

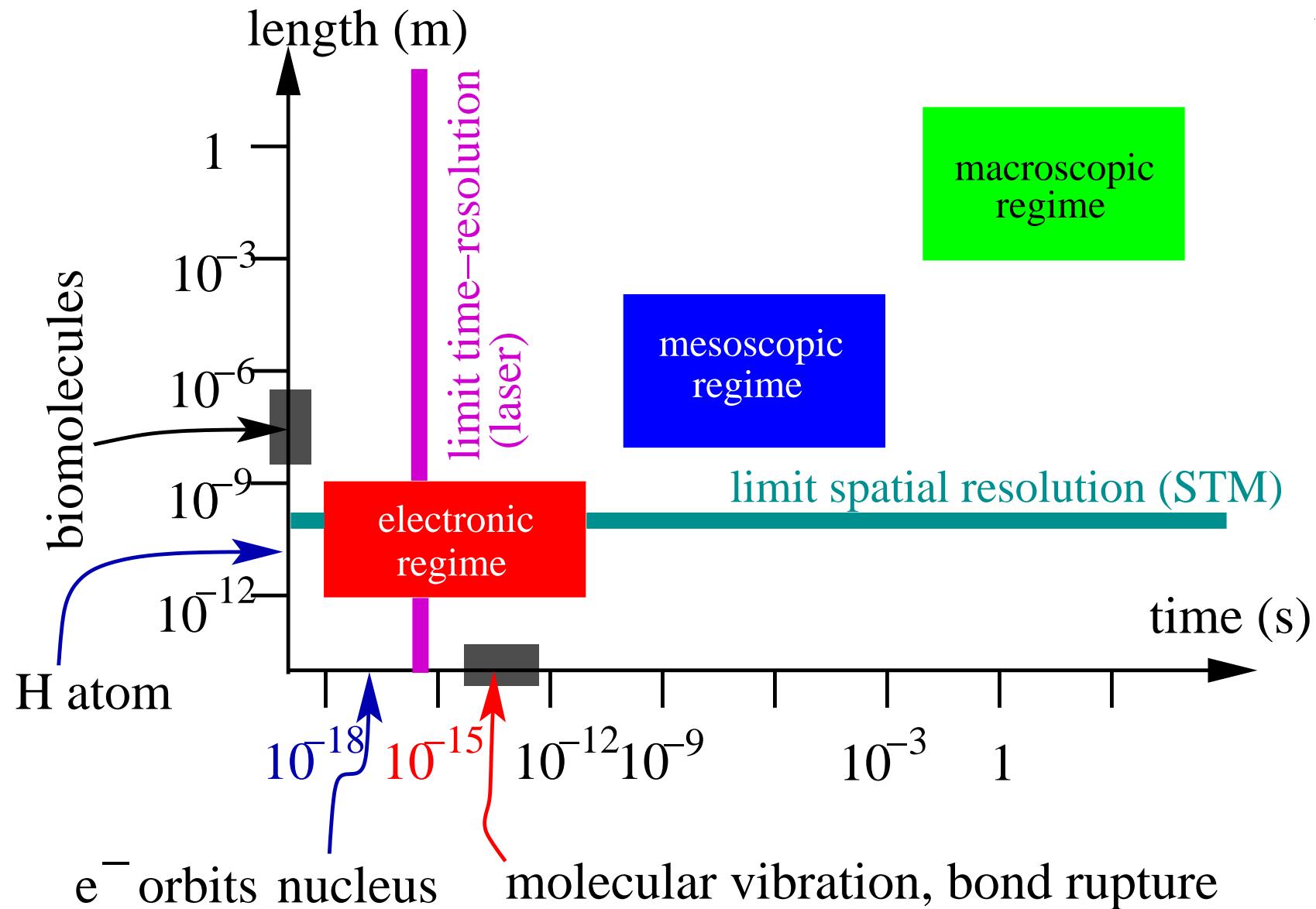
# ELECTRON AND ATOM MOTION IN MOLECULES AND NANOSTRUCTURES



Peter Saalfrank

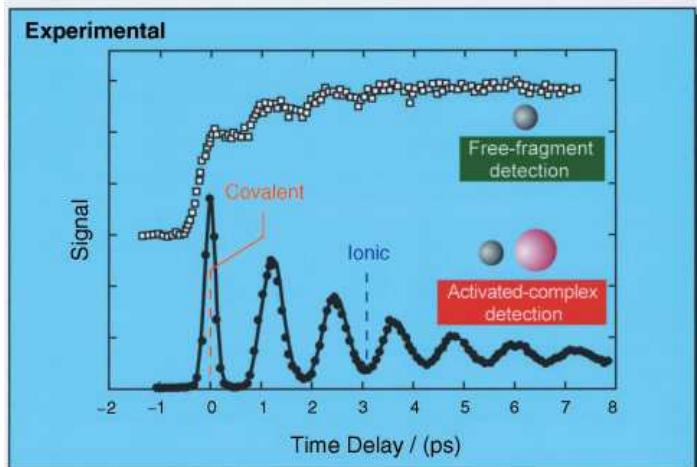
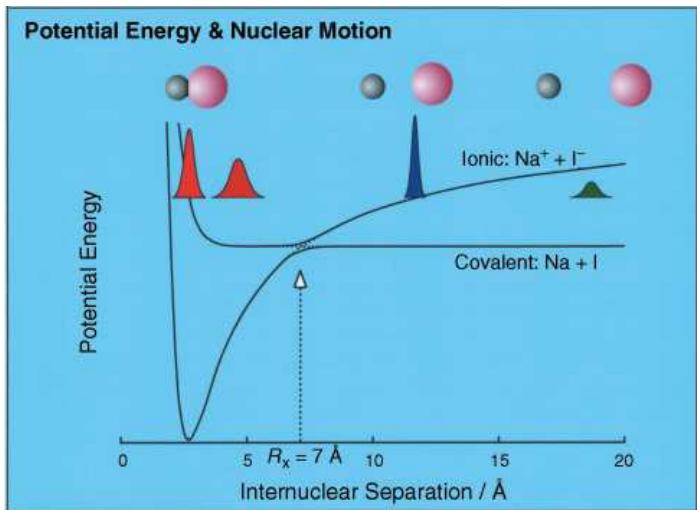
University of Potsdam, Germany

# TIME- AND LENGTH-SCALES IN CHEMISTRY



# ELECTRONS AND ATOMS IN MOTION

- Atom motion

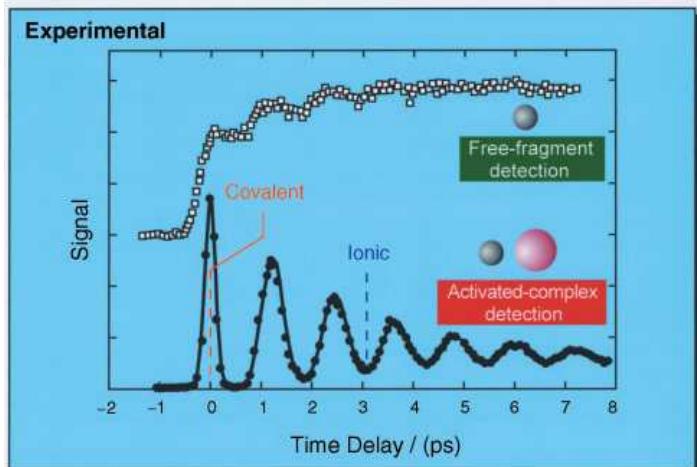
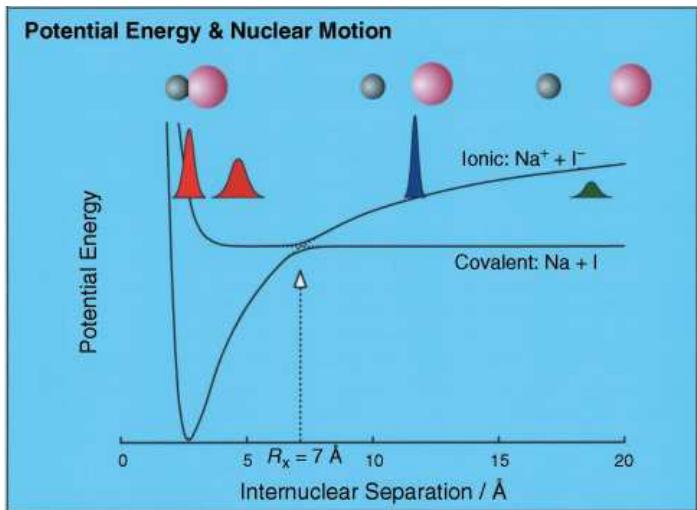


Baskin, Zewail, J. Chem. Ed. **78**, 737 (2001)

femtosecond chemistry

# ELECTRONS AND ATOMS IN MOTION

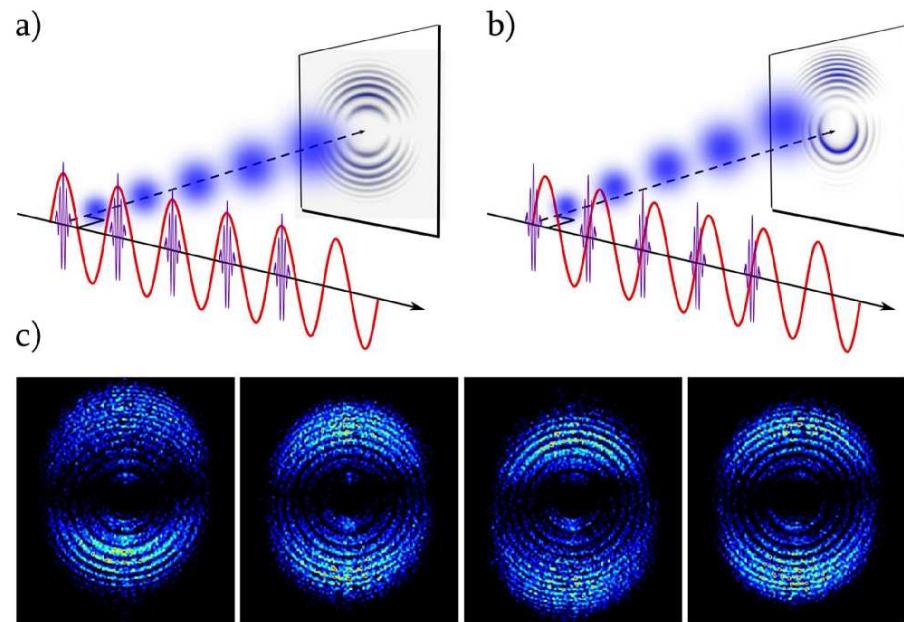
## • Atom motion



Baskin, Zewail, J. Chem. Ed. **78**, 737 (2001)

femtosecond chemistry

## • Electron motion



Mauritsson *et al.*, PRL **100**, 073003 (2008)

<http://www.youtube.com/>

attosecond physics

Krausz, Corkum, ...

## • Born-Oppenheimer separation

# OUTLINE

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- **Laser-driven electron dynamics**

## Methods

- ❶ A simple example: H<sub>2</sub>
- ❷ Charge transfer and transport in MIM contacts
- ❸ Charge transfer in molecular systems
- ❹ Dissipative electron dynamics

# OUTLINE

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- **Laser-driven electron dynamics**

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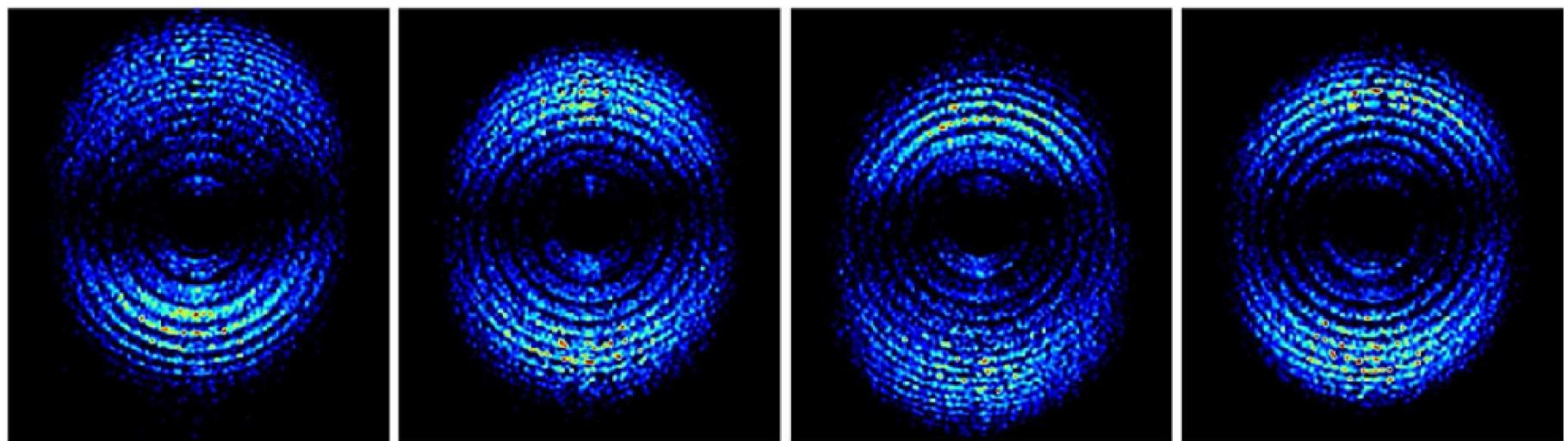
- **Nuclear dynamics with and without lasers**

Methods

- ❶ An STM-driven switch: COD/Si(100)
- ❷ Vibrational relaxation and excitation: H/Si(100), CO/Cu(100)

# LASER-DRIVEN ELECTRON DYNAMICS

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# LASERS AND ELECTRON DYNAMICS: METHODS

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- The N-electron time-dependent Schrödinger equation

$$i\hbar \frac{\partial \Psi(\underline{x}_1, \dots, \underline{x}_N, t)}{\partial t} = \left[ \hat{H}_{el}(\underline{x}_1, \dots, \underline{x}_N) - \hat{\mu} \underline{E}(t) \right] \Psi(\underline{x}_1, \dots, \underline{x}_N, t)$$

$$\hat{\mu} = - \sum_i^N \underline{r}_i + \sum_A^{N_A} Z_A \underline{R}_A$$

# LASERS AND ELECTRON DYNAMICS: METHODS

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## • Solution techniques

- One-electron approaches
- Single-determinant methods

– TD-HF:  $\Psi(t) = \psi_0(\textcolor{blue}{t})$

– TD-DFT:  $\Psi(t) = \psi_0^{KS}(\textcolor{blue}{t})$

$$\hat{\mu} = - \sum_i^N \underline{r}_i + \sum_A^{N_A} Z_A \underline{R}_A$$

- good for valence excitations ( $n \rightarrow \pi^*$ ,  $\pi \rightarrow \pi^*$ )
- Rydberg states?
- charge transfer states?
- static correlation: conical intersections etc.?

# LASERS AND ELECTRON DYNAMICS: METHODS

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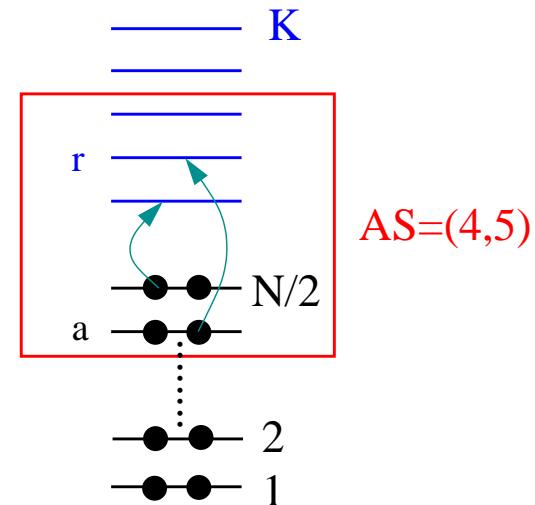
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- Multi-determinant methods

– TD-CI<sup>1</sup>:  $\Psi(t) = C_0(\textcolor{blue}{t})\psi_0 + \sum_{ar} C_a^r(\textcolor{blue}{t})\psi_a^r + \sum_{ab,rs} C_{ab}^{rs}(\textcolor{blue}{t})\psi_{ab}^{rs} + \dots$

– TD-CASSCF<sup>2</sup>:  $\Psi(t) = C_0(\textcolor{blue}{t})\psi_0(\textcolor{blue}{t}) + \sum_{ar} C_a^r(\textcolor{blue}{t})\psi_a^r(\textcolor{blue}{t}) + \sum_{ab,rs} C_{ab}^{rs}(\textcolor{blue}{t})\psi_{ab}^{rs}(\textcolor{blue}{t}) + \dots$



<sup>1</sup> Klamroth *et al.*, Appl. Phys. A **78**, 189 (2004); PRB **68**, 245421 (2003); Schlegel *et al.*

<sup>2</sup> Nest *et al.*, JCP **122**, 124102 (2005); PRA **73**, 023613 (2006); Scrinzi *et al.*; Kono *et al.*

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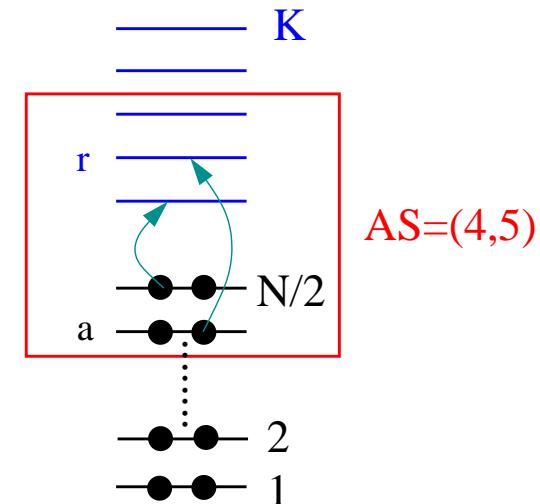
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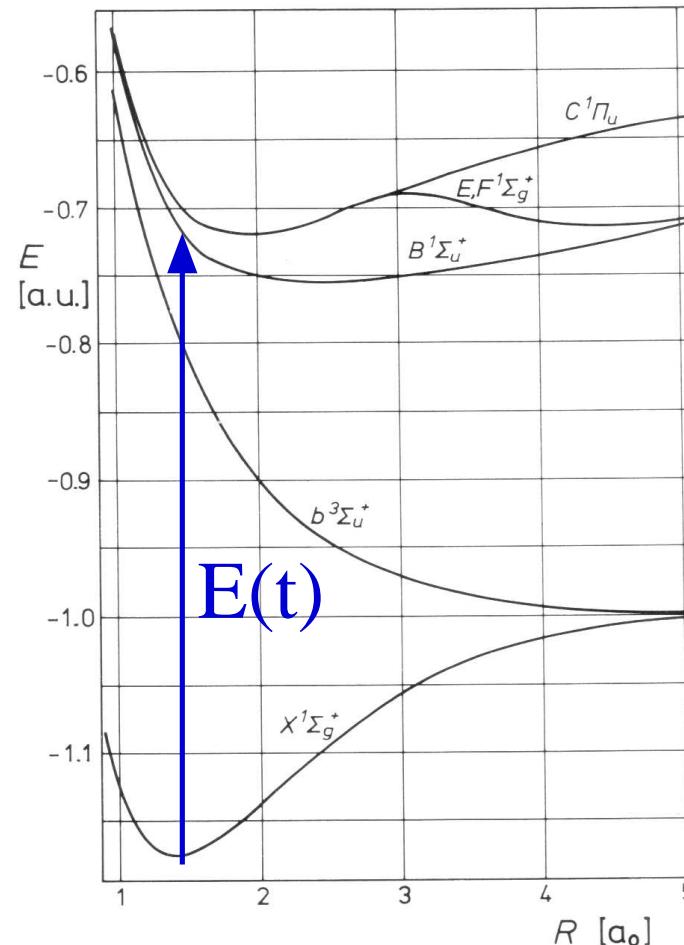
TD-CI: TD-CIS, TD-CIS(D), TD-CISD, ... TD-CISD..N=Full-CI (FCI)

TD-CASSCF(N,M): TD-CASSCF (N,N/2) = TD-HF, ..., TD-CASSCF(N,K)=FCI

# A SIMPLE EXAMPLE: THE H<sub>2</sub> MOLECULE

- TD-CISD (=FCI) treatment: aug-cc-pV5Z;  $|0\rangle \rightarrow |1\rangle$  laser excitation

