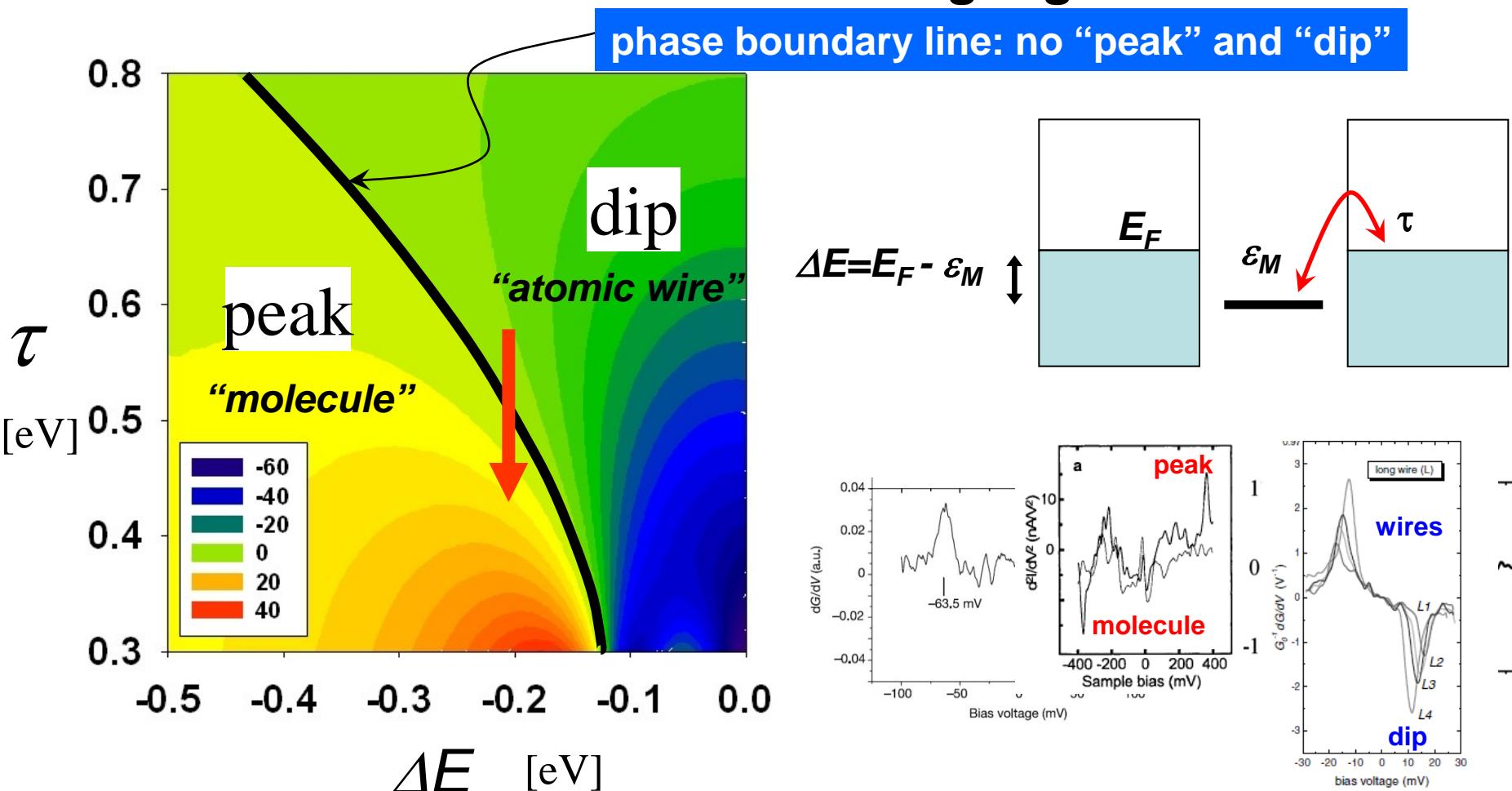
Y. Asai, Phys. Rev. Lett. 93, 246102 (2004);
94, 099901(E) (2005).

ab initio calculation of inelastic current



T. Shimazaki and Y. Asai, Phys. Rev. B77, 075110 (2008).

Line shape of d^2I/dV^2 resonant and tunneling regimes



cf) M. Galperin et al, H.Ueba et al
T. Shimazaki and Y. Asai, Phys. Rev. B77, 115428(2008)

Ex) opposite line shapes both in Pt/H₂/Pt

cf) van Ruitenbeek et al
M. Kiguchi et al

Standard theory of IETS

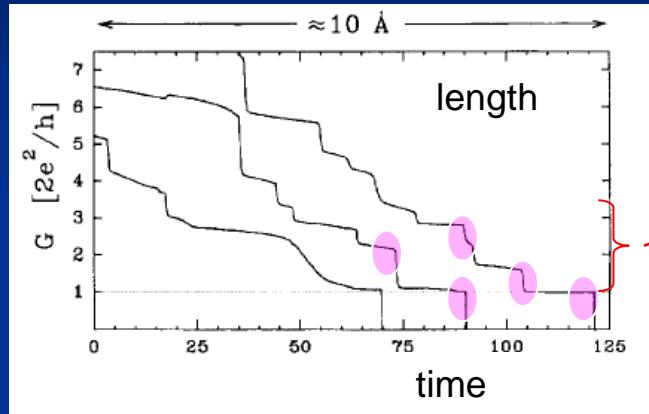
Thermal equilibrium phonon supposed!

It describes overall features of line shape and mode dependencies

It **may not be useful** to describe **energy conversion processes** because phonon is always supposed to be damped because of thermal bath, i.e., phonon heat transport cannot be described.

What is the local heating ?

Conductance measurements by MCBJ



J.M. Krans, J.M. van Ruitenbeek, L.J. de Jongh,
Physica B218, 228 (1996).

transient regions



hysteresis



two level fluctuation

thermally activated structure change

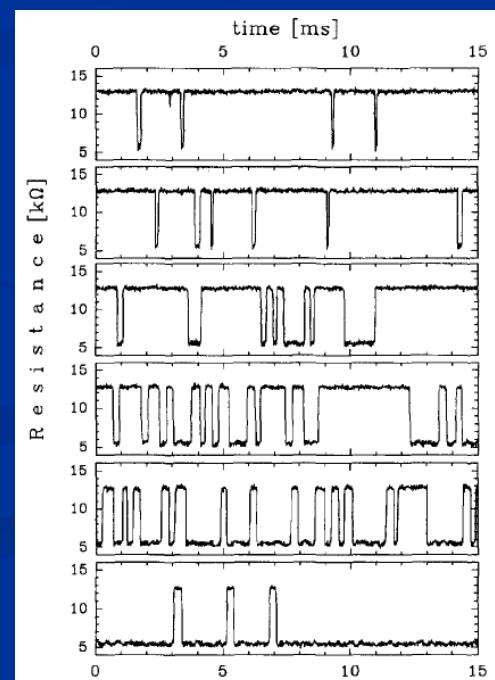
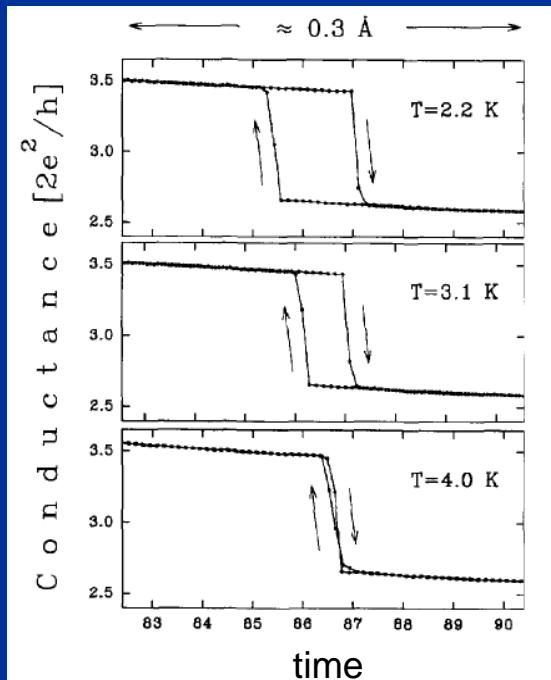


Why at low temperature $T=2\text{ K}$?