$\sin^2 \pi$  pulses  $E_z(t) = E_0 \sin^2(\pi t/2\sigma) \cos(\omega_{10}t)$  with FWHM  $\sigma$

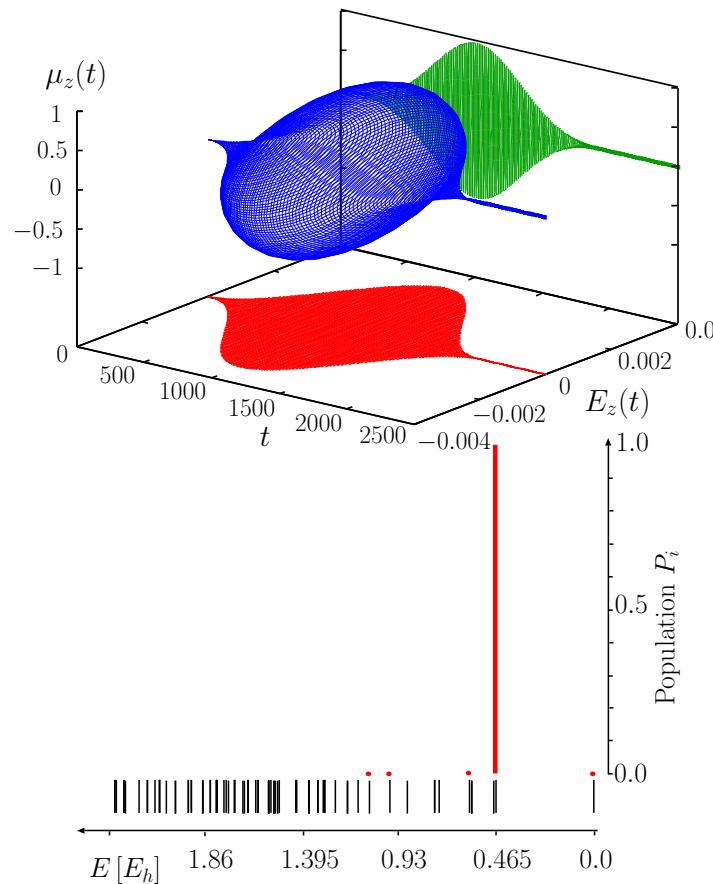


# A SIMPLE EXAMPLE: THE H<sub>2</sub> MOLECULE

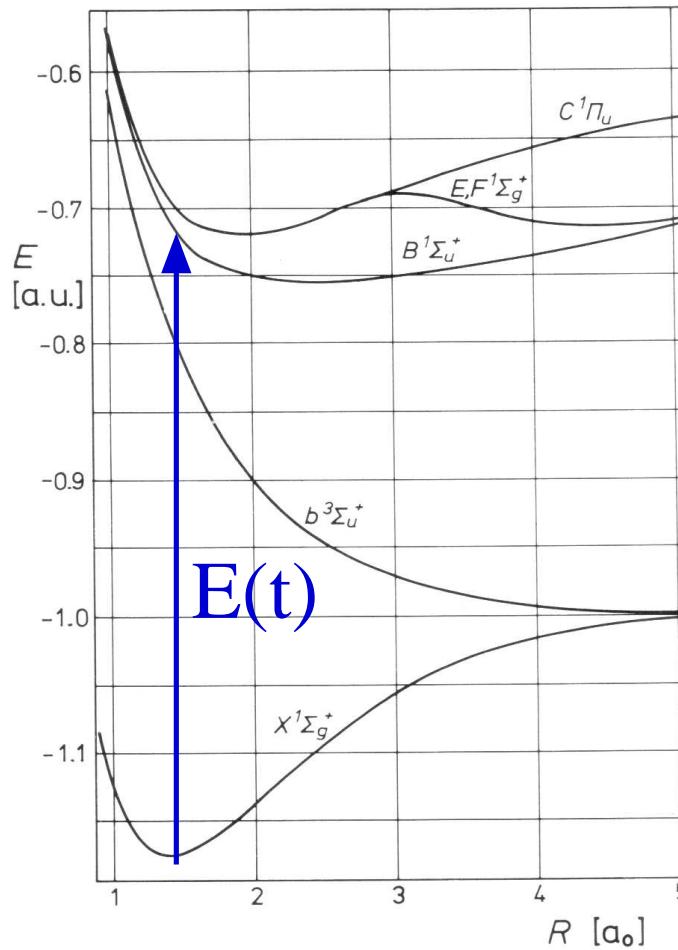
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“long pulse”:  $\sigma = 1000 \hbar/E_h$



single-photon, state-to-state

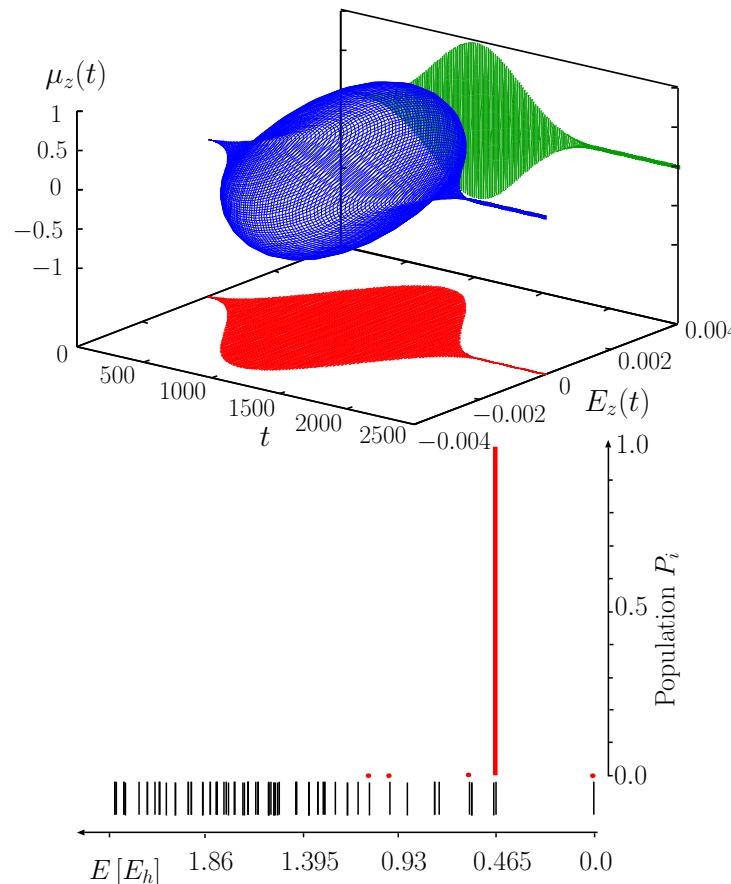


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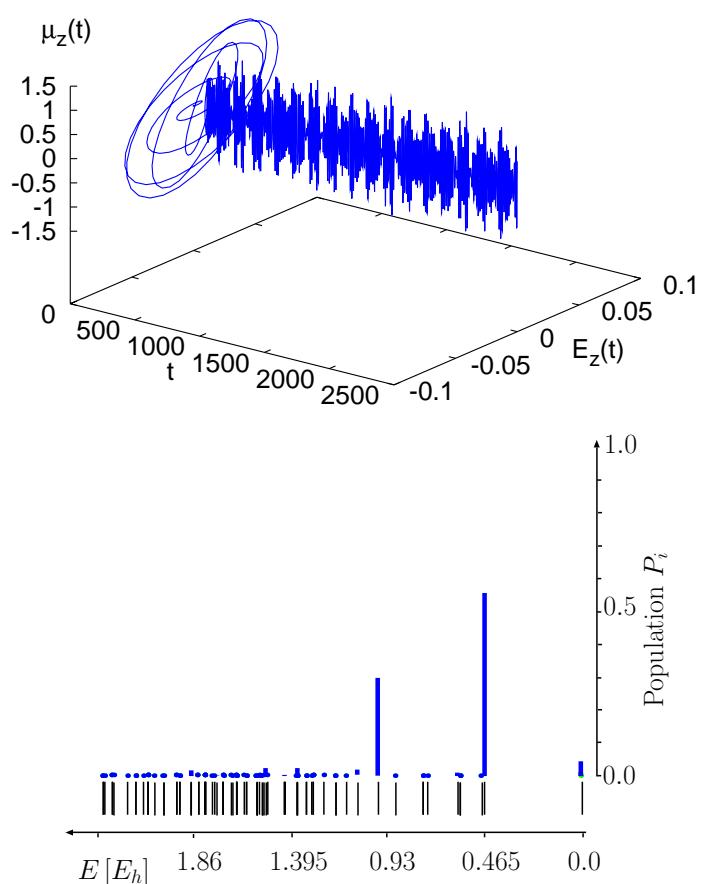
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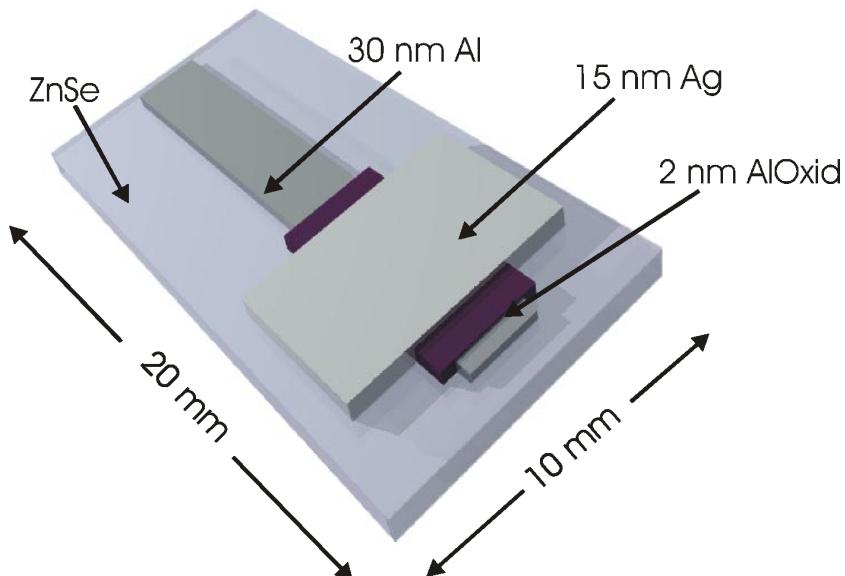
“short pulse”:  $\sigma = 50 \hbar/E_h$



multi-photon, wavepacket

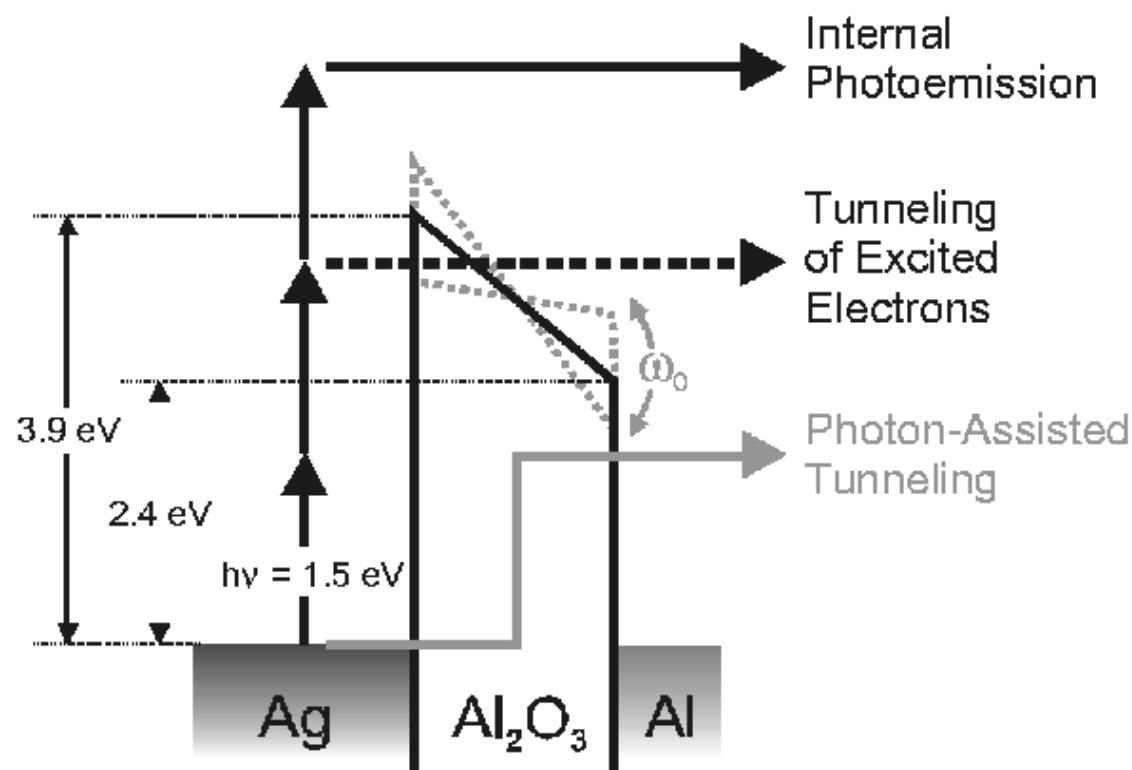
# TRANSPORT: MIM JUNCTIONS

- Metal-Insulator-Metal junctions



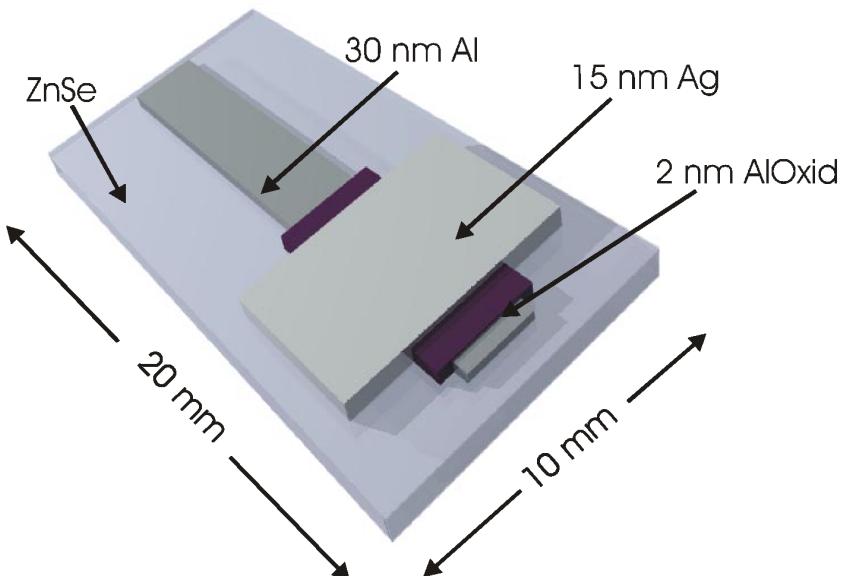
- Possible processes

$$(\hbar\omega = 1.5 \text{ eV})$$



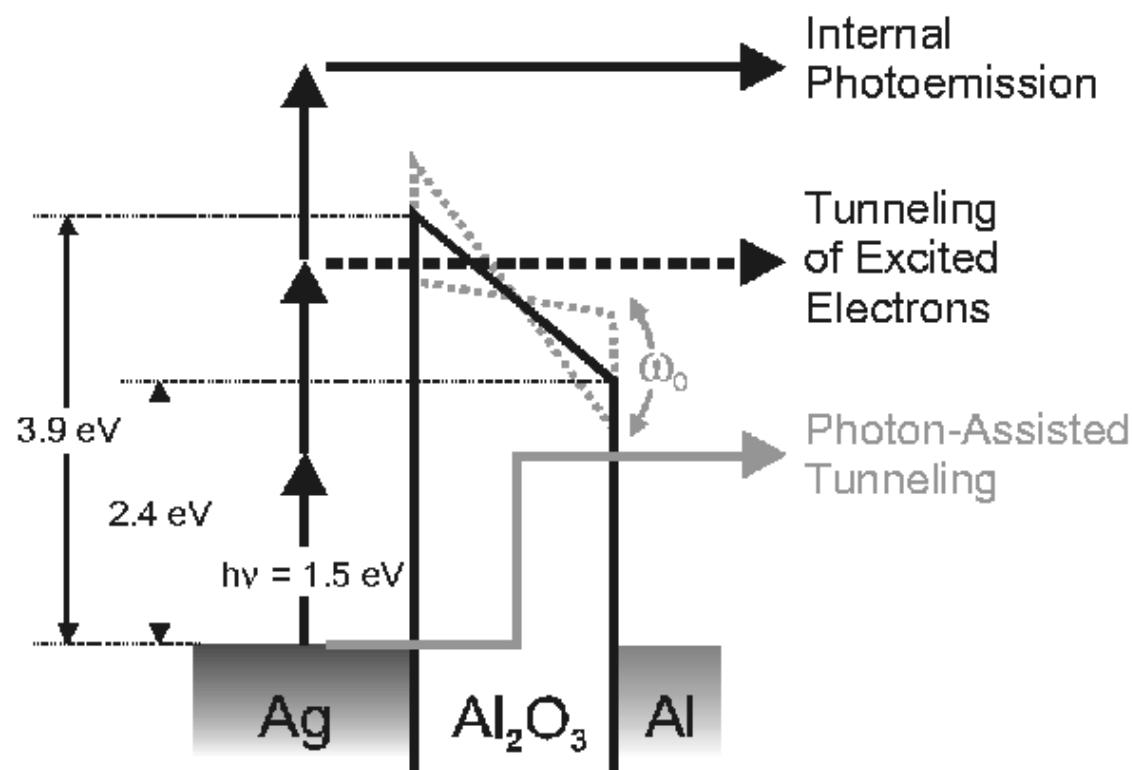
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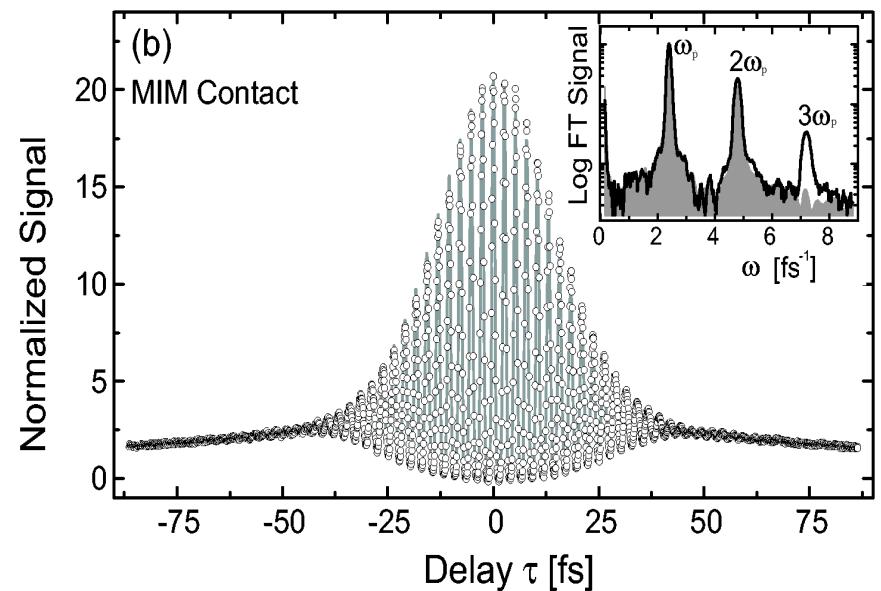
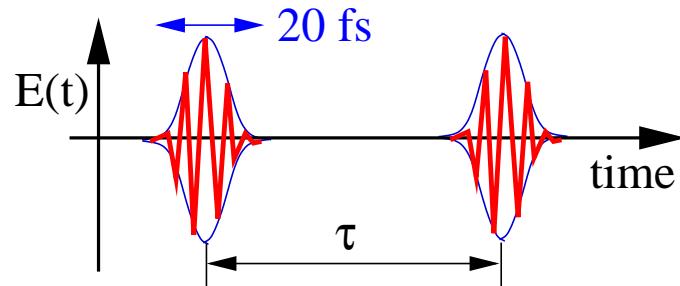
- “Control parameters”