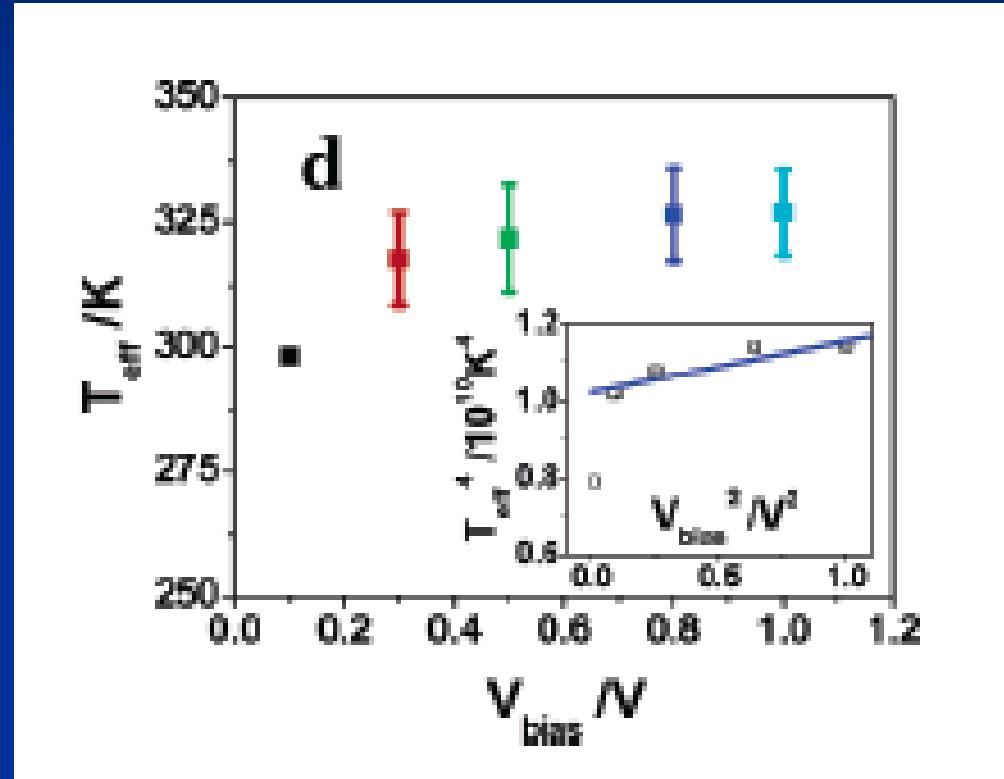
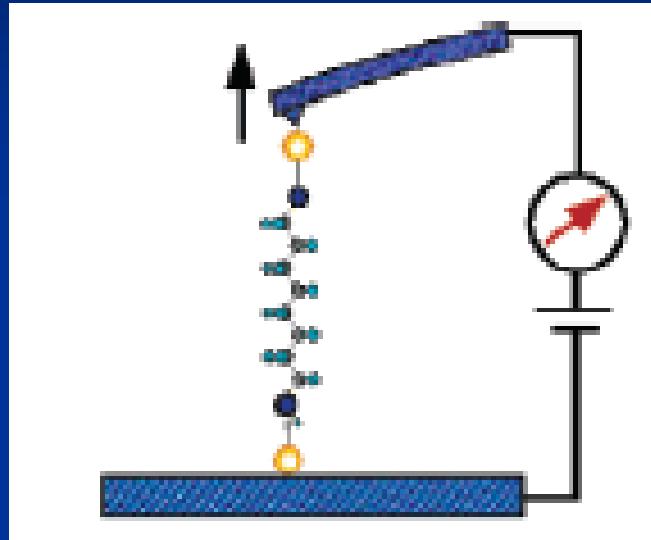


local heating due to current , T_{eff} ?

inelastic scatterings



Experimental measurements of the local heating in alkanedithiol



T_{eff} is estimated from lifetime of the junction fracture due to current flow:
It is not a thermodynamic “temperature”.

New theory of inelastic scatterings

... to describe local heating

Non-equilibrium phonon effect
(phonon heat transport / phonon diffusion effect) is included!

How does it work?

Theoretical scheme to treat the local heating

1. Keldysh GF theory for electrons and phonons

$$I = \sum_q \int_{-\infty}^{\infty} j_q(E) dE$$

$$j_q(E) = \frac{2e}{h} \text{Tr} \left[\begin{array}{l} \Sigma_p^>(E) \mathbf{G}^R(E) (\Sigma_q^<(E) + \Sigma_{e-ph}^<(E)) \mathbf{G}^A(E) \\ -\Sigma_p^<(E) \mathbf{G}^R(E) (\Sigma_q^>(E) + \Sigma_{e-ph}^>(E)) \mathbf{G}^A(E) \end{array} \right]$$

$$Q = -\sum_q \int_{-\infty}^{\infty} \frac{E}{e} \cdot j_q(E) dE + \int_{-\infty}^{\infty} 2\pi q(E) dE$$

$$q(E) = \frac{2\pi E}{h} \text{Tr} \left[\begin{array}{l} \Pi_p^>(E) \mathbf{D}^R(E) (\Pi_q^<(E) + \Pi_{e-ph}^<(E)) \mathbf{D}^A(E) \\ -\Pi_p^<(E) \mathbf{D}^R(E) (\Pi_q^>(E) + \Pi_{e-ph}^>(E)) \mathbf{D}^A(E) \end{array} \right]$$

2. Self-consistent Born approx. to treat the SSH e-ph coupling both for electrons and phonons

$$\Sigma_{e-ph}^{<(>)}(E) = i \frac{\kappa^2}{M} \int \mathbf{G}^{<(>)}(E - E') \mathbf{D}^{<(>)}(E') dE'$$

$$\mathbf{G}^{<(>)}(E) = \mathbf{G}^R(E) \left(\sum_q \Sigma_q^{<(>)}(E) + \Sigma_{e-ph}^{<(>)}(E) \right) \mathbf{G}^R(E)$$

$$\Pi_{e-ph}^{<(>)}(E) = i \frac{\kappa^2}{\pi M} \int \mathbf{G}^{<(>)}(E + E') \mathbf{G}^{>(<)}(E') dE'$$

$$\mathbf{D}^{<(>)}(E) = \mathbf{D}^R(E) \left(\sum_q \Pi_q^{<(>)}(E) + \Pi_{e-ph}^{<(>)}(E) \right) \mathbf{D}^R(E)$$