1. bias V
2. laser pulses  $E_z(t)$
3. film thickness

# TRANSPORT: MIM JUNCTIONS

- **Experiment: 2-pulse-correlation**

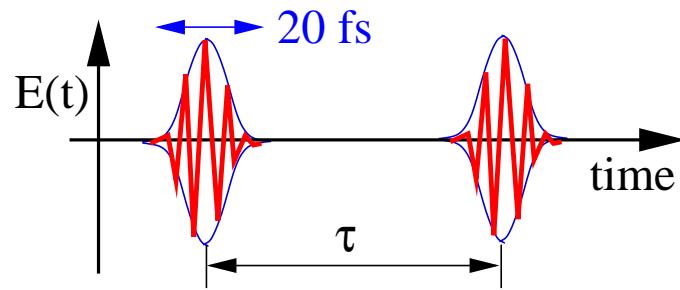
Pfeiffer et al., Würzburg



# TRANSPORT: MIM JUNCTIONS

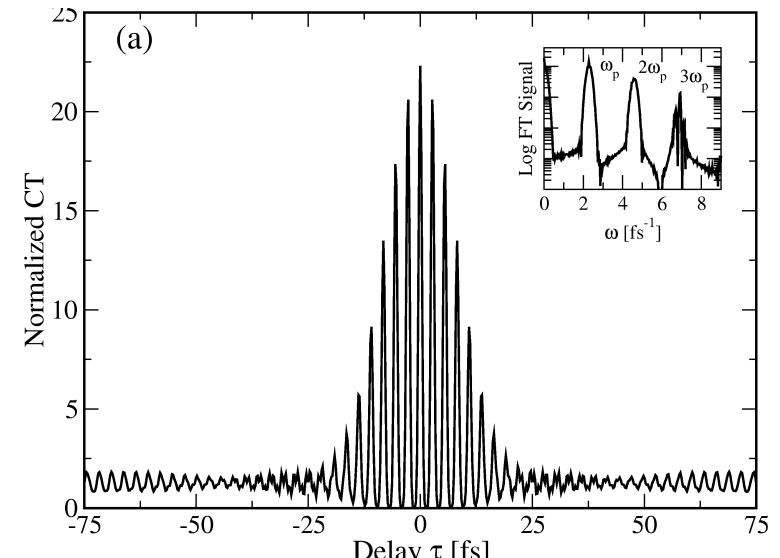
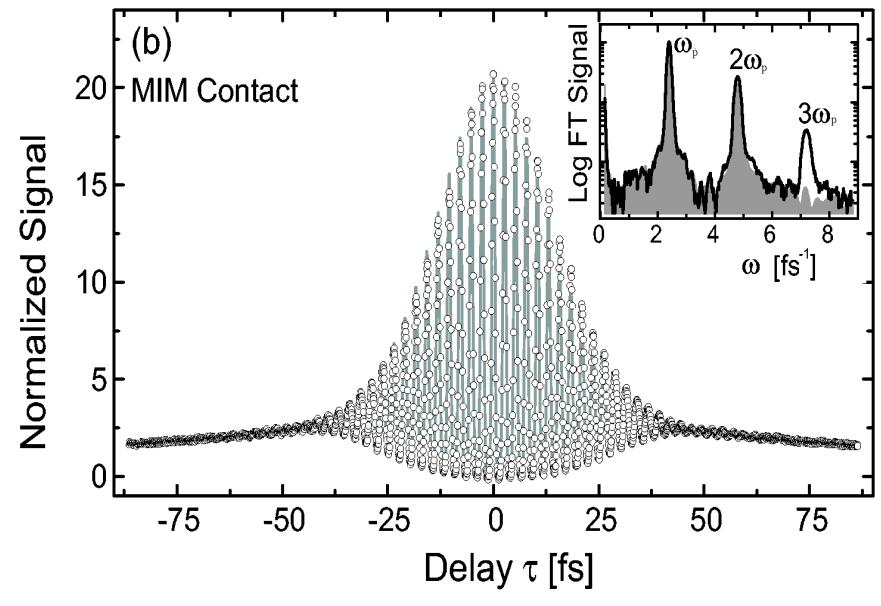
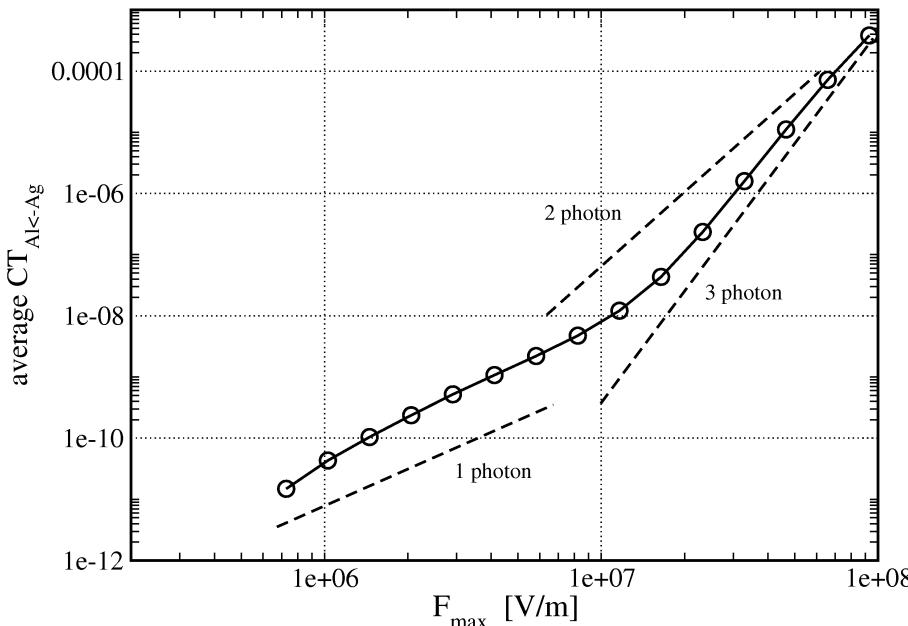
- **Experiment: 2-pulse-correlation**

Pfeiffer et al., Würzburg



- **Theory**

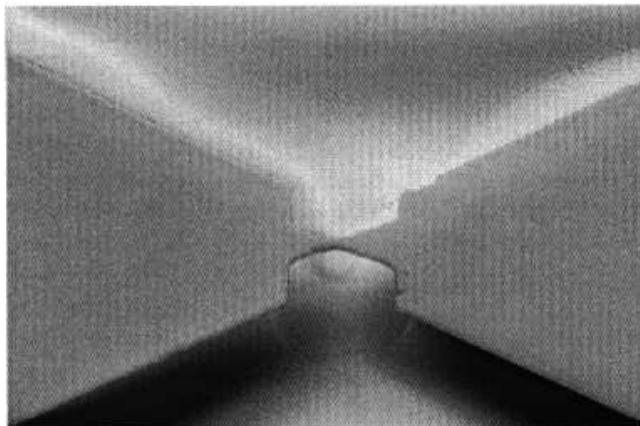
TD-CIS, 1D-Jellium



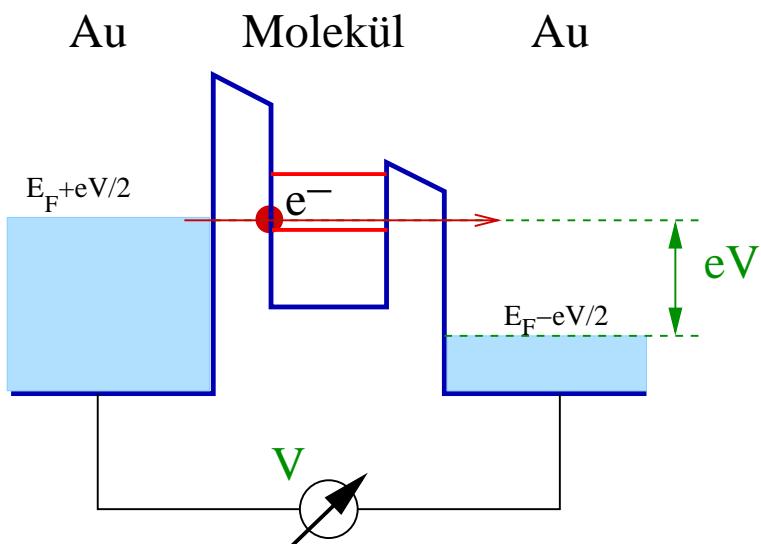
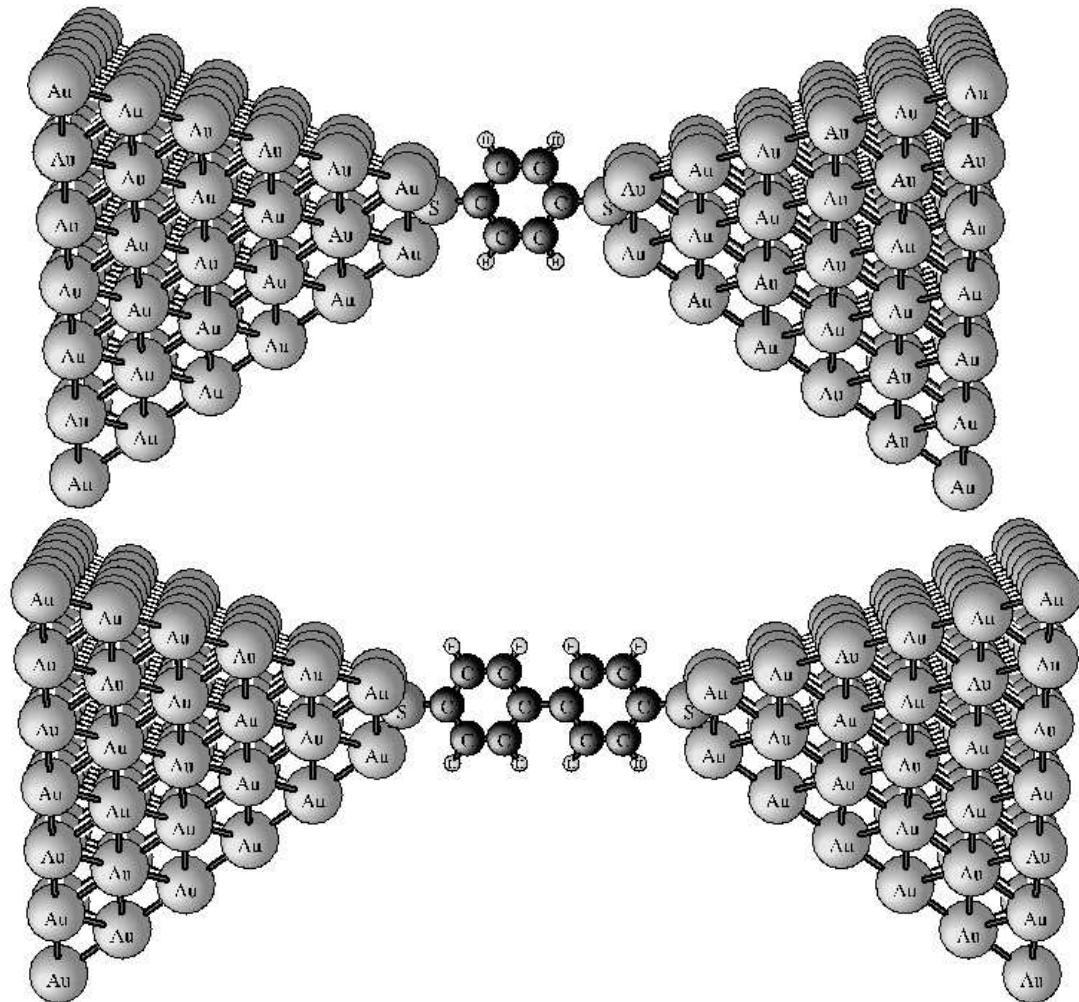
# V-TRANSPORT: MOLECULAR JUNCTIONS