3. Thermal boundary conditions both for electrons and phonons

$$-i\Sigma_q^<(E) = if_q(E) [\Sigma_q^R(E) - \Sigma_q^A(E)]$$

$$i\Sigma_q^>(E) = i[1 - f_q(E)] [\Sigma_q^R(E) - \Sigma_q^A(E)]$$

$$-i\Pi_q^<(E) = -ib_q(E) [\Pi_q^R(E) - \Pi_q^A(E)]$$

$$i\Pi_q^>(E) = i[1 + b_q(E)] [\Pi_q^R(E) - \Pi_q^A(E)]$$

(electrons and phonons are thermalized only in electrodes)

4. Quantum phonon across the bridge junction

$$\bar{D}_{CC}^R(\omega) = \frac{\hbar}{2\pi} \left\{ \alpha_s^2 I_{32} I_{23} K_C - K_C - \frac{1}{2\pi\hbar} \sum_{I_p=I_q}^{L_{ph}-1} K_{I_p} \left[\int_{-x}^x \int_{-x}^x D_{0,0}^R(\vec{Q}_q, \omega) dQ_x dQ_p \right] K_{I_p} \right\}^{-1}$$

displacement correlation function

$$D_{a,p}^X(t, j, t) = -i\delta(t) \langle [c_{aj}(t), c_{pj}(0)] \rangle$$

equation of motion

$$i\partial_t D_{a,p}^X(t, j, t) = \delta(t) \langle [c_{aj}(t), c_{pj}(0)] \rangle + \delta(t) \langle [c_{aj}(t), c_{pj}(t)] \rangle$$

$$\sum_k \{ \omega_s^2 \delta_{kj} \delta_{ja} - K_{aj}(t, k) \} D_{a,p}^X(k, j, \omega) = \frac{\hbar}{2\pi} \delta_{ap} \delta_{jj}$$

surface Green's function

$$D_{0,0}^X(\vec{Q}_q, \omega) = \frac{\hbar}{2\pi} \{ \omega_s^2 I - K_0(\vec{Q}_q) - K_s(\vec{Q}_q) T_s(\vec{Q}_q, \omega) \}^{-1}$$

partitioning into semi-infinite electrodes and molecule

$$\begin{pmatrix} \omega_s^2 I_{M_p \times M_p} - K_M & -K_{M_p} & 0 \\ -K_{M_p} & \omega_s^2 I_{M_C \times M_C} - K_C & -K_{C,M_p} \\ 0 & -K_{C,M_p} & \omega_s^2 I_{M_M \times M_M} - K_M \end{pmatrix} \begin{pmatrix} D_{M_p}^R & D_{C,M_p}^R & D_{M_M}^R \\ D_{C,M_p}^R & D_C^R & D_{M_M}^R \\ D_{M_M}^R & D_{M_M,C}^R & D_{M_M,M_p}^R \end{pmatrix} = \frac{\hbar}{2\pi} I_{M+M_p}$$

dividing electrodes into layers

$$\sum_{Q_p} \{ \omega_s^2 \delta_{Q_p, Q_p} \delta_{ja} - K_{ja}(\vec{Q}_p) \} D_{a,p}^X(t_p, j_p, \vec{Q}_p, \omega) = \frac{\hbar}{2\pi} \delta_{ap} \delta_{j,j_p}$$

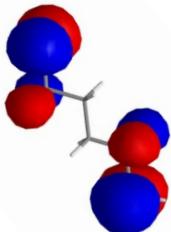
$$\begin{pmatrix} \omega_s^2 I - K_s(\vec{Q}_p) & -K_s(\vec{Q}_p) & 0 & \cdots & D_{M_p}^X(\vec{Q}_p, \omega) & D_{C,M_p}^X(\vec{Q}_p, \omega) & \cdots \\ -K_s(\vec{Q}_p) & \omega_s^2 I - K_s(\vec{Q}_p) & -K_s(\vec{Q}_p) & \cdots & D_{C,M_p}^X(\vec{Q}_p, \omega) & D_s^X(\vec{Q}_p, \omega) & \cdots \\ 0 & -K_s(\vec{Q}_p) & \omega_s^2 I - K_s(\vec{Q}_p) & \cdots & D_{M_M,C}^X(\vec{Q}_p, \omega) & D_{M_M}^X(\vec{Q}_p, \omega) & \cdots \\ \vdots & & & & \ddots & & \end{pmatrix} = \frac{\hbar}{2\pi} I_{M+M_p}$$

recursion equation to solve the semi-infinite GF equation

Real space approach: normal coordinate unavailable

alkanedithiol: parameters (π)

τ of π orbitals ... small and sensitive to conformations



Au 6s band

$$\varepsilon_{C_1}, \varepsilon_{C_2}, \varepsilon_s, t_{SC_1}, t_{C_1C_2}, t_{C_2C_3}$$

... determined by fitting with the 4 π orbitals

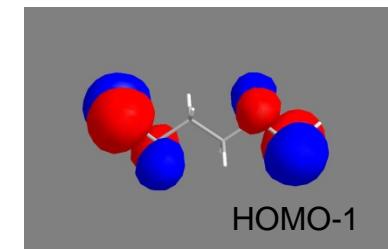
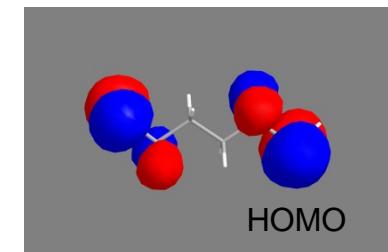
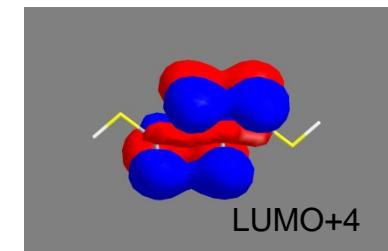
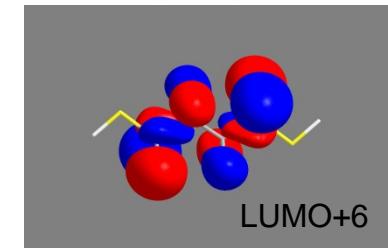
$$\Phi_{\alpha\beta}$$