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- Break junctions

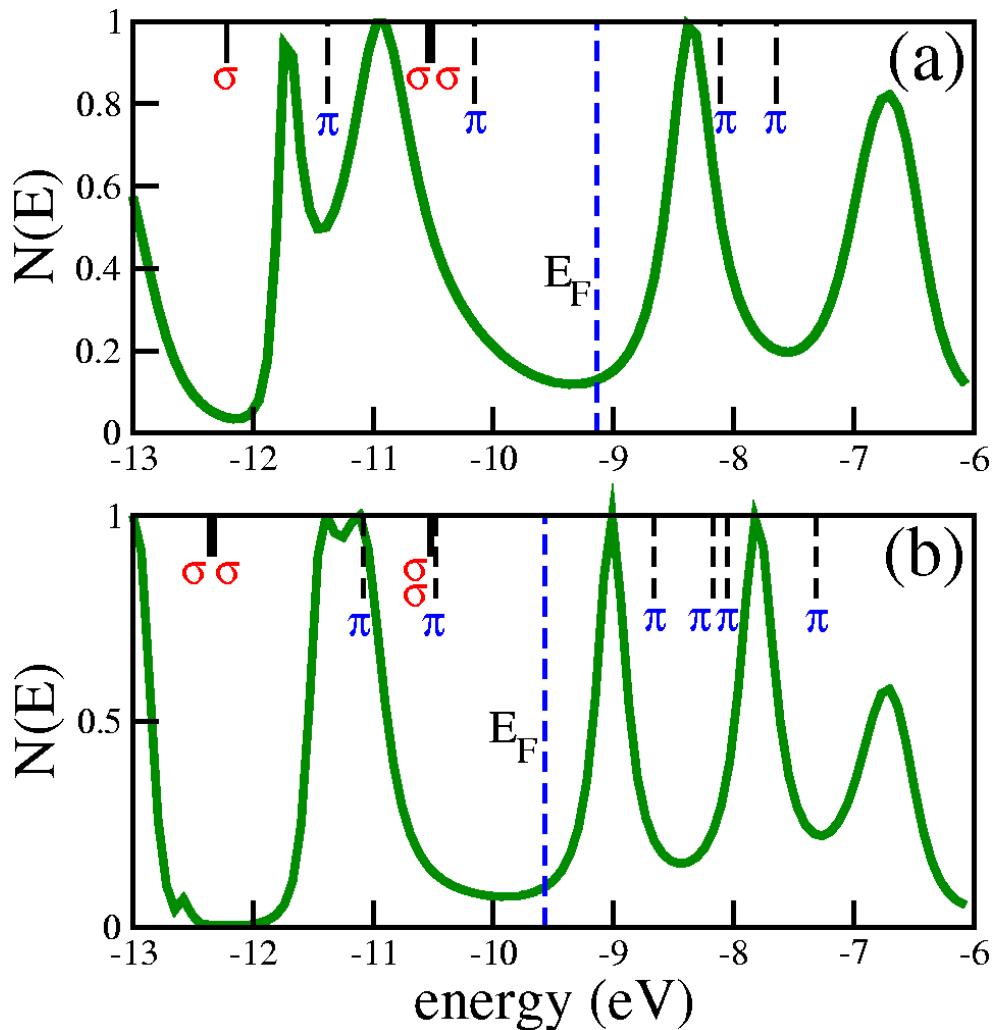


- Benzene-dithiolates

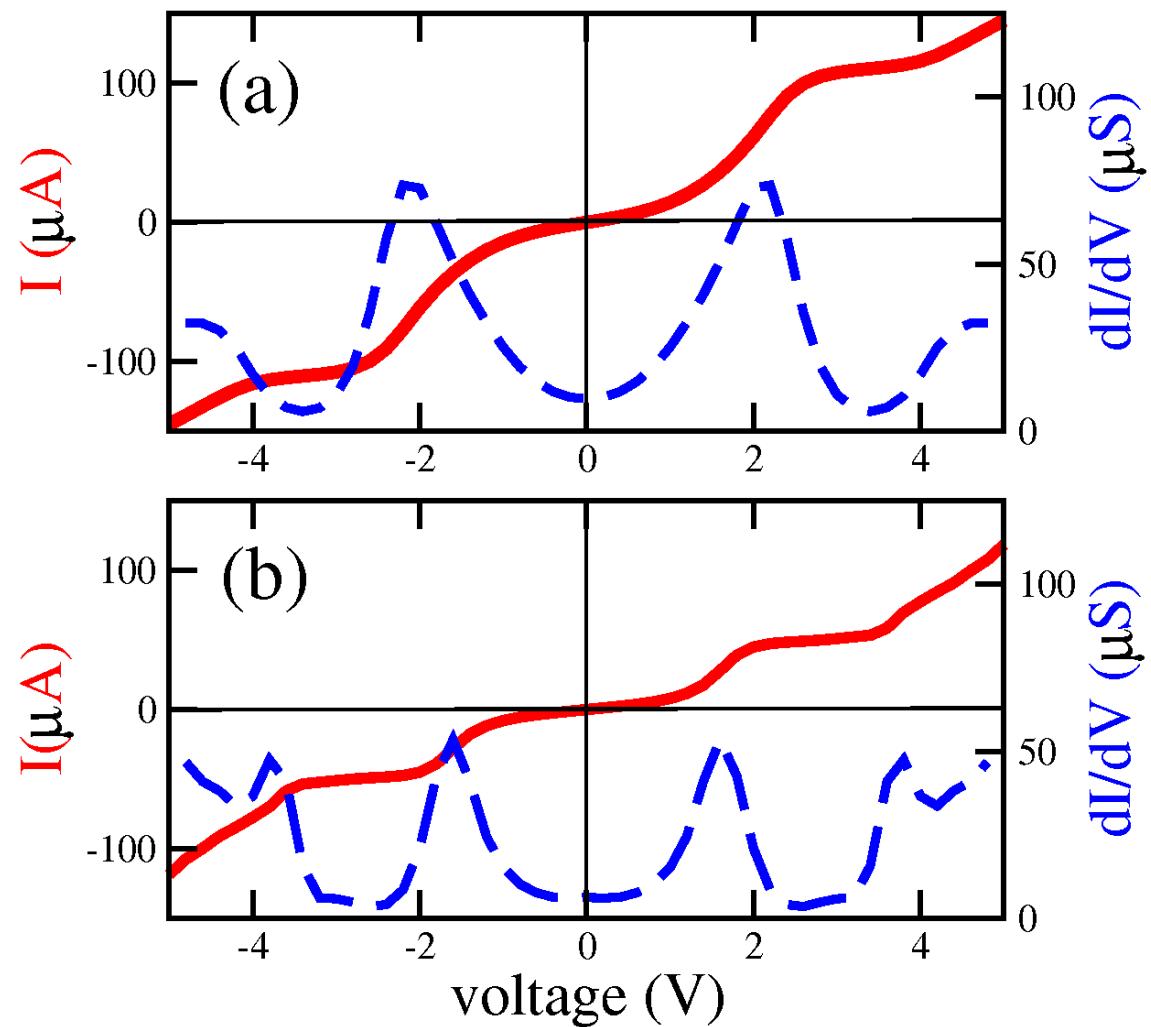


# V-TRANSPORT: BENZENE-DITHIOLATES

- Transmission  $N(E)$



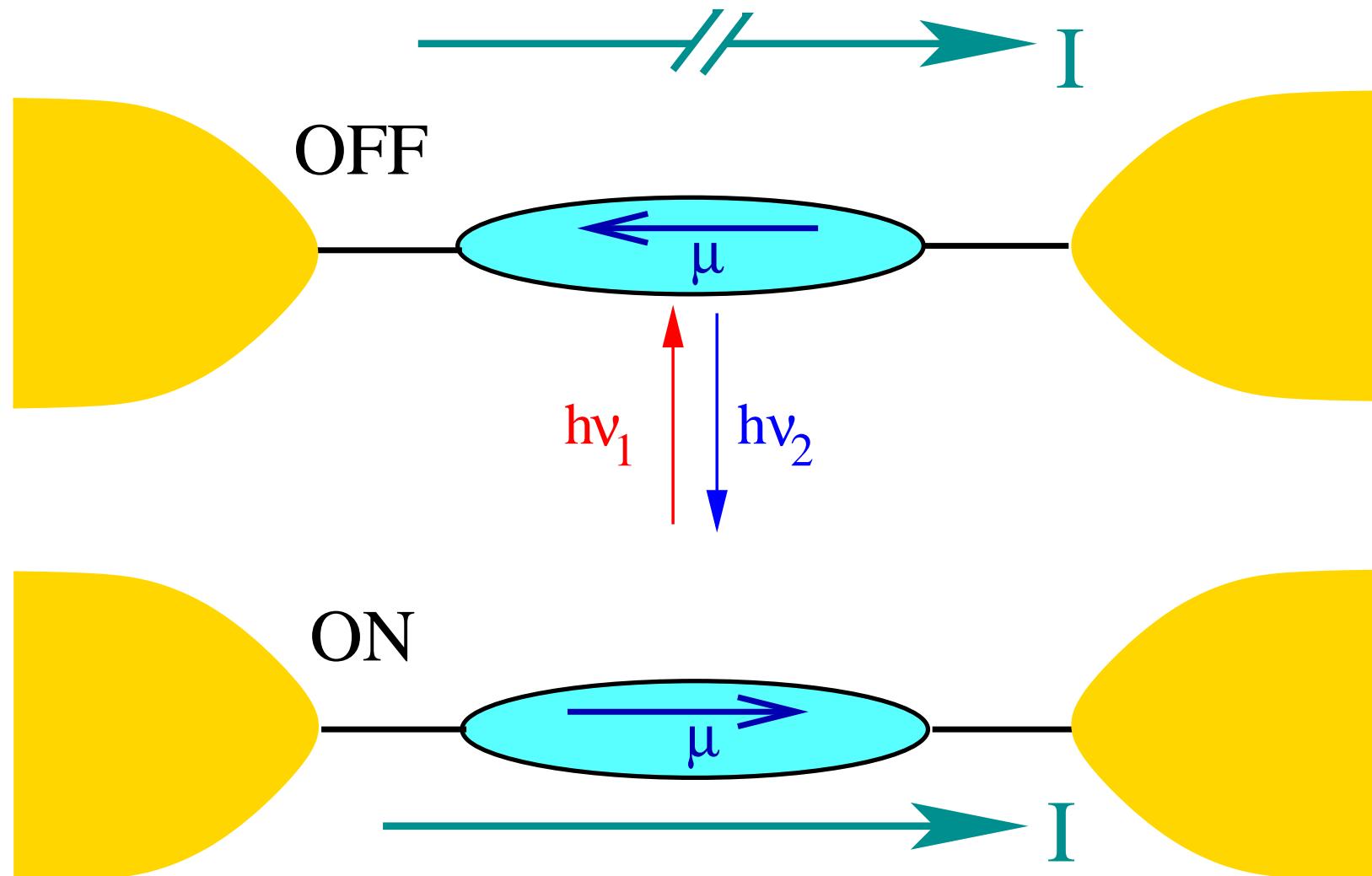
- $I(V)$  curves



# MOLECULAR JUNCTIONS: PHOTOSWITCHING

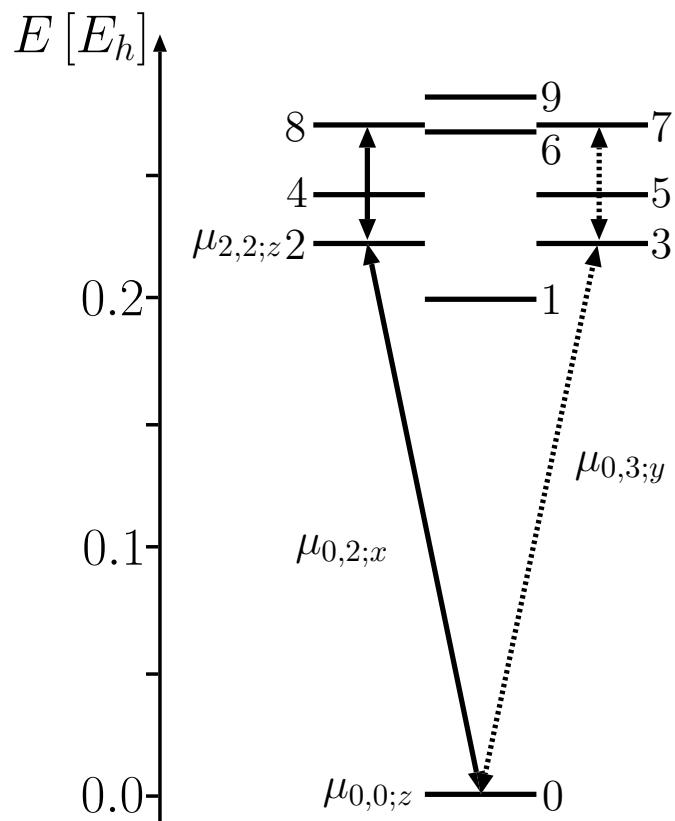
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- E.g., molecular dipole switch



# LiCN: A MOLECULAR DIPOLE SWITCH?

- CIS(D) /6-31G\* state energies:



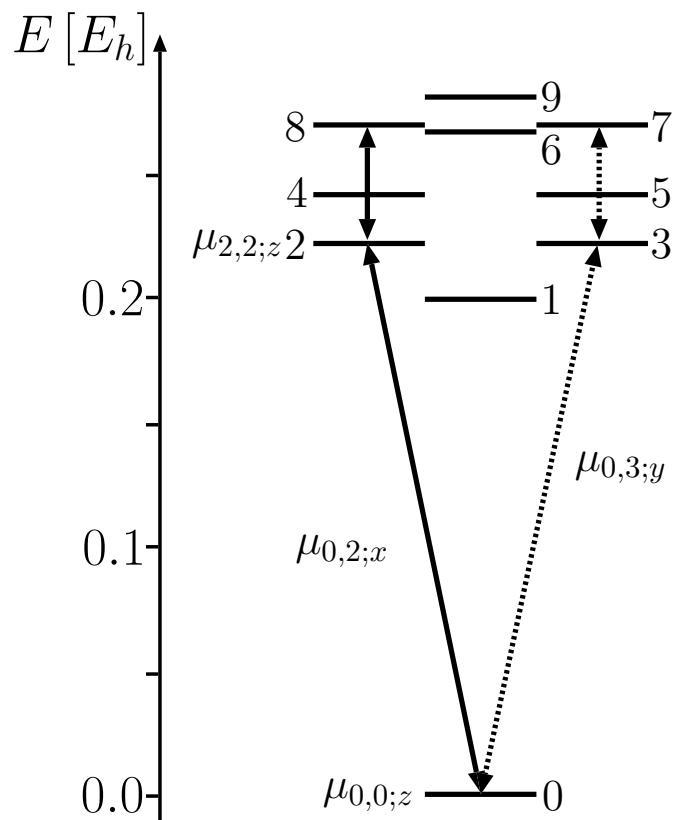
$$E_i^{\text{CIS}(D)} = E_i^{\text{CIS}} + E_i^{(D)}$$

- Dipole moments:

state	character	dipole $\mu_z$ (e $a_0$ )
0	$\text{Li}^+ \text{CN}^-$	-3.71
2/3	$\text{LiCN}$	+2.80
7/8	$\text{Li}^{\delta+} \text{CN}^{\delta-}$	-1.18

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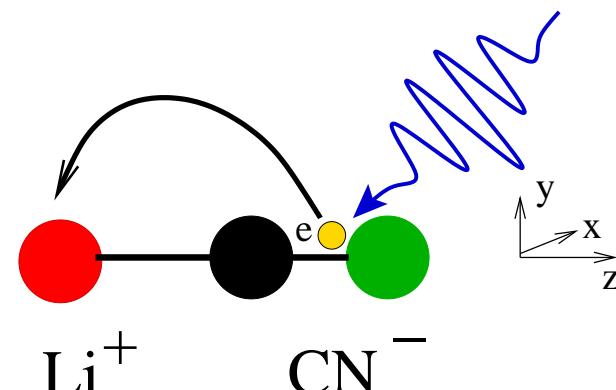


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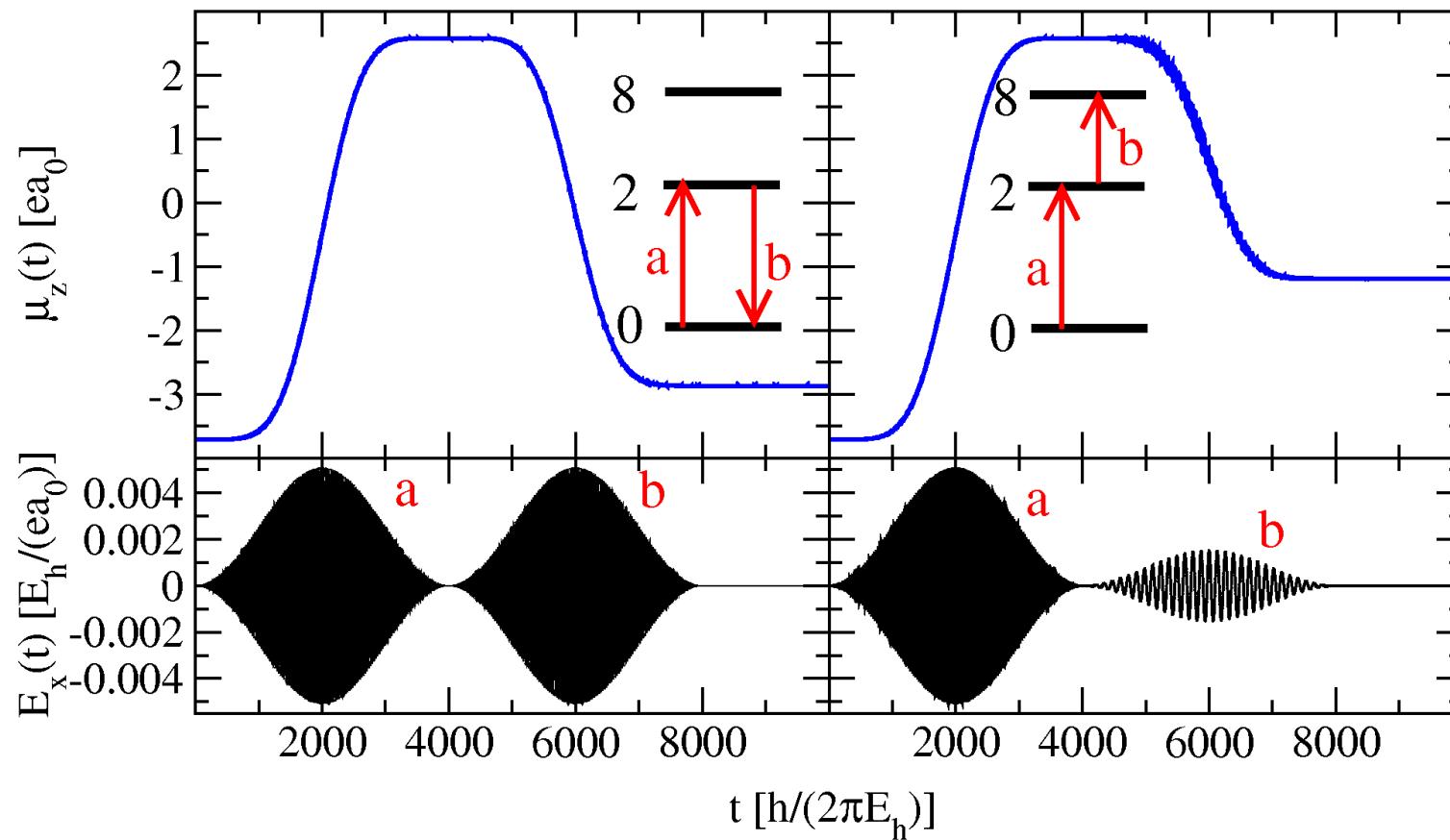
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- Goal: Laser-pulse controlled dipole switch



# LiCN: DIPOLE SWITCH

- **Switching sequences:** “Long” x-polarized  $\pi$  pulses



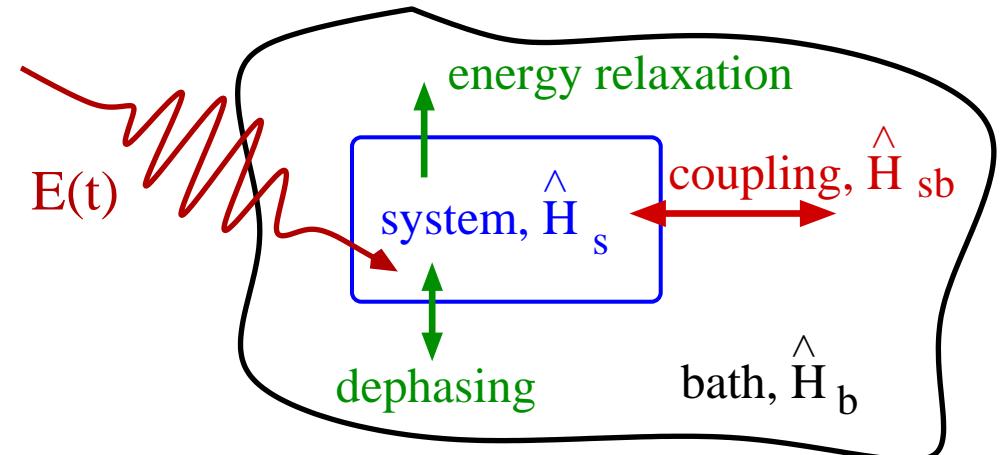
reversible and “branched switching”

- What about environmental effects (spontaneous emission)?

# ELECTRON DYNAMICS IN AN ENVIRONMENT

- Liouville-von Neumann equation for laser-driven electrons

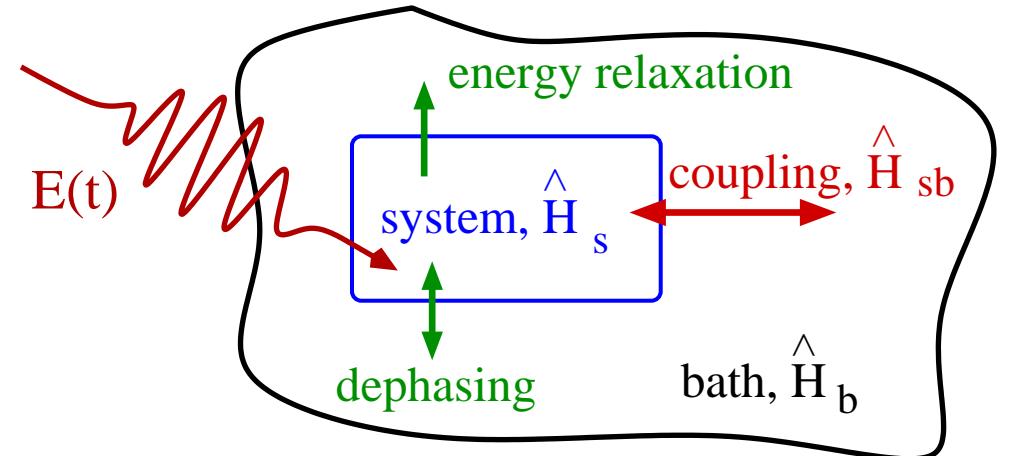
$$\frac{\partial \hat{\rho}_s}{\partial t} = \underbrace{-\frac{i}{\hbar} [\hat{H}_{el} - \hat{\mu} \underline{E}(t), \hat{\rho}_s]}_{\text{system}} + \underbrace{\left( \frac{\partial \hat{\rho}_s}{\partial t} \right)_D}_{\text{dissipation}}$$



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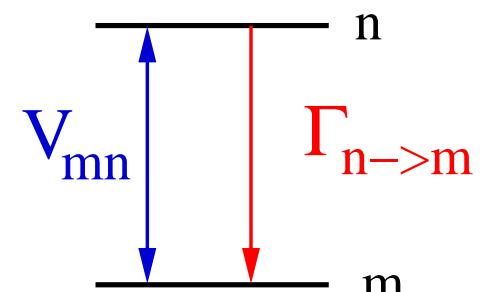
- Lindblad dissipation, eigenstate basis

**Populations:** Diagonal elements of  $\hat{\rho}_s$

$$\frac{d\rho_{nn}}{dt} = \sum_p^N \left[ -\frac{i}{\hbar} [V_{np}(t)\rho_{pn} - \rho_{np}V_{pn}(t)] + (\Gamma_{p \rightarrow n}\rho_{pp} - \Gamma_{n \rightarrow p}\rho_{nn}) \right]$$

dipole coupling  $V_{mn}(t) = -\underline{\mu}_{mn} \underline{E}(t)$

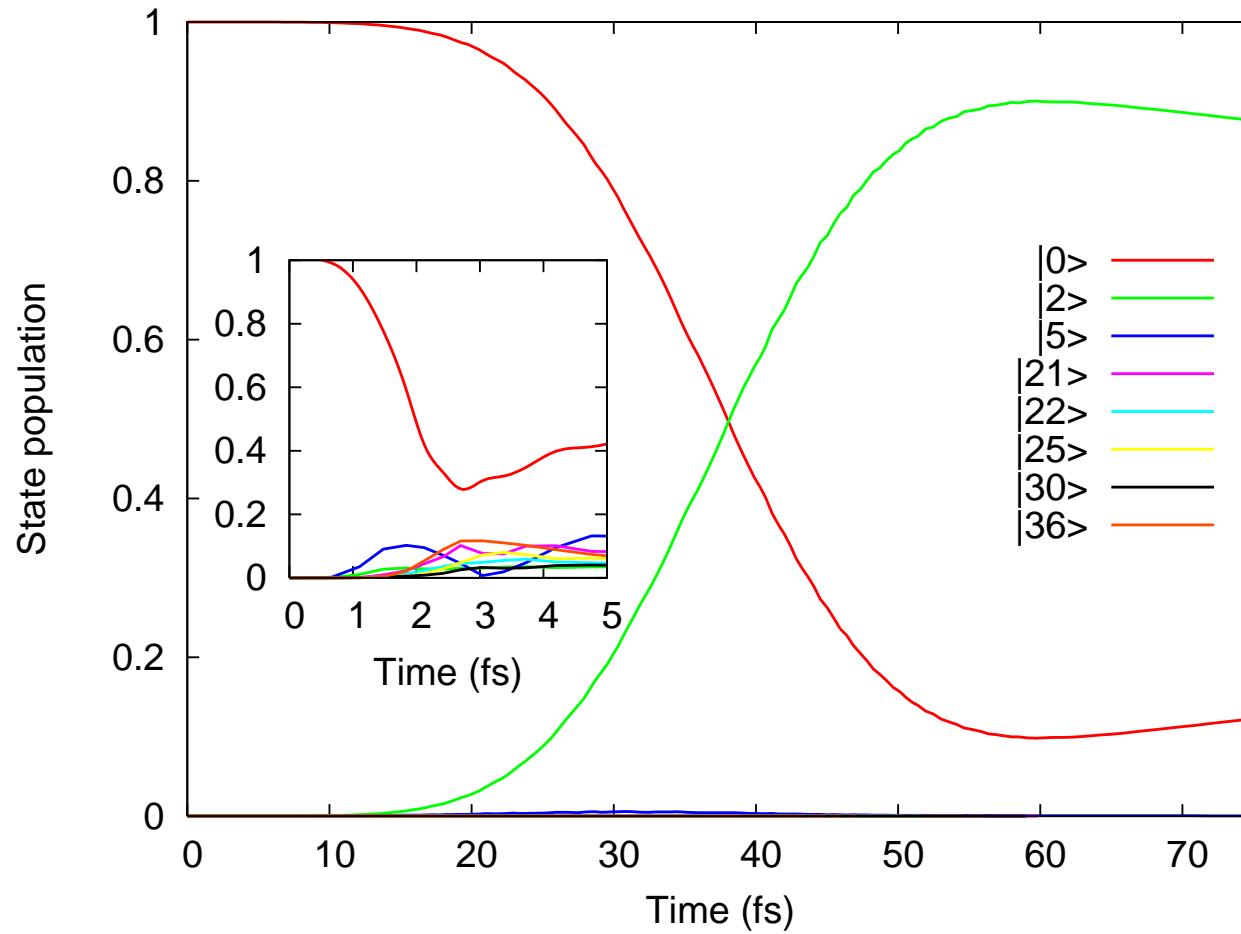
energy relaxation rates  $\Gamma_{n \rightarrow m}$