... from literatures, cf) Shimanouchi (1967)

$$\lambda_{ij}^\beta$$

... from the equilibrium condition of the stable structure of the molecule

$$d\langle H \rangle / dr = 0$$



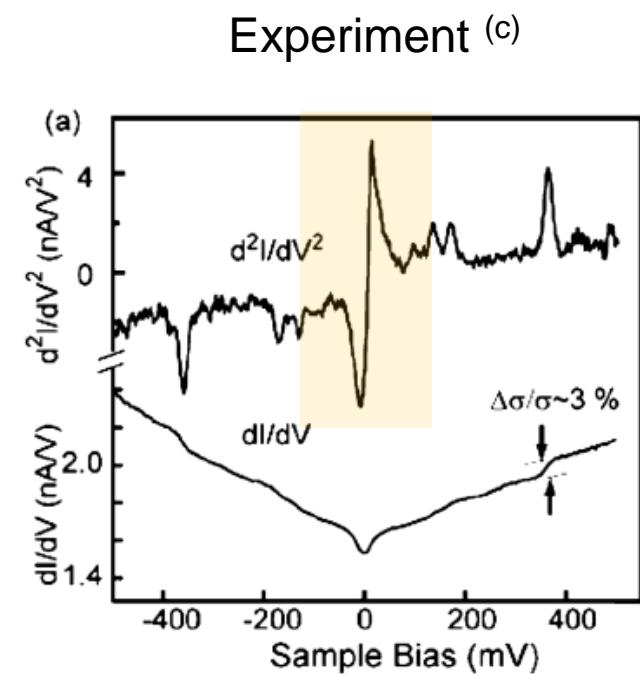
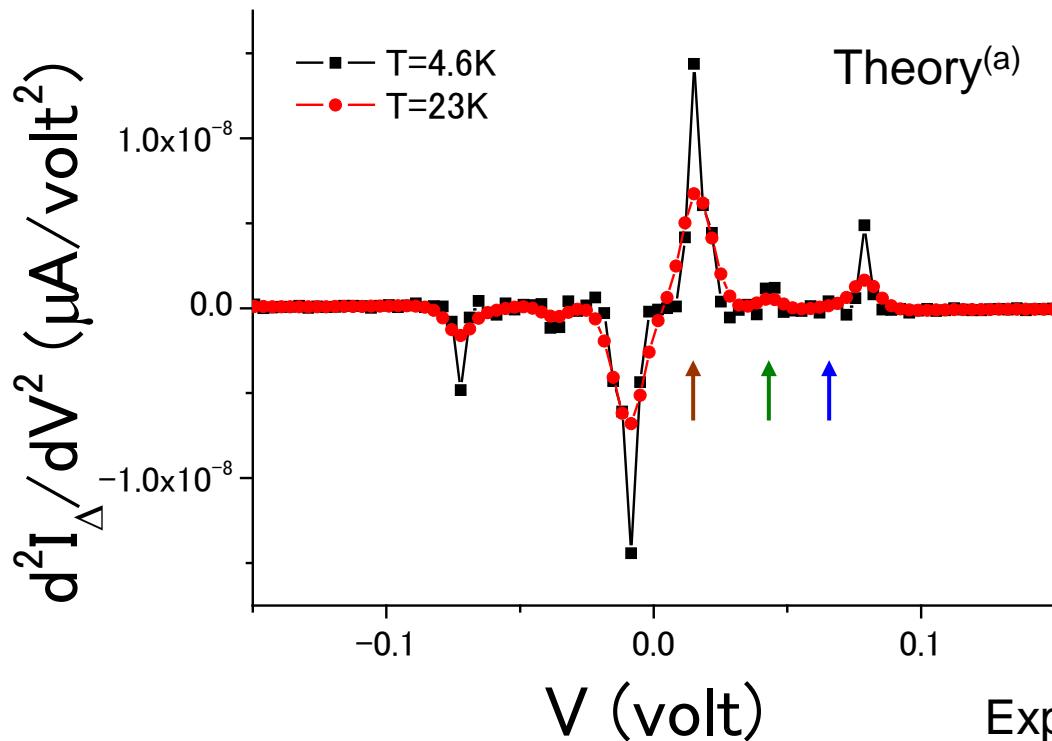
π orbital contact

SSH model augmented by LJ potential

$$H_{SSH+LJ} = - \sum_{\langle ij \rangle, \beta, \sigma} t_{ij} \left[1 - \lambda_{ij}^\beta (u_{i\beta} - u_{j\beta}) \right] (c_{i\sigma}^\dagger c_{j\sigma} + H.c.) + \sum_{i,\sigma} \varepsilon_i n_{i\sigma} \\ + 1/2 \sum_{j=1}^N \sum_{\alpha=1}^3 M_j \left(du_{j\alpha} / dt \right)^2 + 1/2 \sum_{ij}^N \sum_{\alpha\beta}^3 u_{i\alpha} \Phi_{\alpha\beta} (\vec{R}_i - \vec{R}_j) u_{j\beta}$$

IETS of alkanedithiol

- comparison with experiments -



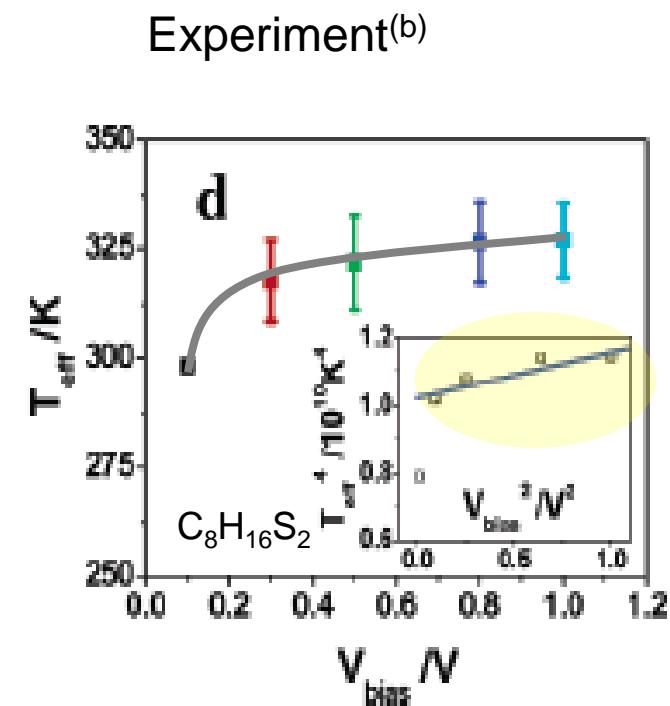
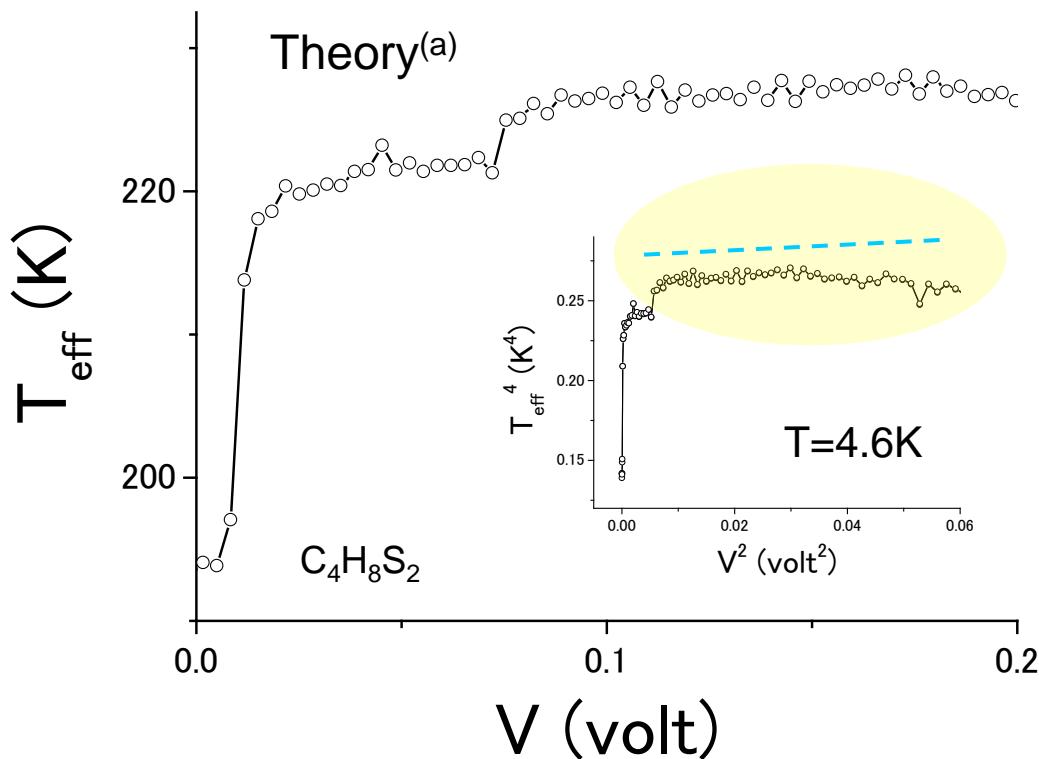
- (a) Y. Asai, Phys. Rev. B78, 045434 (2008), and in preparation.
- (b) J. Hihath, C. R. Arroyo, G. Rubio-Bollinger, N.J. Tao, and N. Agrait, *Nano Letter*, 8, 1673 (2008).
- (c) N. Okabayashi, Y. Konda and T. Komeda, Phys. Rev. Lett. 100, 21708 (2008)



Experiment (b)

-127	126	1000	126-13	123	ν_{CC}	
-100	100	798	89-97		ν_{CH_2}	
-69	67	556	74-89	73	ν_{CS}	
-46	46	371	42-50	48	ν_{CS}	
-15	15	120	12-20	10	ν_{AuAu}	

local heating



(a) Y. Asai, Phys. Rev.B78,045434 (2008), and in preparation.

(b) Z. Huang, B. Xu, Y. Chen, M. Di Ventra and N.J. Tao, *Nano Letter*, 6, 12403 (2006).

dumping life time of phonon
is very important to describe
the local heating precisely

Electron correlation effect on IETS

both e-e and e-ph scattering effects included !

it means a decrease of the offset in IETS

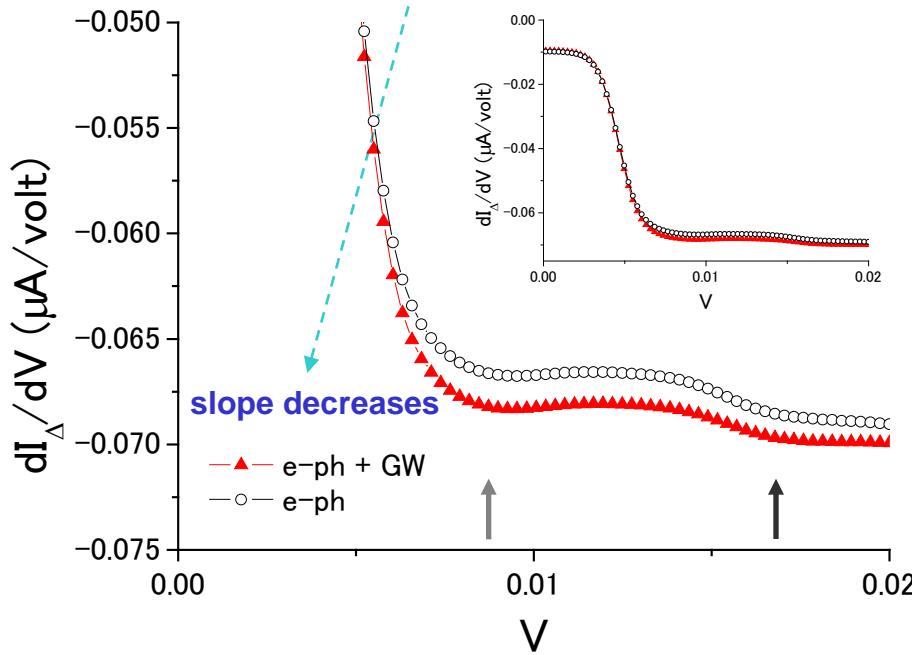
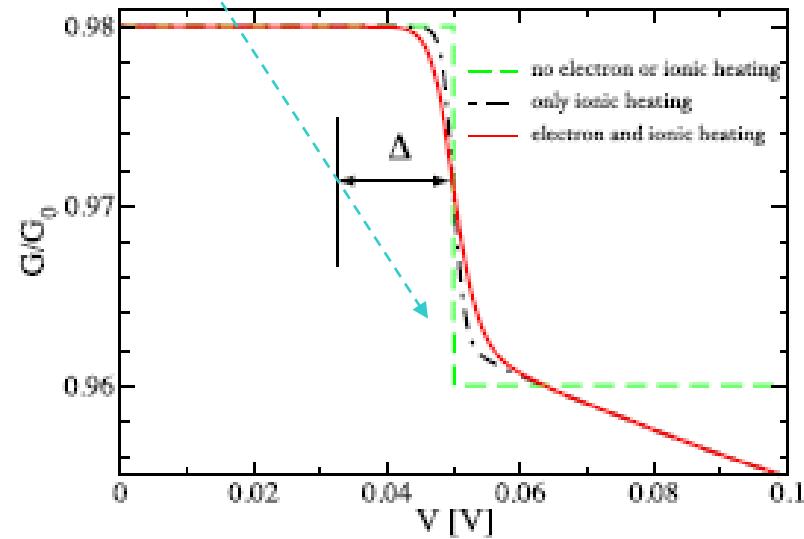


diagram calculation:
SCB for e-ph + SCGW for e-e

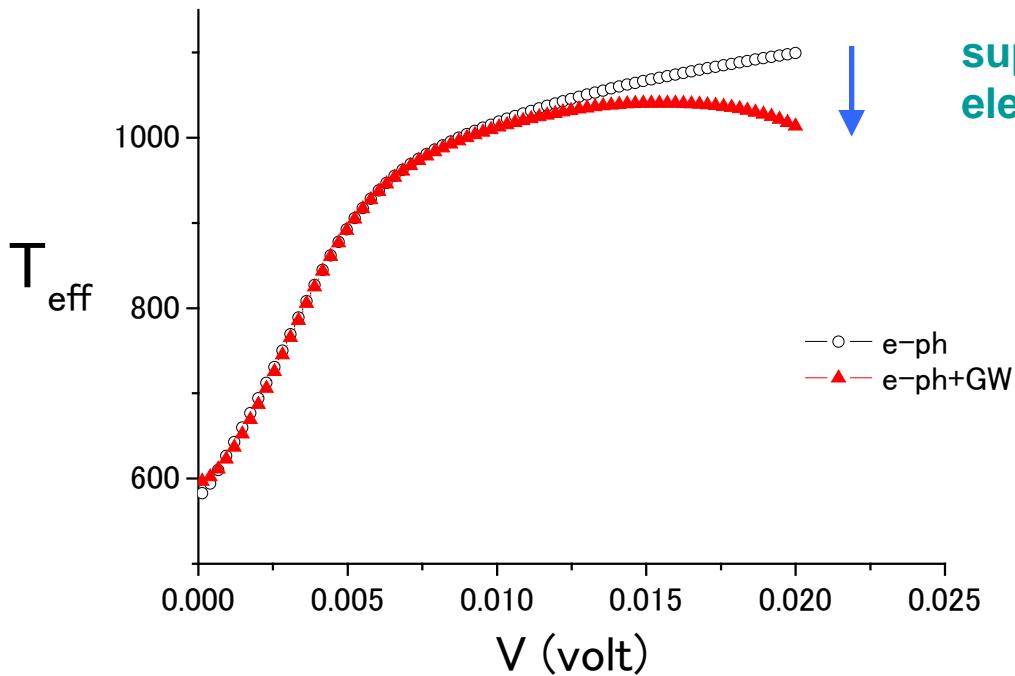
it brings a broadening of the main peak in IETS