dephasing enters  $\dot{\rho}_{mn}$  via dephasing rates  $\gamma_{mn}$

# DISSIPATIVE MANY-ELECTRON DYNAMICS

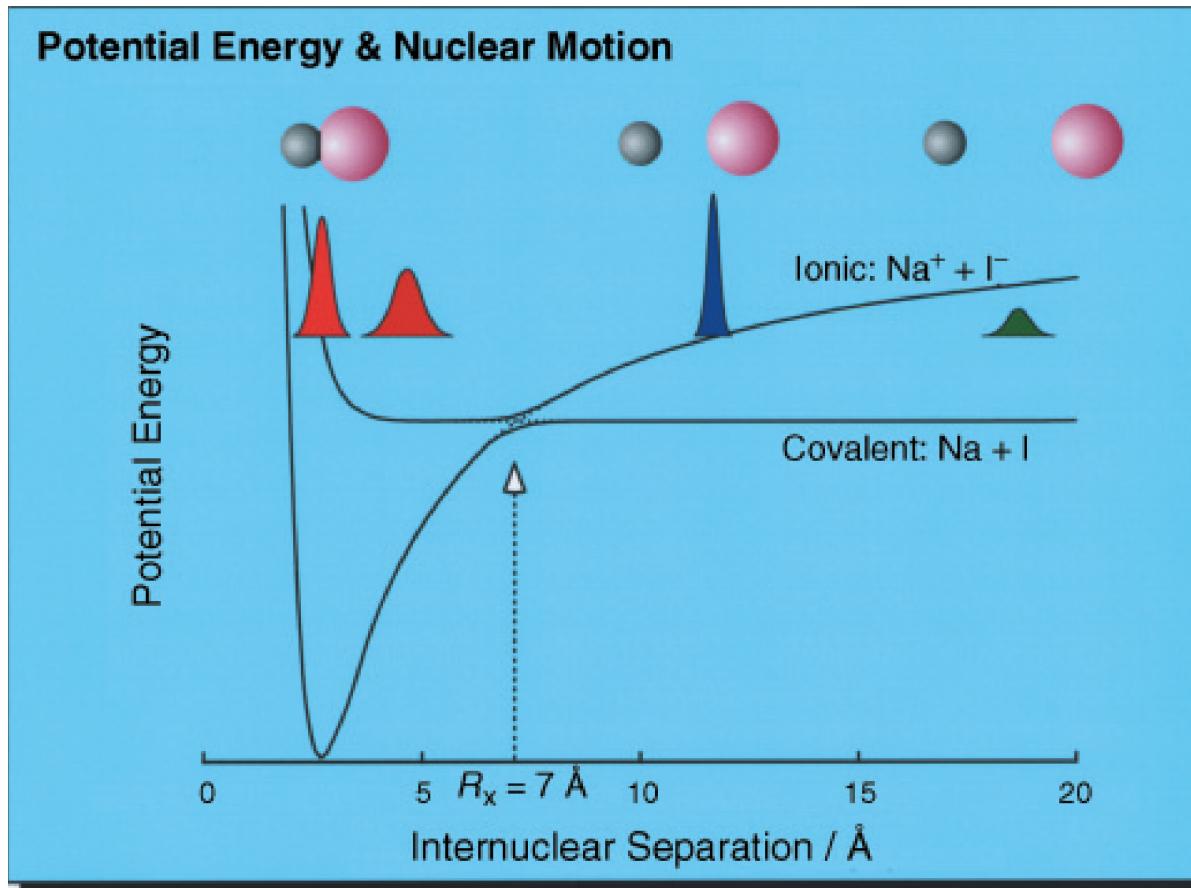
- **Switching of LiCN:**  $\Gamma_{2 \rightarrow 0}^{-1} = 430$  fs; 5 and 75 fs  $\pi$  pulses



short pulses needed, less selective

# NUCLEAR (ATOM) DYNAMICS

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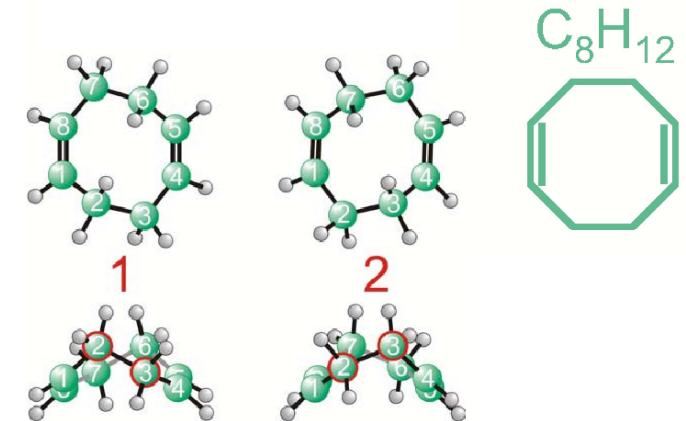


# SWITCHING AGAIN: COD ON Si(100)

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- STM-induced conformational switching at low T

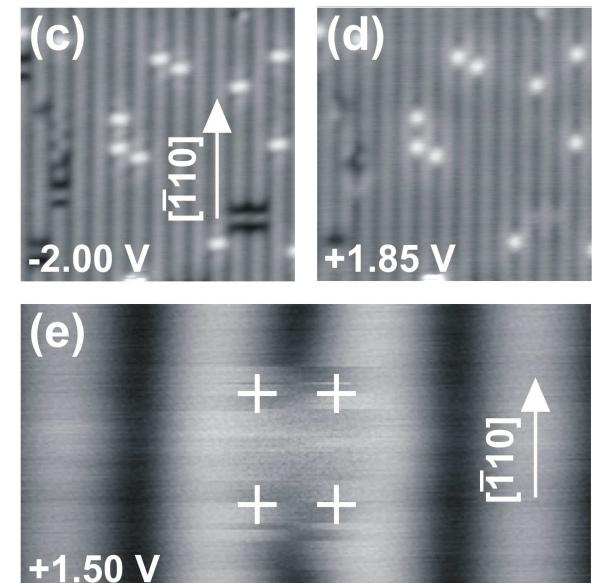
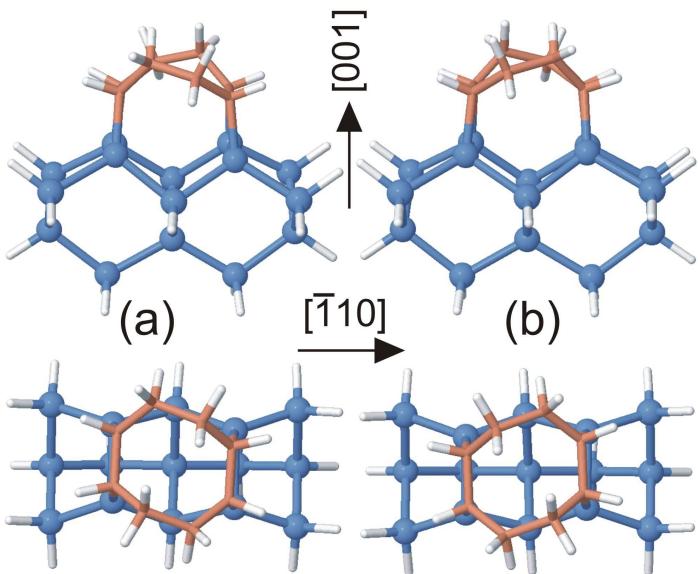
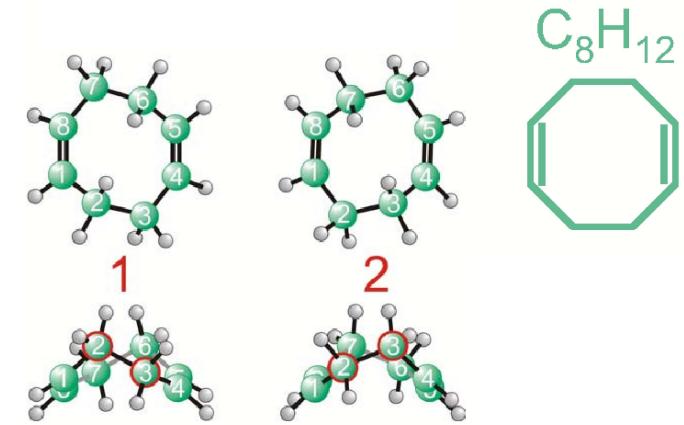
1,5-cyclooctadiene



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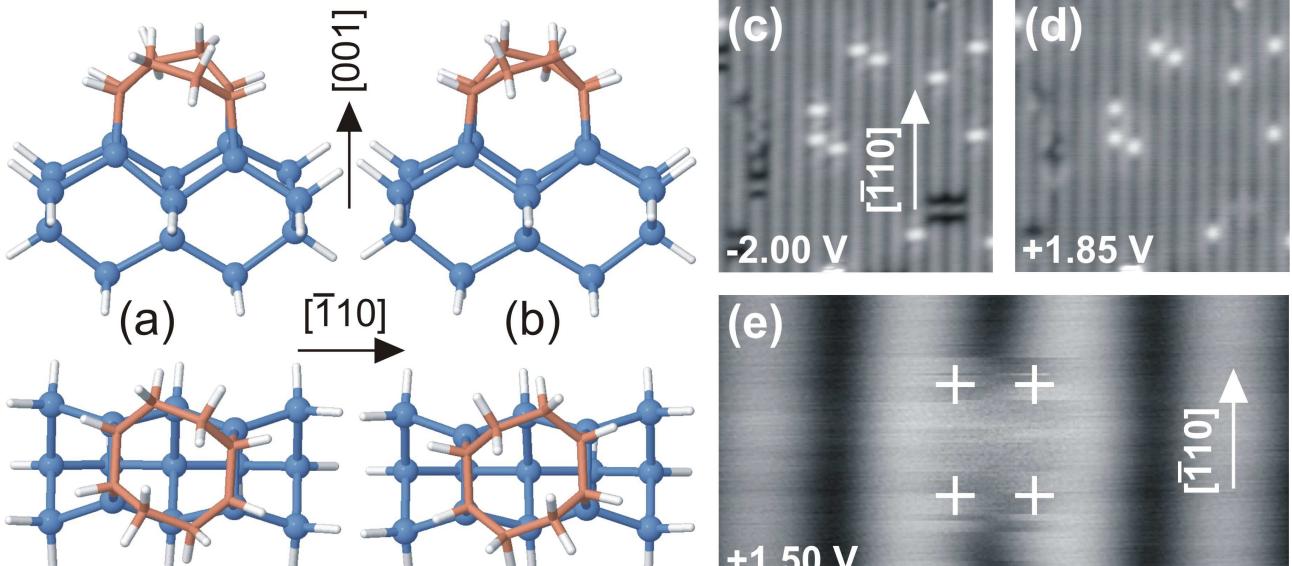
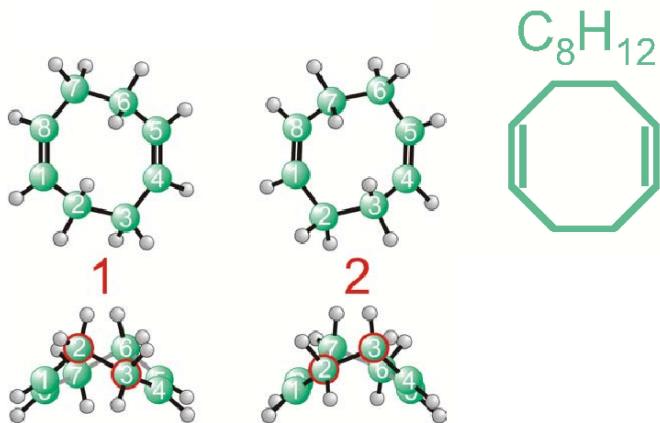


COD@Si<sub>15</sub>H<sub>16</sub> cluster, B3LYP-6/31G\*

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COD@Si<sub>15</sub>H<sub>16</sub> cluster, B3LYP-6/31G\*

Experiment<sup>1</sup>:

- switching at  $T = 5 \text{ K}$
- rate  $R_{sw}(+1.5\text{V}, 0.7\text{nA}) \approx 3.7 \text{ Hz}$
- $R_{sw} \propto I$
- inelastic electron tunneling (IET)?

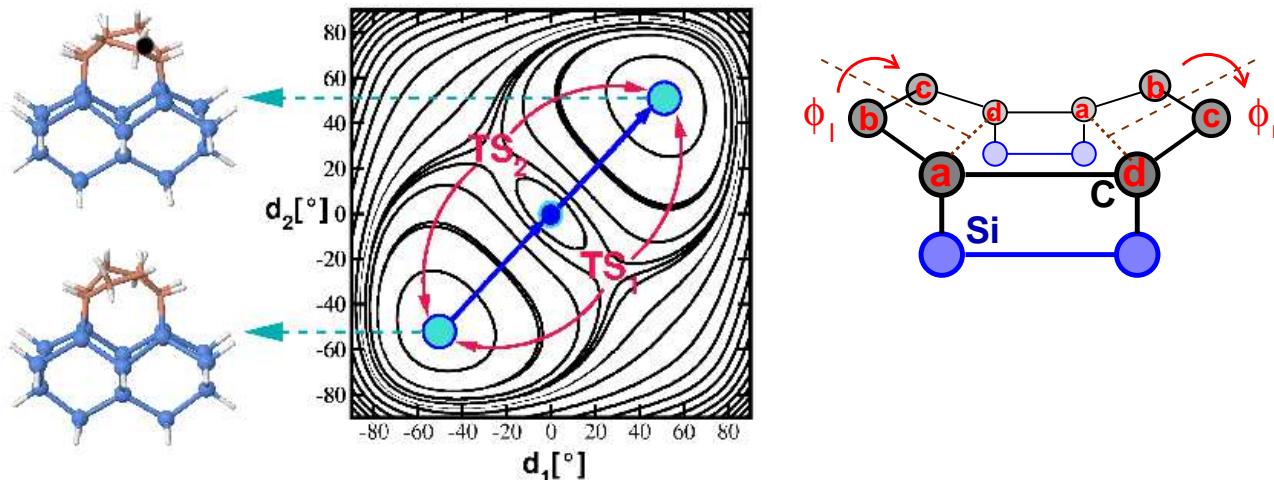
Theory:

- Activation energy  $E_a = 0.179 \text{ eV}$
- Eyring:  $R_{sw}^{therm}(5\text{K}) < 10^{-100} \text{ s}^{-1}$

<sup>1</sup> Nacci, Fölsch, PRB **77**, 121405(R) (2008)

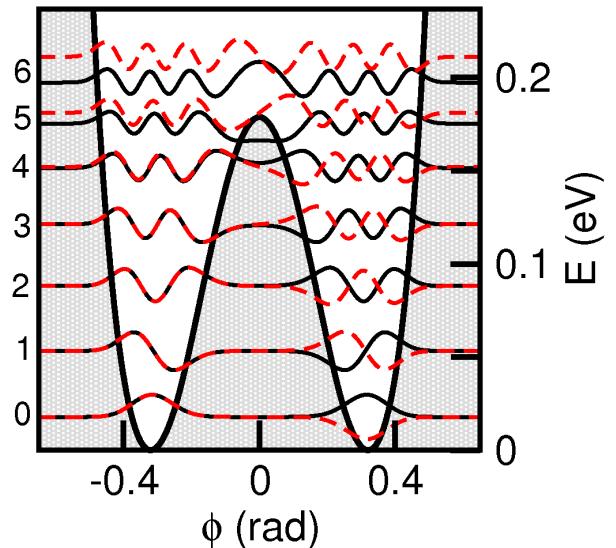
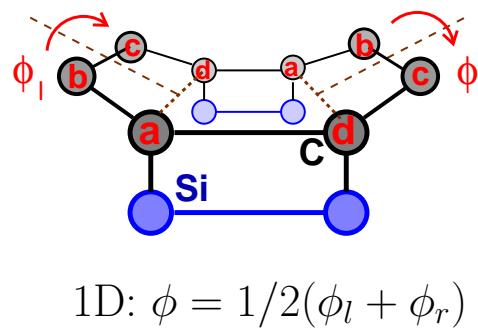
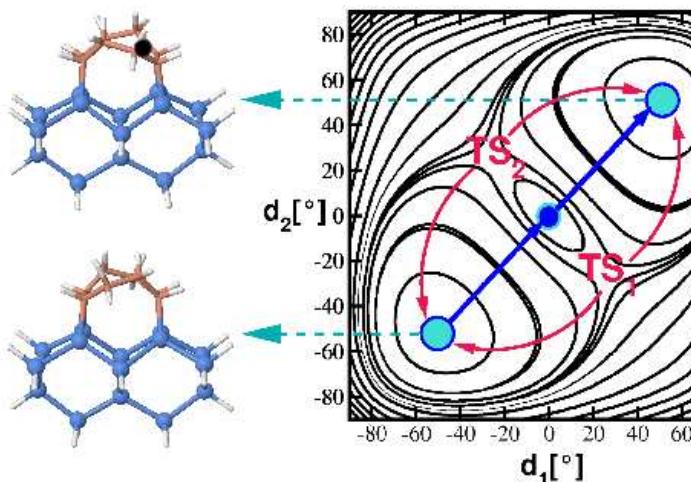
# COD@Si(100): MODEL AND THEORY

- **Ground state:** B3LYP/6-31G\* cluster model



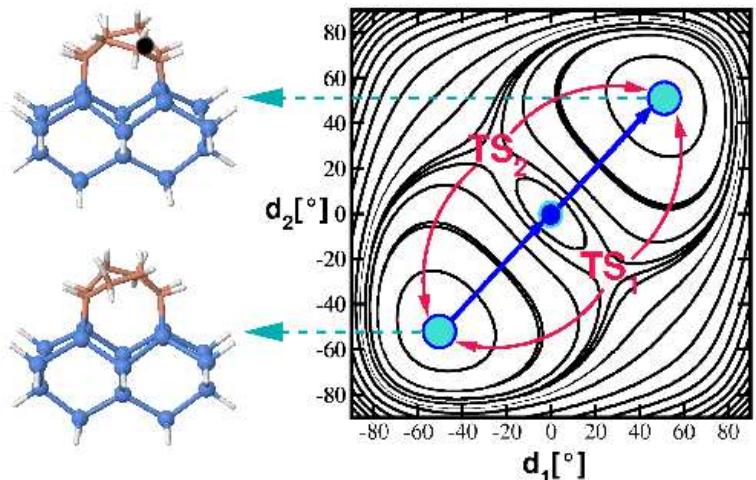
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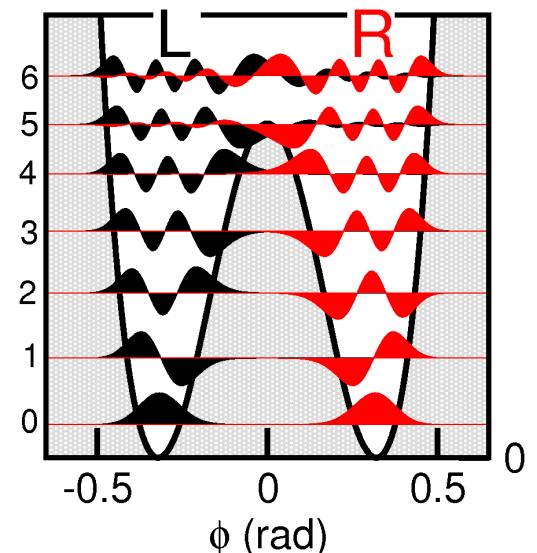
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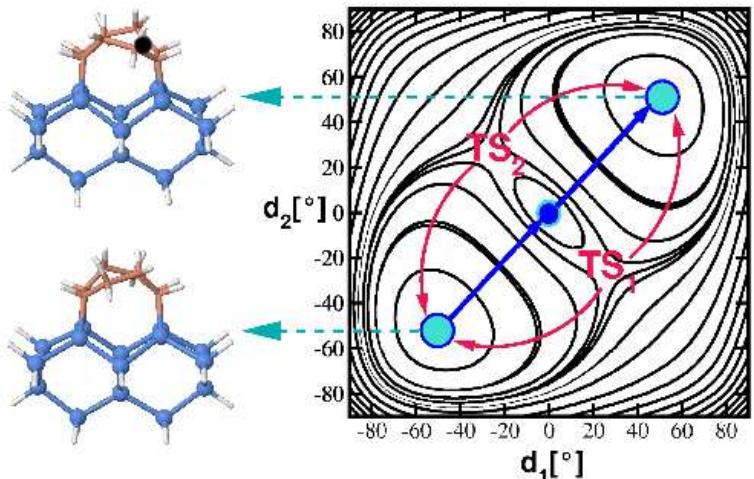
Left  
Right

- Use *localized* basis:  $|n_{L,R}\rangle = 1/\sqrt{2}(|n_+\rangle \pm |n_-\rangle)$



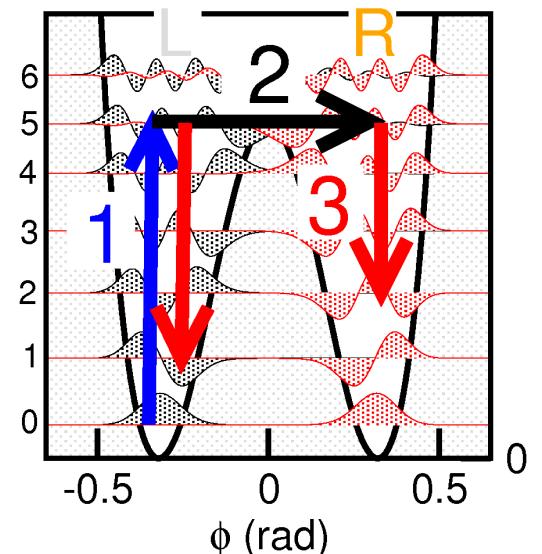
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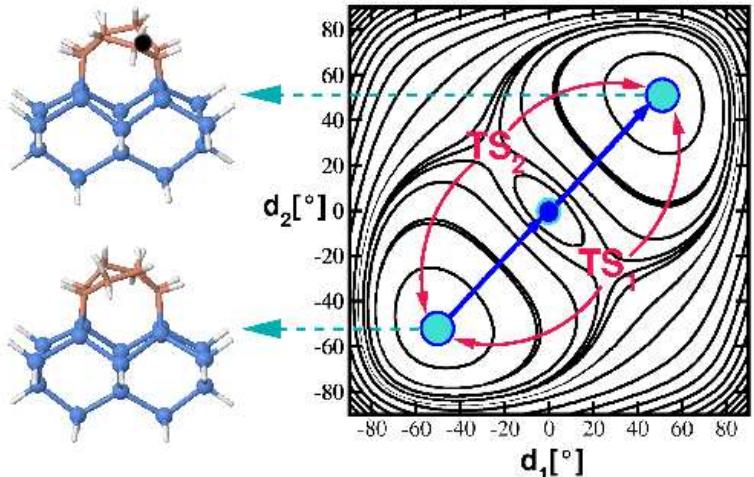


- LvN equation in *localized* state basis

$$\frac{d\rho_{nn}}{dt} = \sum_p \left[ -\frac{i}{\hbar} \underbrace{(H_{np}\rho_{pn} - \rho_{np}H_{pn})}_{\text{tunneling}} + \underbrace{(\Gamma_{p \rightarrow n}\rho_{pp} - \Gamma_{n \rightarrow p}\rho_{nn})}_{\text{excitation, relaxation}} \right]$$

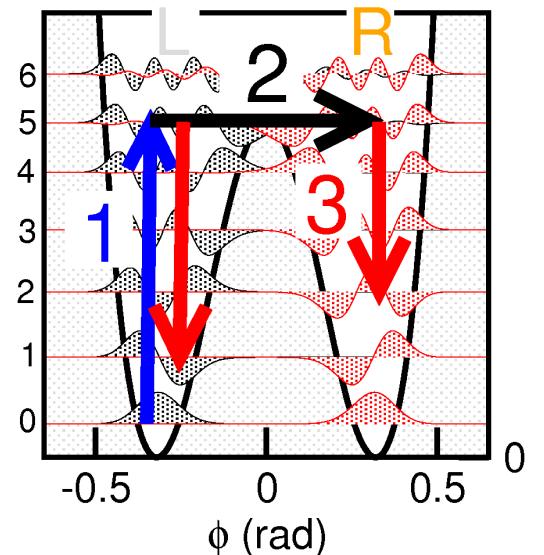
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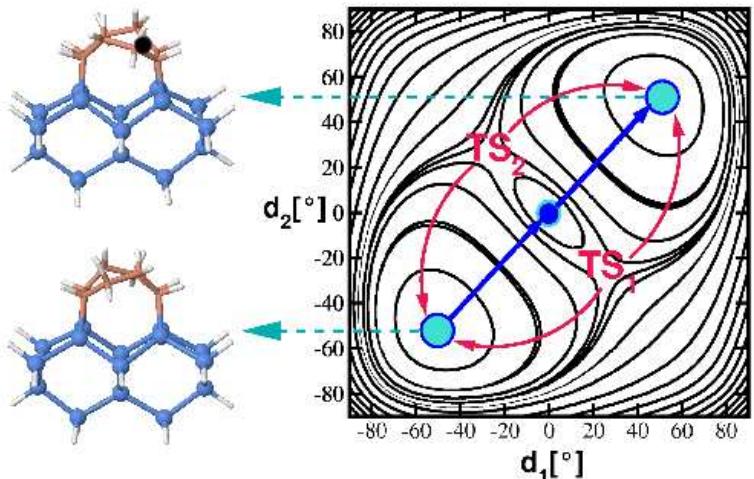
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- Interlevel transition rates

$$\Gamma_{i \rightarrow f} = \Gamma_{i \rightarrow f}^{relax} + \Gamma_{i \rightarrow f}^{IET}(I, V)$$

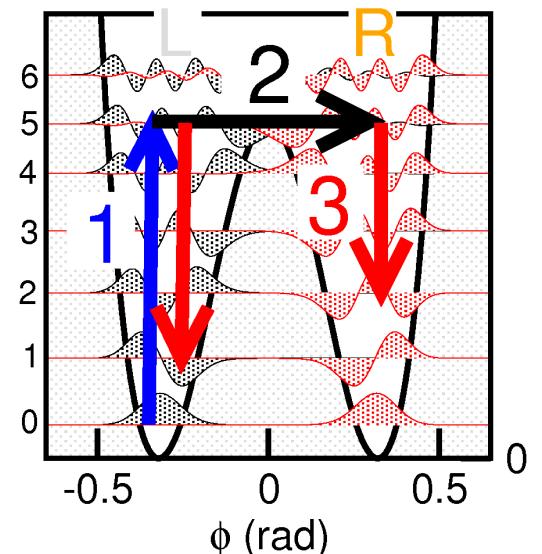
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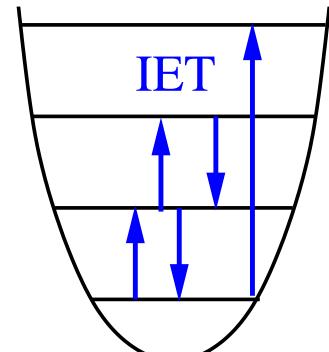
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- Vibrational **excitation** and **relaxation**

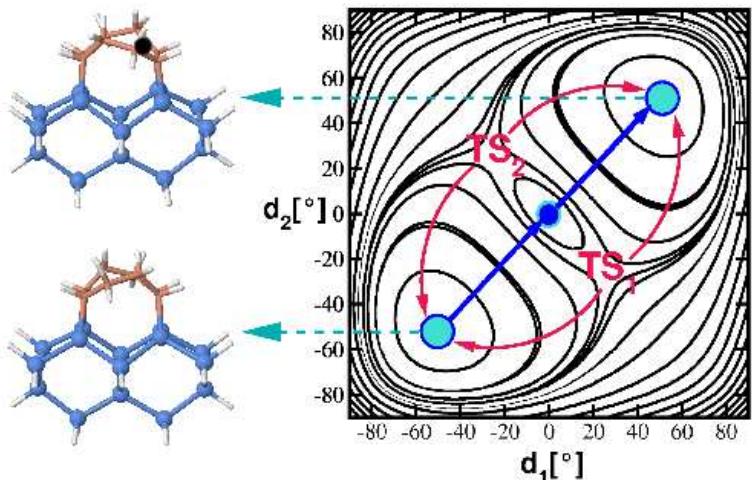
$$\Gamma_{i \rightarrow f}^{IET} = I \mu_{if}^2 = \Gamma_{f \rightarrow i}^{IET}$$

Persson, Demuth, Solid State Commun. **57**, 769 (1986)



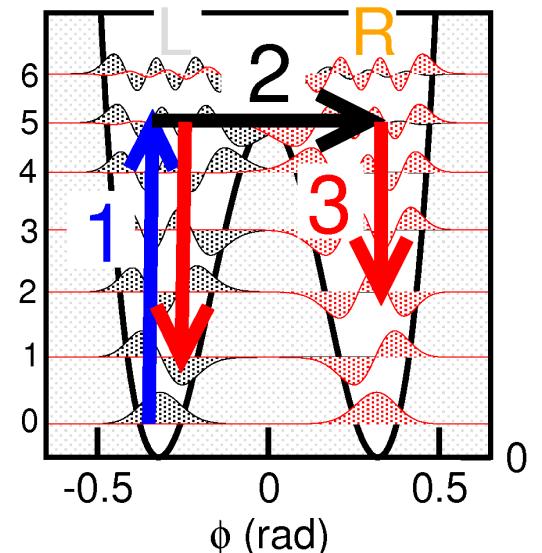
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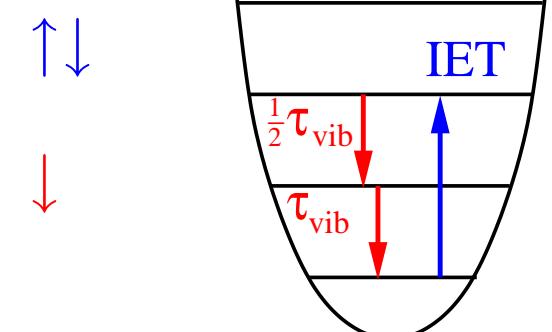
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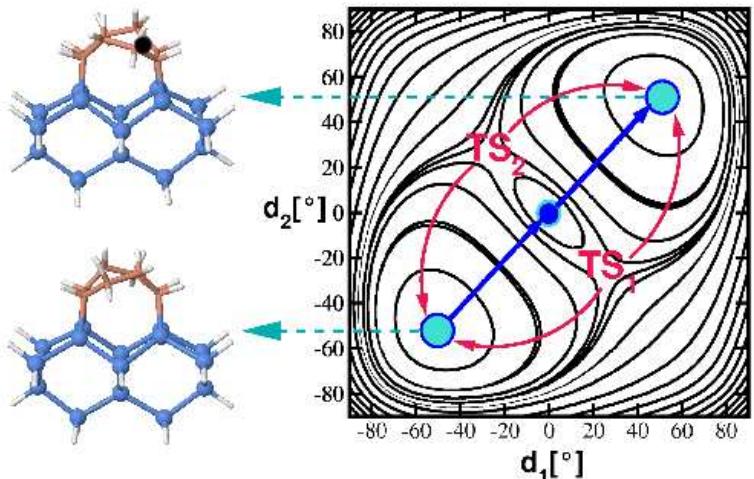
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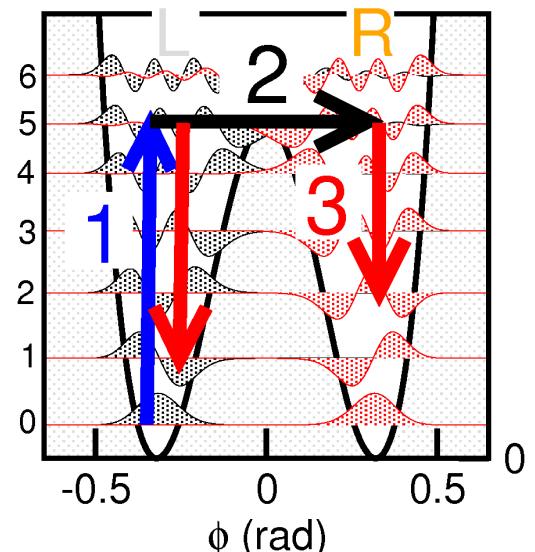
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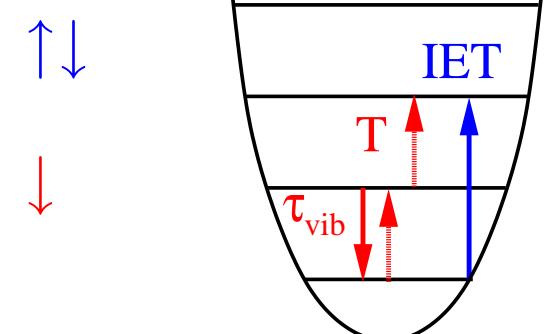
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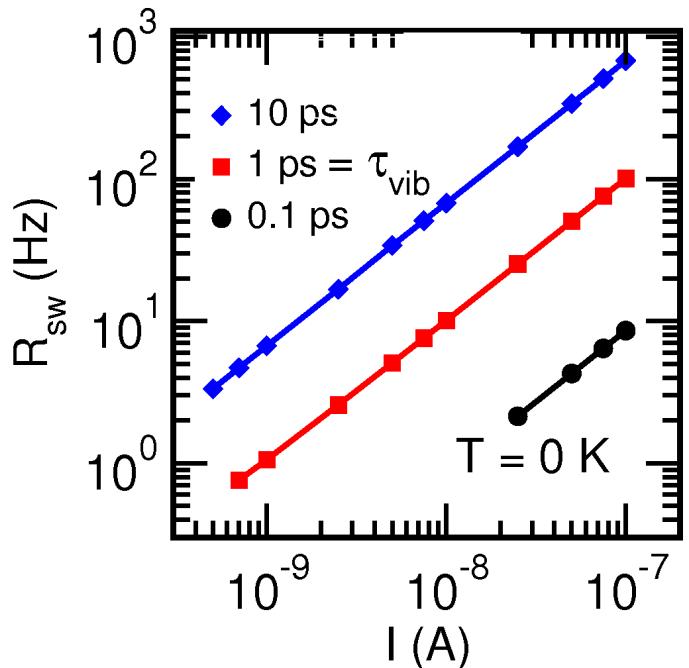
finite T: also ↑



# COD@Si(100): RESULTS

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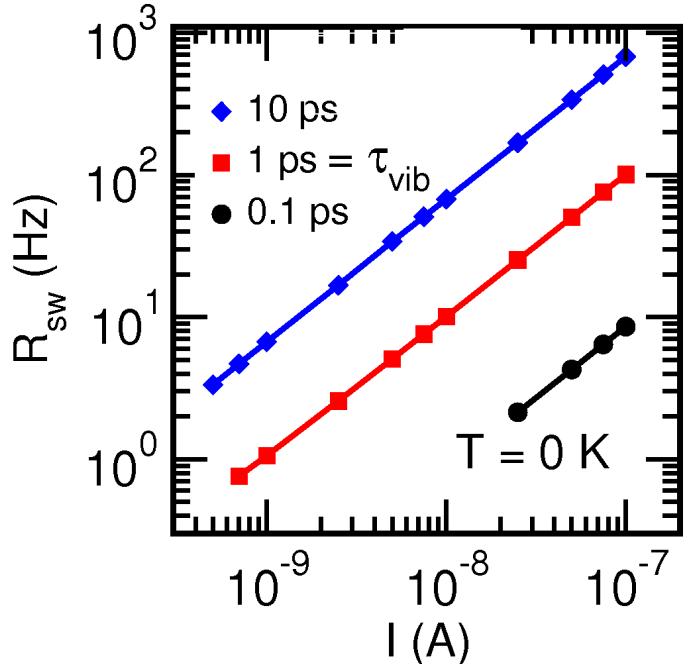
- Switch rate:  $T = 0$



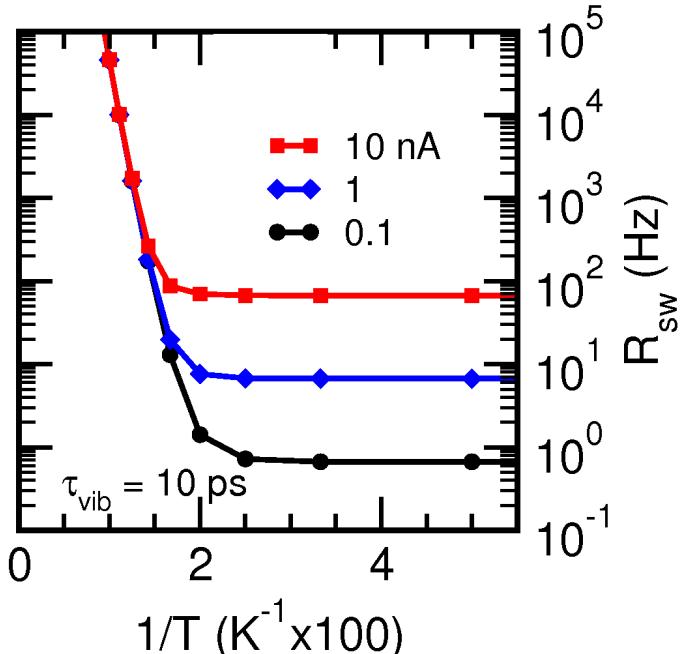
- $R_{sw}(1\text{nA}) \approx \text{Hz}$
- $R_{sw} \propto I$
- IET:  $|0_L\rangle \rightarrow |4_L\rangle \rightarrow \text{switch}$

# COD@Si(100): RESULTS

- Switch rate:  $T = 0$



- $T$ -dependence

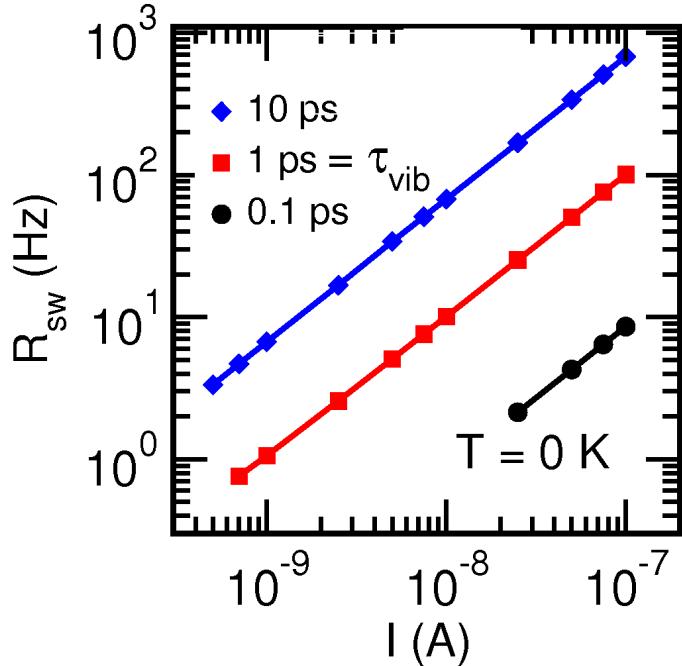


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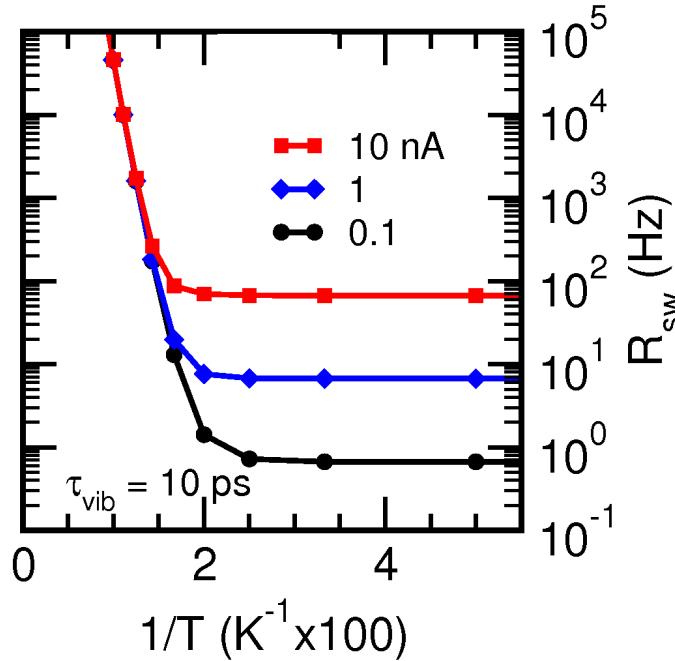
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- high  $T$ : Thermal Arrhenius over-barrier
- crossover temperature  $T_c$

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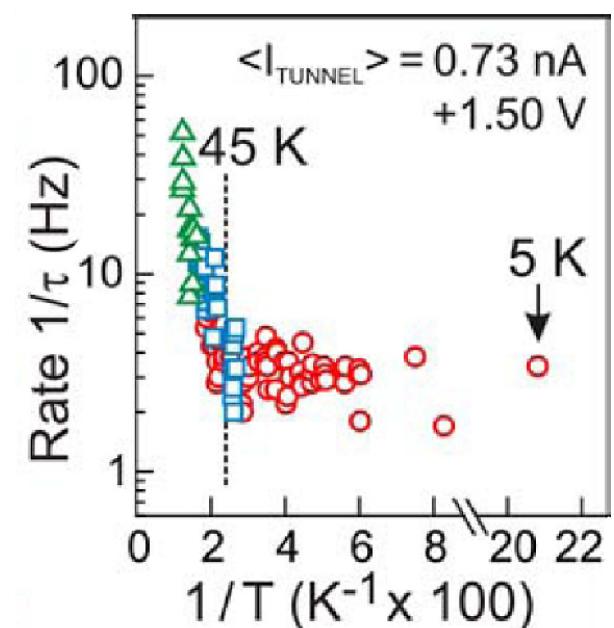
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- Experiment



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I-controlled switching from *classical* to *quantum* regime

CAN WE CALCULATE  $\tau_{vib}$ ?