R.D Agosta and M. Di Ventra (2008)
“Hydrodynamical approach”

Electron correlation effect on the local heating

both e-e and e-ph scatterings included !



suppression of e-ph coupling due to
electron correlation ?

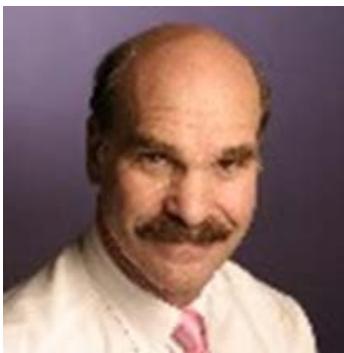
- suppression of the dimerization due to electron correlation (conducting polymer theory)
 - Subbaswamy, Grabowski (1981)
 - Horsch (1981)
 - Hirsch (1983)
 - Campbell (1984)
 - Kilvelson (1986)

summary (local heating)

- Non-equilibrium phonon effect (phonon heat transport / phonon diffusion effect) is very important to describe voltage dependence of the local heating and its “effective temperature”.
- $T_{eff}^4 \simeq cV^2$ almost holds but there is a deviation at higher voltage region which should be ascribed to the quasi-ballistic phonon transport, which may become possible either with larger energy gap with E_F or electron correlation

Diode property

Molecular rectifier



MOLECULAR RECTIFIERS

Arieh AVIRAM

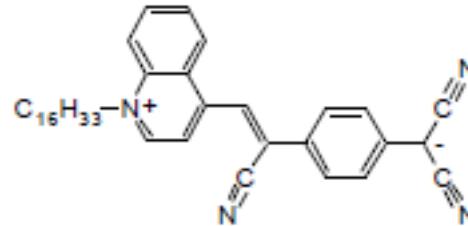
*IBM Thomas J. Watson Research Center,
Yorktown Heights, New York 10598, USA*

and

Mark A. RATNER*

*Department of Chemistry, New York University,
New York, New York 10003, USA*

Received 10 June 1974



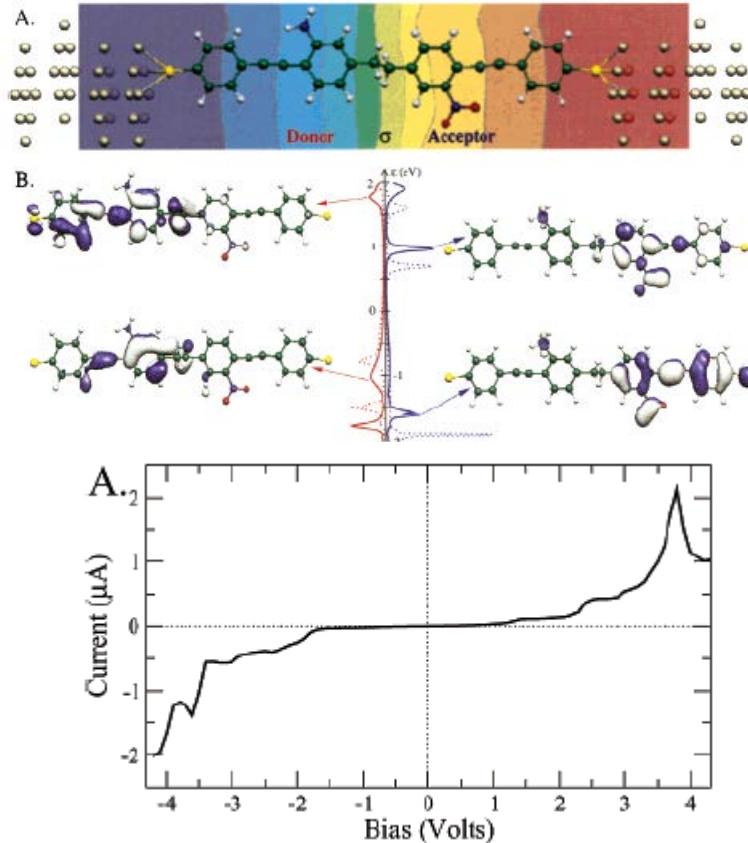
1, $C_{16}H_{33}Q\text{-}3CNQ$

eleven unimolecular rectifiers

A-R mechanism?

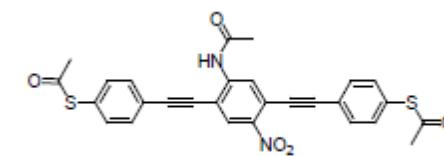
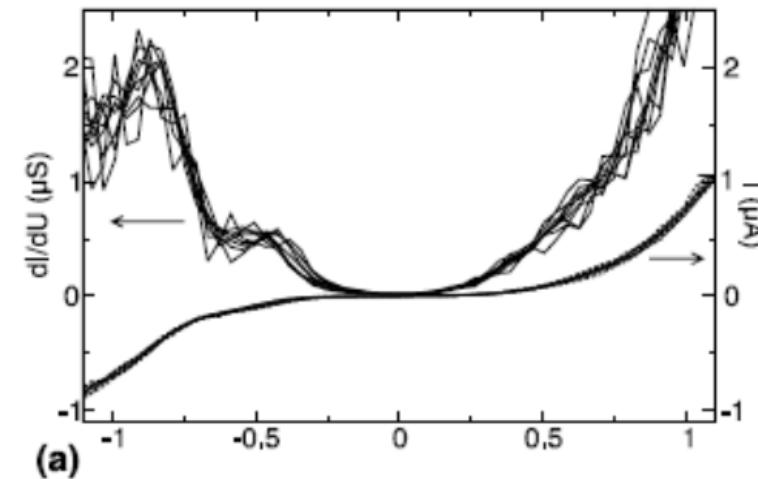
Need to know the mechanism at work!!