---

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---

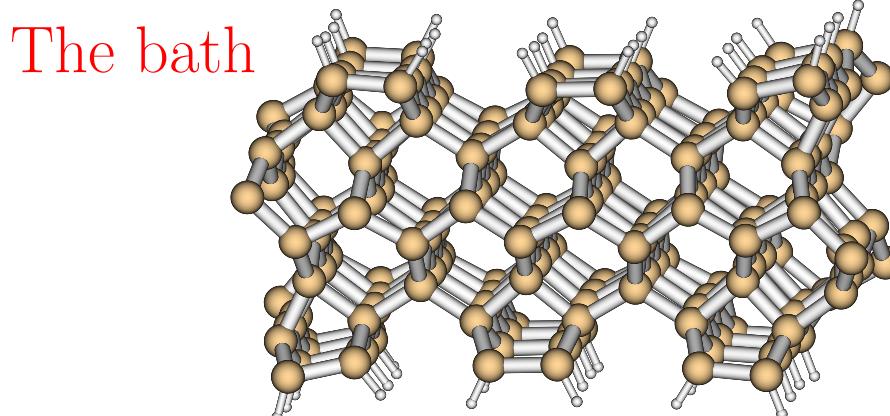
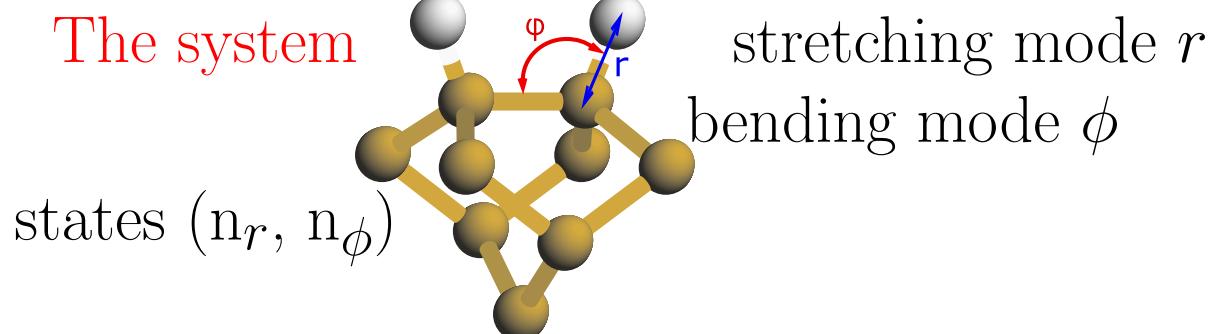


# H / Si(100): VIBRATIONAL RELAXATION

- A “system-bath” model for H on Si(100)

$$\hat{H} = \underbrace{\hat{T} + V(r, \phi)}_{\hat{H}_s} + \underbrace{\sum_{i=1}^M \lambda_i(r, \phi) q_i}_{\text{1-phonon}} + \frac{1}{2} \sum_{i,j=1}^M \Lambda_{ij}(r, \phi) q_i q_j + \underbrace{\sum_{i=1}^M \left( \frac{\hat{p}_i^2}{2m_i} + \frac{m_i \omega_i^2}{2} q_i^2 \right)}_{\hat{H}_b}$$

- The model



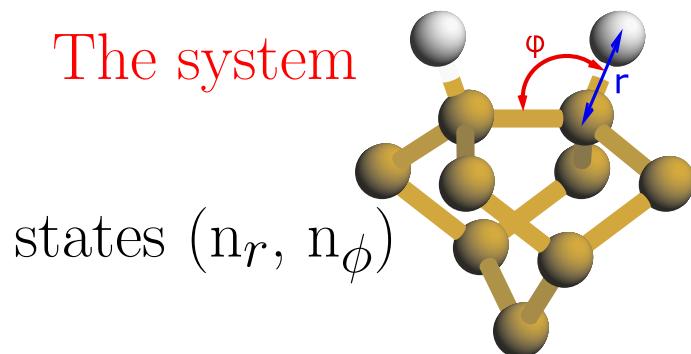
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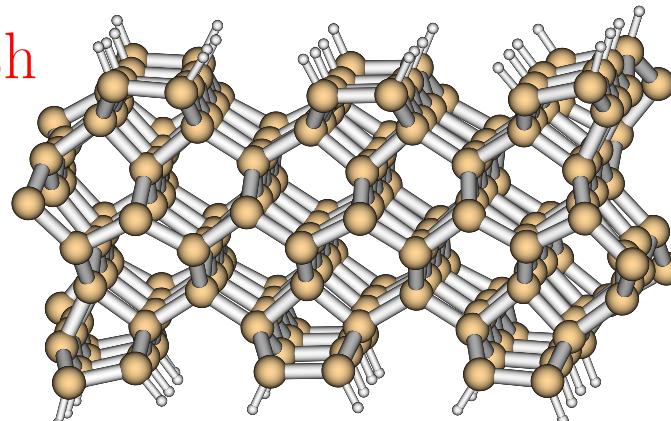
- The model

The system



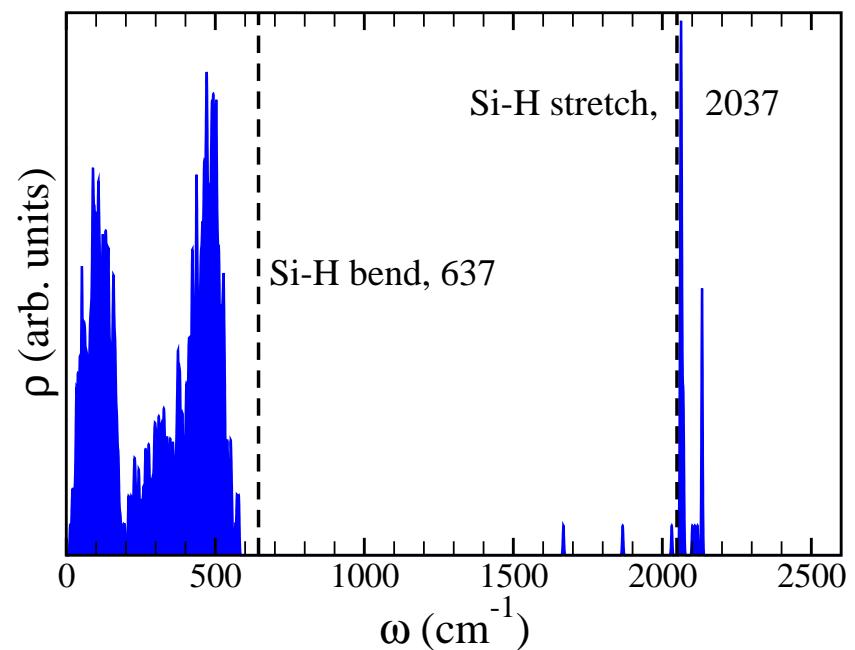
states  $(n_r, n_\phi)$

The bath



- Vibrational state density

normal mode analysis ( $N_{at}=180$ , FF<sup>1</sup>)



<sup>1</sup> force field: D. Brenner, PRB **42**, 9458 (1990); NMA: I. Andrianov, PS, JCP **124**, 034710 (2006)

# H/Si(100): PERTURBATION THEORY

---

- The Golden Rule of quantum mechanics

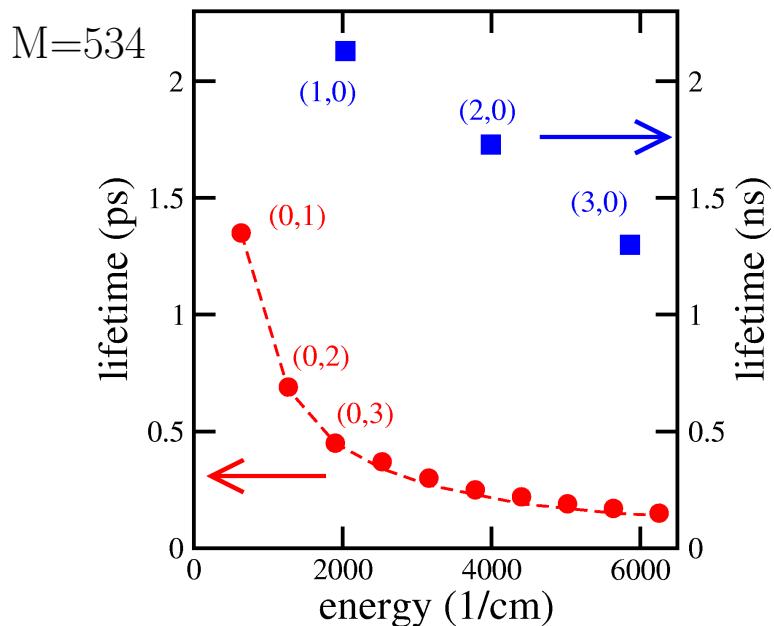
$$\Gamma_{n \rightarrow m} = \frac{2\pi}{\hbar} \sum_i \sum_f w_i(T) (1 - w_f(T)) \left| \langle m, f | \hat{H}_{sb} | n, i \rangle \right|^2 \delta(\varepsilon_f^{ph} - \varepsilon_i^{ph} - \hbar\omega_{nm})$$

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- Lifetimes (T=0)



- stretch mode:  $\tau_{vib} = \Gamma_{1 \rightarrow 0}^{-1} = \text{ns}$
- bending mode:  $\text{ps}$
- $\Gamma_{n \rightarrow m} \approx \tau_{vib}^{-1} n \delta_{m,n-1}$ :  $\Delta n = -1$
- exponential population decay

# Non-perturbative RELAXATION H:Si(100), MCTDH

---

- Solve  $i\hbar \frac{\partial \Psi}{\partial t} = (\hat{H}_s + \hat{H}_b + \hat{H}_{sb})\Psi$  with Multi Configuration TD Hartree method<sup>1</sup>
- Wavefunction:

$$\Psi(q_1 \dots q_F, t) = \sum_{j_1}^{n_1} \dots \sum_{j_F}^{n_F} A_{j_1 \dots j_F}(t) \Phi_{j_1 \dots j_F}(t)$$

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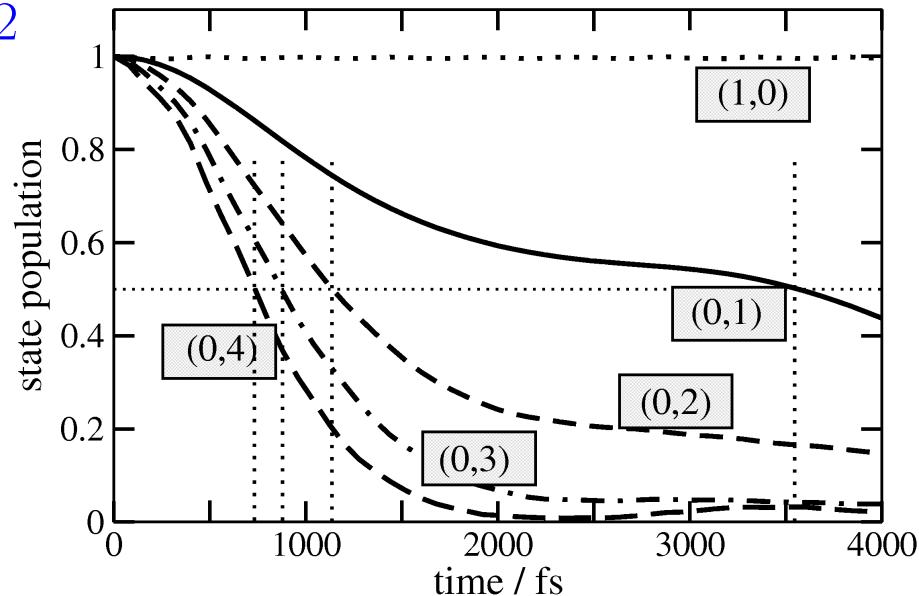
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- “Relaxation” dynamics<sup>2</sup>

(50+2 DOF)

- non-exponential decay
- recurrences



- Alternatives: TD-SCF<sup>3</sup> and LCSA<sup>4</sup>

<sup>1</sup> Meyer *et al.*, JCP **97**, 3199 (1992)

<sup>2</sup> I. Andrianov, PS, CPL **433**, 91 (2006)

<sup>3</sup> Paramonov, Andrianov, PS, J. Phys. Chem. C **111**, 5432 (2007)

<sup>4</sup> Martinazzo, Nest, PS, Tantardini, JCP **125**, 194102 (2006)

# H/Si: IR MODE-SELECTIVE CHEMISTRY

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Science 312, 1024 (2006): **Desorption of H from Si(111) by Resonant Excitation of the Si-H Vibrational Stretch Mode**

Zhiheng Liu,<sup>1,2</sup> L. C. Feldman,<sup>2,3</sup> N. H. Tolk,<sup>2</sup> Zhenyu Zhang,<sup>3,4</sup> P. I. Cohen<sup>1\*</sup>

Past efforts to achieve selective bond scission by vibrational excitation have been thwarted by energy thermalization. Here we report resonant photodesorption of hydrogen from a Si(111) surface using tunable infrared radiation. The wavelength dependence of the desorption yield peaks at 0.26 electron volt: the energy of the Si-H vibrational stretch mode. The desorption yield is quadratic in the infrared intensity. A strong H/D isotope effect rules out thermal desorption mechanisms, and electronic effects are not applicable in this low-energy regime. A molecular mechanism accounting for the desorption event remains elusive.

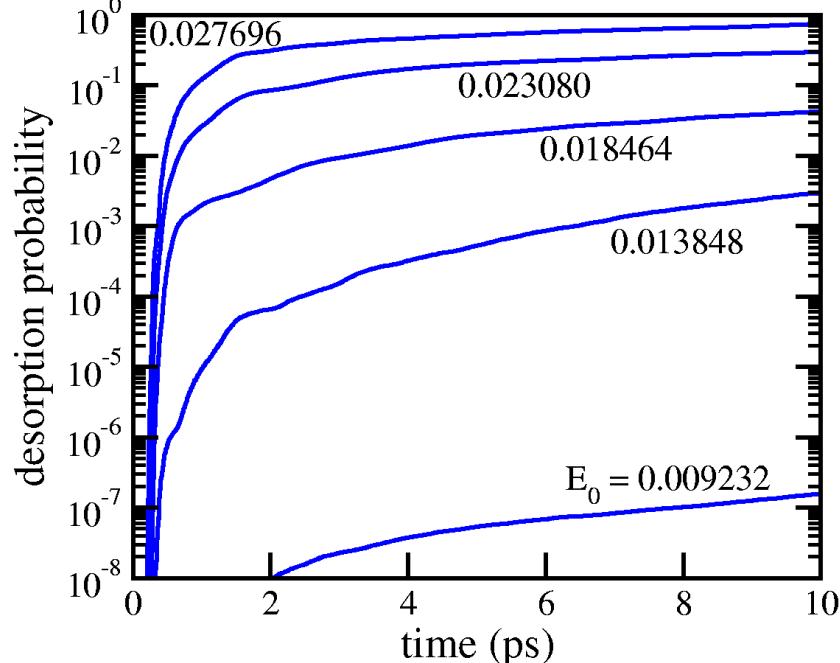
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- TD-SCF model: IR-desorption of H from Si(100)



(534+2 DOF)

desorption by mode-selective excitation

# SUMMARY

---

- **Electron dynamics**

- ① correlated wavefunction-based many-electron methods
- ② ultrashort pulses:  
multi-photon processes and electronic wavepackets
- ③ transport
- ④ dissipation

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- **Electron dynamics**

- ① correlated wavefunction-based many-electron methods
- ② ultrashort pulses:  
multi-photon processes and electronic wavepackets
- ③ transport
- ④ dissipation

- **Nuclear (atom) dynamics**

- ① open-system density matrices and system-bath Schrödinger equations
- ② applications to surface and nano science
- ③ single molecules: switching conformations, and quanticity
- ④ mode-selectivity despite of decoherence

# THANKS TO ...

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- ... the group:



- ... the sponsors:

- Deutsche Forschungsgemeinschaft



SFB 450, SFB 658, SPP 1145, UniCat, Sa 547/7

- FCI



- BMBF



Bundesministerium  
für Bildung  
und Forschung

- AvH



# t-DEPENDENT CONFIGURATION INTERACTION

- TD-CIS (Singles)

1. Field-free Hartree-Fock

$$\hat{f}(1)\phi_a(1) = \varepsilon_a\phi_a(1)$$

$$\phi_a = \sum_{\mu}^K d_{\mu a} \varphi_{\mu}$$

2. Field-free CIS

$$\underline{\underline{H}}_0 \underline{D}_i = E_i \underline{D}_i$$

$$\psi_i = \underbrace{D_{i,0} \psi_0}_{\text{HF ground state}} + \sum_{a=1}^{N/2} \sum_{r=N/2+1}^K \underbrace{D_{a,i}^r \psi_a^r}_{\text{singlet excitations}}$$

3. TD Schrödinger equation with field

$$\Psi(t) = \sum_i C_i(t) \psi_i$$

$$i\hbar \dot{\underline{C}}(t) = \underline{\underline{H}}(t) \underline{C}(t)$$

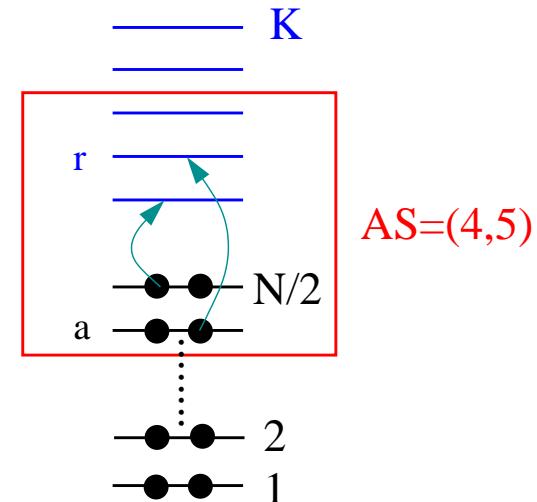
$$\hat{H} = \hat{H}_{el} - \hat{\mu} \underline{E}(t) \quad ; \quad \psi(0) = \psi_0$$

- Extensions

- TD-CISD, TD-CIS(D)<sup>1</sup>, ..., FCI

- TD-CASSCF (=MCTDHF)

- TD-CASSCF (N,M):  
N electrons in M spatial orbitals



- TD-CASSCF (N,N/2): TD-HF  
- TD-CASSCF (N,K): FCI

<sup>1</sup> M. Head-Gordon *et al.*, CPL **219**, 21 (1994)

# CIS(D) METHOD

---

- Perturbative treatment of double-excitations

$$E_i^{(D)} = -\frac{1}{4} \sum_{abrs} \frac{\left(u_{ab,i}^{\text{rs}}\right)^2}{(\Delta_{ab}^{\text{rs}} - E_i^{\text{CIS}})} + \sum_{\text{ar}} D_{\text{a},i}^{\text{r}} v_{\text{a},i}^{\text{r}}$$