Only small asymmetry, if any, in first principle MF theories



K. Stokbro et al, JACS (2003)

**Very small asymmetry limited to
high bias voltage (LDA calculation)**

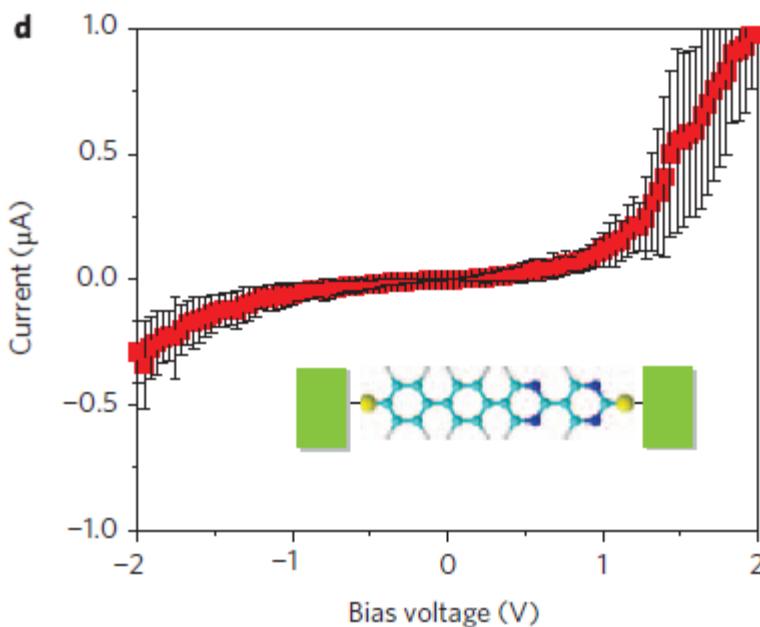


experiments

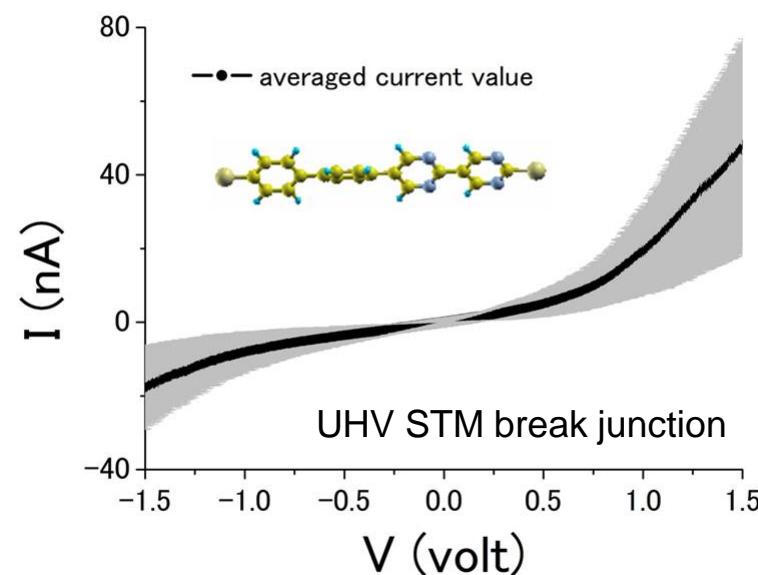
H.B. Weber et al, Chem. Phys. (2002).

Large asymmetry

found in tetraphenylhydithiol derivative (dipyrimidinil-diphenyl diblock)



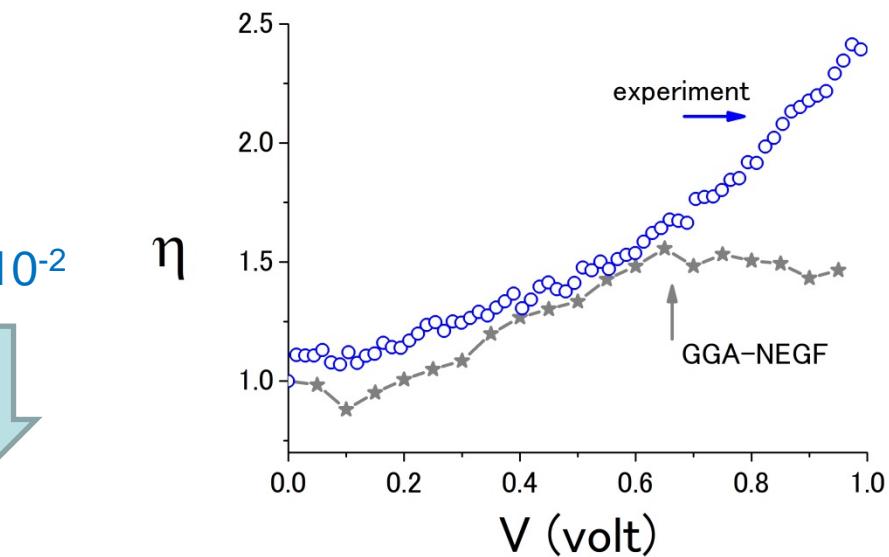
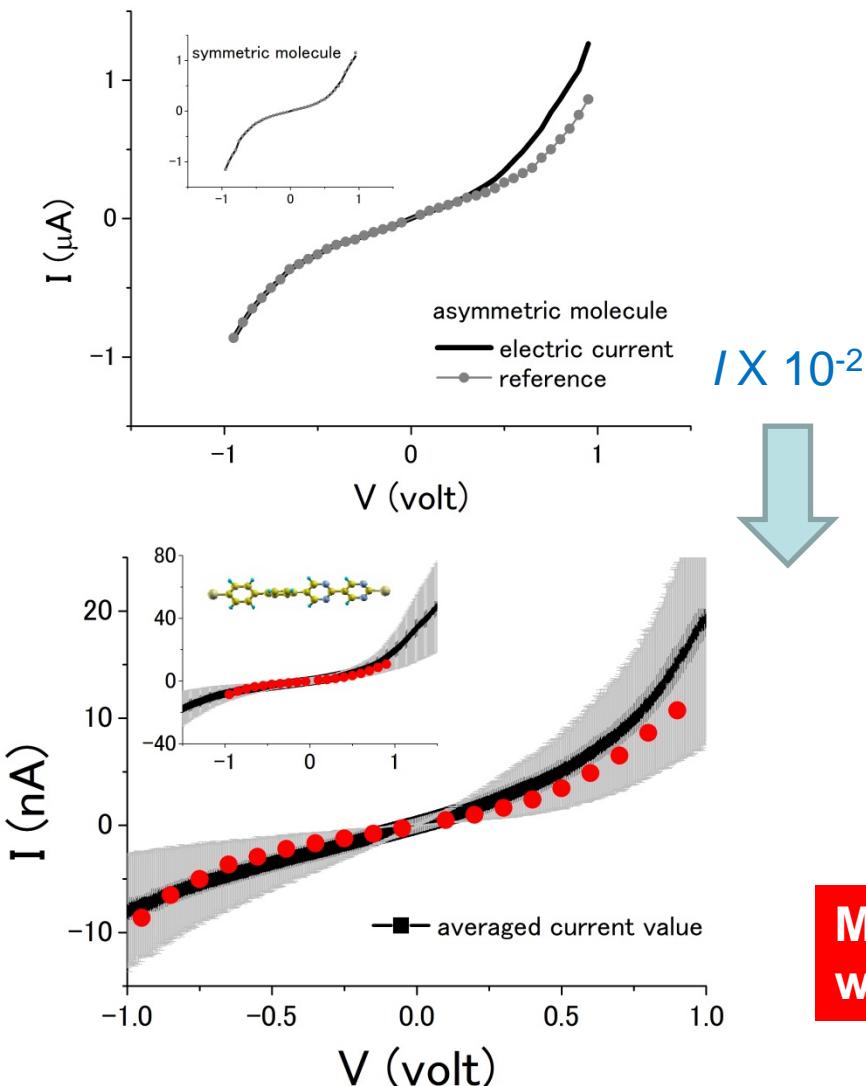
STM break junction in wet environment
I.Díez-Pérez et al, Nature Chemistry (2009)



Y. Asai, H. Nakamura, J. Hihath, C. Bruot, and NJ Tao,
submitted.

Larger asymmetry in UHV!