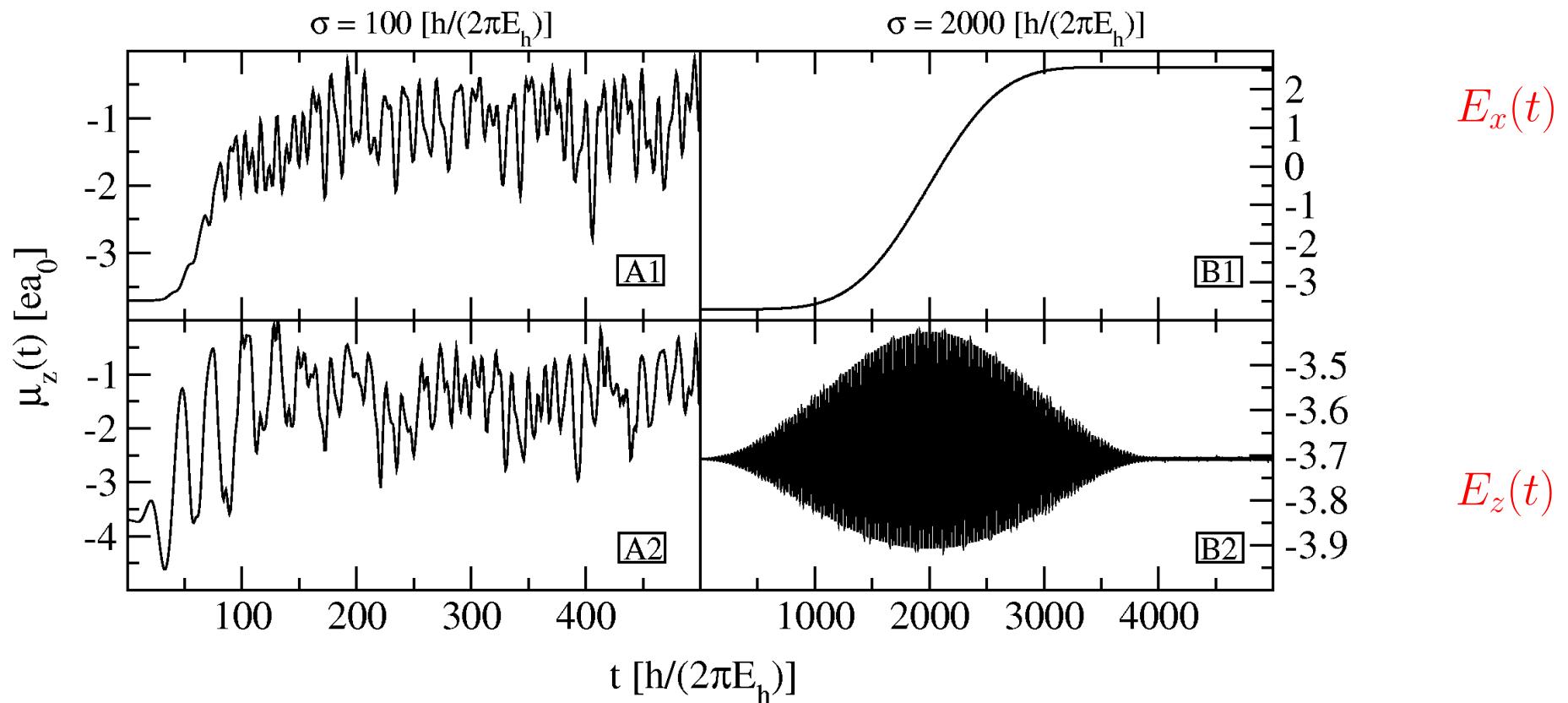
- Example: H<sub>2</sub>

aug-cc-pV5Z	CIS	CIS(D)	CISD	“exact”
$E_0 (E_h)$	-1.1336	-1.1673	-1.1742	-1.178

# LiCN: TD-CIS(D) CALCULATIONS

## • Dipole moments

$\sin^2$  laser pulses:  $E_x(t)$ ,  $E_z(t)$



dipole switch with “long”, x-polarized pulse

# MOLECULAR JUNCTIONS: THEORY

---

- Landauer transport theory

$$I(V) = \frac{2e}{h} \int_{E_F - \frac{eV}{2}}^{E_F + \frac{eV}{2}} N(E, V) dE$$

- $N(E)$ : Miller-Seideman

$$N(E) = \frac{1}{\hbar^2} \text{Tr} [\underline{\underline{\Gamma}}^L \underline{\underline{G}}(E) \underline{\underline{\Gamma}}^R \underline{\underline{G}}^\dagger(E)]$$

- LCAO-MO approach

$$\underline{\underline{G}}(E) = \left[ E \underline{\underline{S}} - (\underline{\underline{H}} - \frac{i\hbar}{2}(\underline{\underline{\Gamma}}^L + \underline{\underline{\Gamma}}^R)) \right]^{-1}$$

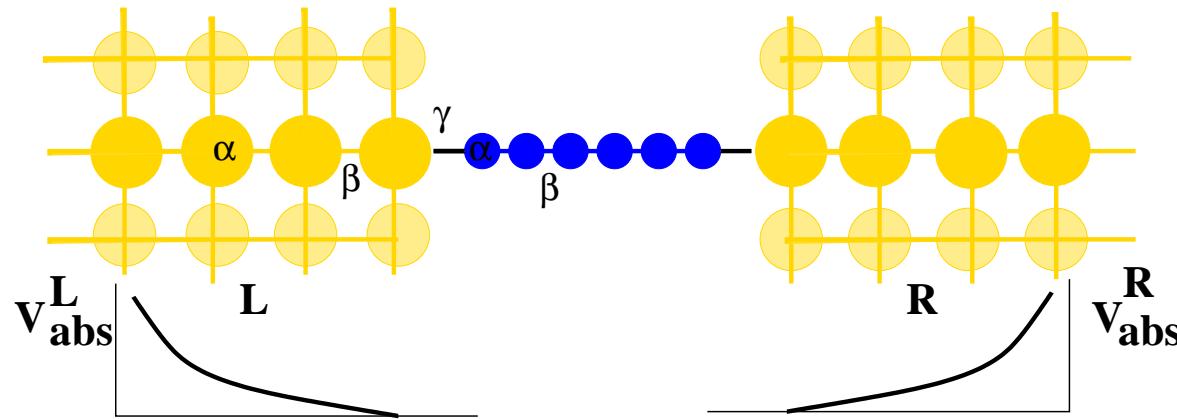
$\underline{\underline{H}}$  = Hamiltonian matrix

$\underline{\underline{S}}$  = overlap matrix

$\underline{\underline{\Gamma}}^L/R$  = left / right absorbers

# MOLECULAR JUNCTIONS: TESTING

- Quasi-1D Hückel (tight binding) model

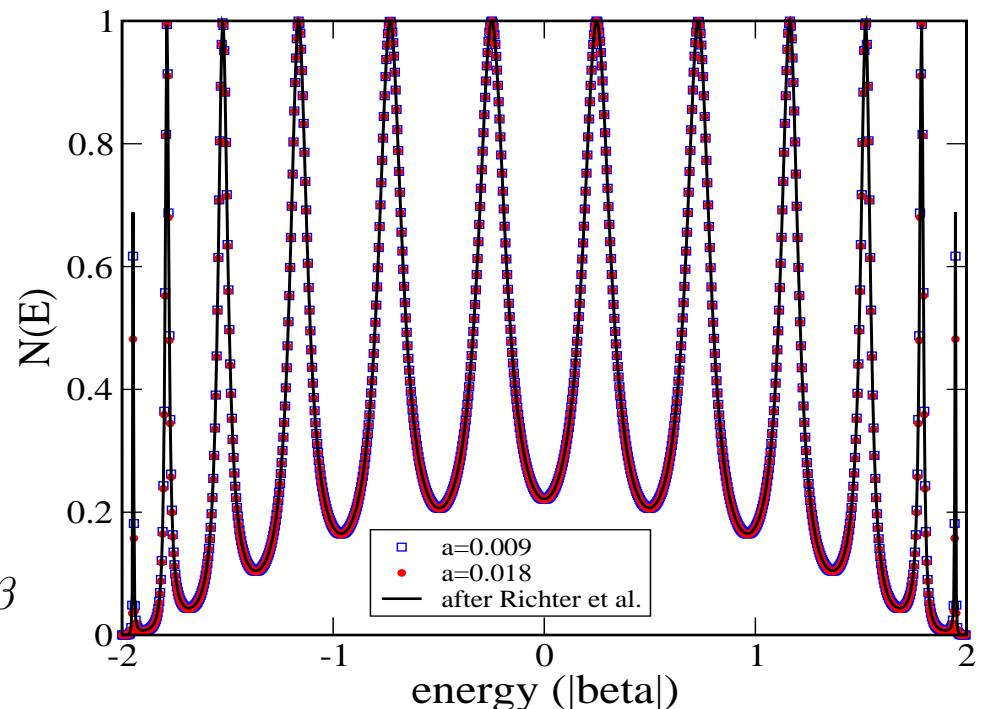


- Transmission  $N(E)$

$$\hbar\Gamma_{ij}^{L/R} = \delta_{ij}V_{abs}^{L/R}(r_i)$$

absorbers:  $V_{abs}^R = a(z - z_0)^n$

$$N = 12, N_L = N_R = 100, n = 4, \gamma = 0.5\beta$$



# REDUCED DENSITY MATRIX (RDM) THEORY

$$\langle \hat{A} \rangle(t) = \text{tr}\{\hat{\rho}(t) \hat{A}\}$$

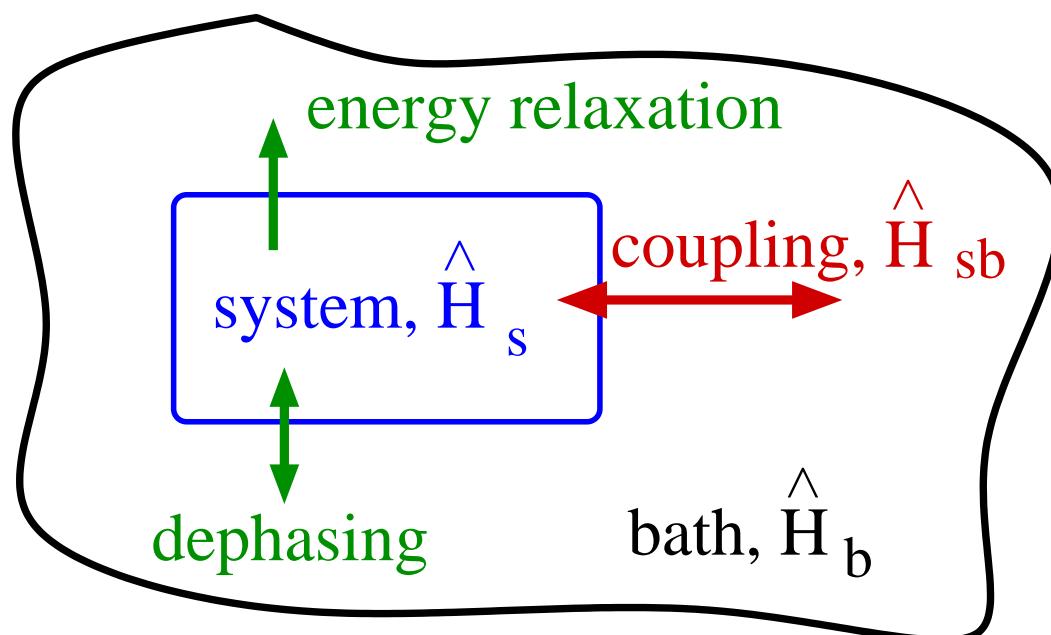


Liouville-von  
Neumann eq.

$$\frac{\partial \hat{\rho}(t)}{\partial t} = -\frac{i}{\hbar} [\hat{H}_s + \hat{H}_b + \hat{H}_{sb}, \hat{\rho}]$$

$$\hat{\rho} = \sum_n w_n(T) |\psi_n\rangle \langle \psi_n|$$

density operator



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reduced density matrix:  $\hat{\rho}_s = \text{tr}_b \hat{\rho}$



approximations, e.g. Markov:



$$\dot{\hat{\rho}}_s(t) = f[\hat{\rho}_s(t)]$$

$$\frac{\partial \hat{\rho}_s(t)}{\partial t} = -\frac{i}{\hbar} [\hat{H}_s, \hat{\rho}_s] + \left( \frac{\partial \hat{\rho}_s}{\partial t} \right)_D$$

LvN open system



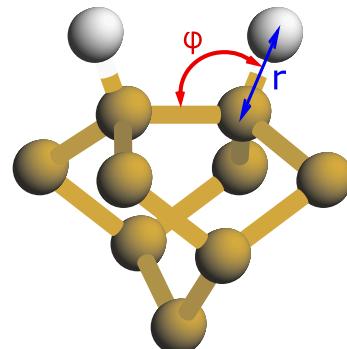
Redfield, Lindblad theories

# H / Si(100): VIBRATIONAL RELAXATION

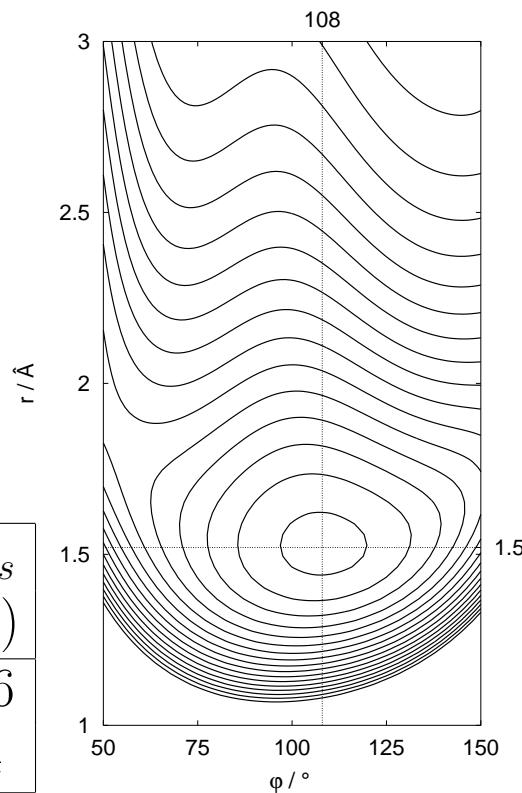
- A “system-bath” model for H on Si(100)

$$\hat{H} = \underbrace{\hat{T} + V(r, \phi)}_{\hat{H}_s} + \underbrace{\sum_{i=1}^M \lambda_i(r, \phi) q_i}_{\text{1-phonon}} + \frac{1}{2} \sum_{i,j=1}^M \Lambda_{ij}(r, \phi) q_i q_j + \underbrace{\sum_{i=1}^M \left( \frac{\hat{p}_i^2}{2m_i} + \frac{m_i \omega_i^2}{2} q_i^2 \right)}_{\hat{H}_b}$$

- The system



	$r_0$ ( $\text{\AA}$ )	$\phi_0$ (deg)	$E_{ads}$ (eV)
Here <sup>1</sup>	1.52	108	3.46
Lit. <sup>2</sup>	1.50	111	3.4



- System modes

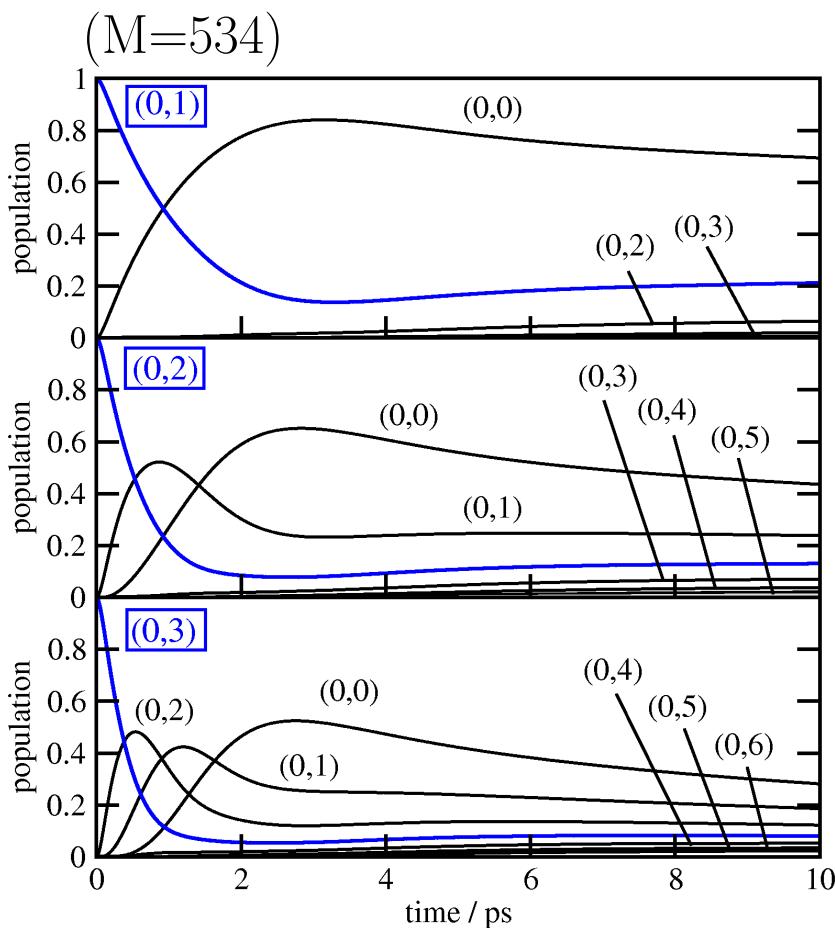
$n$	$(n_r, n_\phi)$	$E_n^{the}$ (cm $^{-1}$ )	$E_n^{exp}$ (cm $^{-1}$ )
1	(0,1)	637	645
2	(0,2)	1271	—
3	(0,3)	1903	—
4	(1,0)	2037	2097
5	(0,4)	2523	—
6	(1,1)	2661	—

<sup>1</sup>I. Andrianov, PS, JCP **124**, 034710 (2006)

<sup>2</sup>Stokbro et al., Surf. Sci. **415**, L1037 (2000)

# RELAXATION H:Si(100), TD-SCF

- Solve  $i\hbar \frac{\partial \Psi}{\partial t} = (\hat{H}_s + \hat{H}_b + \hat{H}_{sb})\Psi$  with TD Self Consistent Field method
- Wavefunction:  $\Psi(r, \phi, q_1, \dots, q_M, t) = \Phi_s(r, \phi, t) \prod_{i=1}^M \chi_i(q_i, t)$
- “Relaxation” dynamics



- Half-life times  $T_{1/2}$

state	TD-SCF $M = 534$ (ps)	PT $M = 534$ (ps)	MCTDH $M = 50$ (ps)
(0,1)	0.92	0.94	3.54
(0,2)	0.48	0.48	1.13
(0,3)	0.34	0.33	0.87

# OPTIMAL CONTROL IN AN OPEN SYSTEM<sup>(1),(2)</sup>

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- Liouville-von Neumann equation:

$$i\hbar \frac{\partial}{\partial t} |\hat{\rho}(t)\rangle\rangle = (\mathcal{L}_H + \mathcal{L}_D) |\hat{\rho}(t)\rangle\rangle \quad \text{forward from } t = 0, |\hat{\rho}(0)\rangle\rangle = |\hat{\rho}_0\rangle\rangle$$

- Maximize constrained target functional:

$$J = \langle\langle \hat{O} | \hat{\rho}(t_f) \rangle\rangle - \int_0^{t_f} \alpha(t) |E(t)|^2 dt - \int_0^{t_f} dt \langle\langle \hat{\sigma}(t) | \frac{\partial}{\partial t} + \frac{i}{\hbar} [\mathcal{L}_H + \mathcal{L}_D] | \hat{\rho}(t) \rangle\rangle$$

- Solve in addition to LvN equation:

$$i\hbar \frac{\partial}{\partial t} |\hat{\sigma}(t)\rangle\rangle = (\mathcal{L}_H + \mathcal{L}_D)^\dagger |\hat{\sigma}(t)\rangle\rangle \quad \text{backward from } t = t_f, |\hat{\sigma}(t_f)\rangle\rangle = |\hat{O}\rangle\rangle$$

- Field:

$$E(t) = -\frac{1}{\hbar\alpha(t)} \text{Im} \langle\langle \hat{\sigma}(t) | \hat{\mu} | \hat{\rho}(t) \rangle\rangle$$

(1) Y. Ohtsuki *et al.*, JCP **109**, 9318 (1998); (2) Y. Ohtsuki *et al.* JCP **110**, 9825 (1999)