Pro & cons of first principles MF



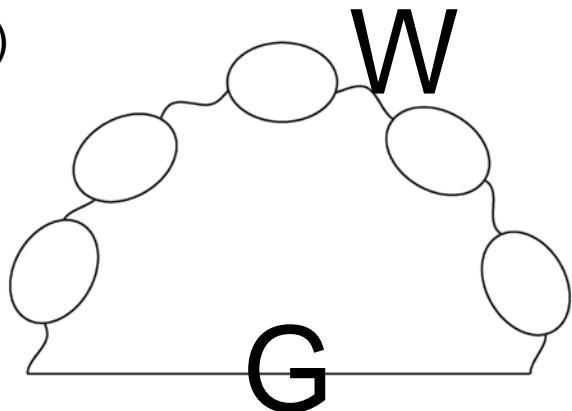
asymmetry parameter: $\eta(V) = I(V)/I(-V)$

MF theory works when $V < 0.5$, but it fails when $V > 0.5$ where the asymmetry grows

Electron correlation effects on non-equilibrium transport properties

RPA (GW)

$\sum =$



SSH+LJ+U+V model

$$H_{SSH+LJ+U+V} = H_{SSH+LJ} + \sum_i U n_{i\uparrow} n_{i\downarrow} + V \sum_{\langle i,j \rangle} n_i n_j$$

Negligible in tunneling region:
Calculations on resonant systems



Kadanoff-Baym (1962)

$$\Sigma^{<(>)}(\omega) = \int \frac{d\lambda}{2\pi} W^{<(>)}(\omega - \lambda) G^{<(>)}(\lambda)$$

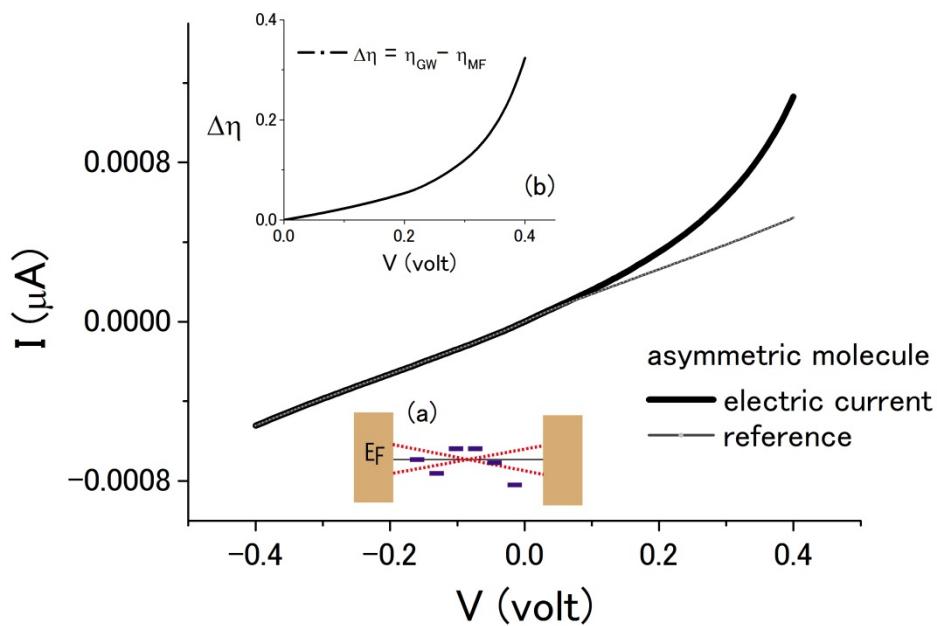
$$W^{<(>)}(\omega) = W^R(\omega) \Pi^{<(>)}(\omega) W^A(\omega)$$

$$\Pi^{<(>)}(\omega) = \int \frac{d\omega'}{2\pi i} G^{>(<)}(\omega' - \omega/2) G^{<(>)}(\omega' + \omega/2)$$

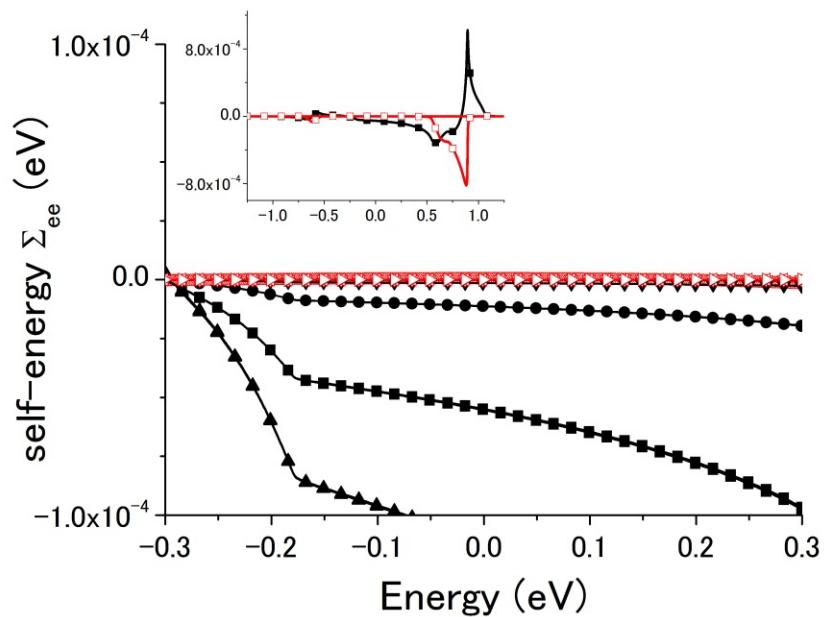
$$W^R(\omega) = v + v R^R(\omega) v$$

$$R^R(\omega) = (1 - \Pi^R(\omega) v)^{-1} \cdot \Pi^R(\omega)$$

Electron correlation effect within GW approximation



Electron self-energy Σ_{GW} at $V=0$:



Near E_F : $\text{Im } \Sigma_{GW} \approx 0$
 energy dependent $\text{Re } \Sigma_{GW} \rightarrow$
Correlation effect beyond LDA is important

summary (diode molecule)

- The **giant diode property** found experimentally in tetraphenylidithiol derivative (dipyrimidinil-diphenyl diblock) cannot be described within LDA/GGA.
- The **diode property** is largely **determined by electronic correlation effect** and is mostly explained by screened Coulomb Random Phase Approximation (GW).

Summary

- Lots of non-equilibrium physical processes accompanying electric current have now become possible to be discussed with atomistic theory. Some can be done with *ab initio*. The number of the latter case being increased!
- Detail comparison between theory and experiments has become possible in many problems.
- These advances let me conclude that;

**COMPARED WITH EXPERIMENTS
... MOLECULES ARE NOT "CALM" ENOUGH BUT IS IN NOISY ENV.**