Optical manipulation of edge state transport in topological insulators



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Björn Trauzettel



Koenig et al. Science 2007







(my personal) motivation





Graphene	Topological insulators
valleys (K and K')	Kramers partners (+ and -)
sublattice (A and B)	CB and VB states (E and H)
spin (\uparrow and \downarrow)	

Topological insulator in graphene



spin filtered edge states: topologically non-trivial w/ respect to TRS

⇒no TRS-preserving (local) perturbation can open gap

$$H = v \left(p_x \sigma_x \tau_x + p_y \sigma_y \right) + \Delta_{so} \sigma_z \tau_z s_z$$

Kane & Mele PRL 2005



Outline

- Effective Hamiltonian of HgTe Quantum Wells
- Experimental consequences $\rightarrow QSHI$
- Optical manipulation of edge state transport
- Summary and Outlook



Band structure of HgTe QW I





Koenig et al. JPSJ 2008



a little bit of group theory

T _d	E	8C ₃	3C ₂	68 ₄	6° d	linear functions, rotations	quadratic functions
A ₁	+1	+1	+1	+1	+1	-	x ² +y ² +z ²
A ₂	+1	+1	+1	-1	-1	-	-
E	+2	-1	+2	0	0	-	$(2z^2-x^2-y^2, x^2-y^2)$
Τ ₁	+3	0	-1	+1	-1	(R_x, R_y, R_z)	-
T_2	+3	0	-1	-1	+1	(x, y, z)	(xy, xz, yz)







 Γ_6 : orbital part transforms s-like Γ₇, Γ₈: orbital part transforms p-like



k.p theory

$$\frac{\left[\frac{p^{2}}{2m}+V\left(\vec{r}\right)\right]\psi_{n,\vec{k}}\left(\vec{r}\right)=E_{n}\left(\vec{k}\right)\psi_{n,\vec{k}}\left(\vec{r}\right)}{\mathbf{Bloch functions }}\psi_{n,\vec{k}}\left(\vec{r}\right)=e^{i\vec{k}\vec{r}}u_{n,\vec{k}}\left(\vec{r}\right)$$

$$\left[\frac{p^2}{2m} + V\left(\vec{r}\right) + \frac{\hbar\vec{k}\cdot\vec{p}}{m} + \frac{\hbar^2k^2}{2m}\right]u_{n,\vec{k}}\left(\vec{r}\right) = E_n\left(\vec{k}\right)u_{n,\vec{k}}\left(\vec{r}\right)$$

- $E_n(\mathbf{k})$ known at $\mathbf{k}=\mathbf{k_0}$ (n: band index)
- band n has Γ_i symmetry $\Rightarrow u_{n,k}(\mathbf{r})$ transforms as Γ_i
- *in HgTe/CdTe*: $\Gamma_i = {\Gamma_6, \Gamma_7, \Gamma_8}$



Band structure of HgTe QW II







Effective model near Γ point

$$H = \begin{pmatrix} h(\vec{k}) & 0 \\ 0 & h^*(\vec{k}) \end{pmatrix} \text{ with } h(\vec{k}) = \varepsilon(\vec{k}) + d_a(\vec{k})\sigma^a$$

$$\varepsilon\left(\vec{k}\right) = C - Dk^{2}$$
$$\vec{d}\left(\vec{k}\right) = \left(Ak_{x}, -Ak_{y}, M - Gk^{2}\right)$$

with basis states:

$$\left\{ \left| E+\right\rangle ,\left| H+\right\rangle ,\left| E-\right\rangle ,\left| H-\right\rangle \right\}$$

±: degenerate Kramers partners

Bernevig, Hughes, Zhang Science 2006



Schmidt, Novik, Kindermann & BT arXiv 2009



Experimental consequence: edge states at B=0



Prediction:

Observation:



Bernevig, Hughes & Zhang Science 2006

Koenig et al. Science 2007

see also: HL34 Spin controlled transport II, Wed 14:00-17:00, BEY 118



Effective model at finite B-field

$$H = \begin{pmatrix} h_+ & 0 \\ 0 & h_- \end{pmatrix} \text{ with } h_{\pm} = h_{HO} + h_{JC}^{\pm} \qquad \vec{A}_0 = (-By, 0, 0)$$



Schmidt, Novik, Kindermann & BT arXiv 2009



Edge states at finite B-field

 $M \to M + V_{edge}(y)$

varies slowly on the scale of the typical extent of Landau level wave function in y-direction







Optical transitions

$$\vec{A}_{0} \rightarrow \vec{A}_{0} + \vec{A}_{1}(\vec{r},t) \text{ with } \vec{A}_{1}(\vec{r},t) = 2|A_{1}|\hat{\varepsilon}\cos\left(\frac{\omega}{c}\hat{n}\cdot\vec{r}-\omega t\right)$$

$$\vec{A}_{0} = (-By,0,0) \text{ choice: } \hat{\varepsilon} = \hat{e}_{y} \text{ and } \hat{n} = -\hat{e}_{z}$$

$$h_{+} \rightarrow h_{+} - 2i |A_{1}| \cos(\omega t) \sqrt{2B} (a^{\dagger} - a) (D\mathbf{1} + G\sigma^{3}) - 2 |A_{1}| A \cos(\omega t) \sigma^{2}$$
$$h_{-} \rightarrow h_{-} - 2i |A_{1}| \cos(\omega t) \sqrt{2B} (a^{\dagger} - a) (D\mathbf{1} + G\sigma^{3}) + 2 |A_{1}| A \cos(\omega t) \sigma^{2}$$

Relative importance of terms:

$$\sqrt{2BD} \simeq -28$$
 meVnm, $\sqrt{2BG} \simeq -37$ meVnm, $A \simeq 364$ meVnm, for $B = 1$ T



Selection rules









Jiang et al. PRL 2007



Optical manipulation of edge state transport



focus on two states that are resonantly connected

subspace: $\left\{ |0\rangle, |\Psi_1^-(k)\rangle \right\}$

$$i\partial_{t}\tilde{\Psi}(x,t) = \left[E_{0}(k) + \frac{1}{2}(\Delta E(k) - \omega)\sigma^{3} + Q\sigma^{2}\right]\tilde{\Psi}(x,t)$$

with
$$E_0(k) + \frac{1}{2}\Delta E(k) = \varepsilon_0(k), \quad E_0(k) - \frac{1}{2}\Delta E(k) = \varepsilon_1^-(k), \quad Q = AA_1 \cos\left(\frac{\phi_1}{2}\right)$$

depends on all system parameters



Transfer matrix approach

linearization of spectrum (at the edge) \Rightarrow

$$\left[E - \begin{pmatrix} v_1 & 0 \\ 0 & v_2 \end{pmatrix} p_x - Q\sigma^2\right] \tilde{\Psi}_E(x) = 0$$

in transfer matrix notation:

$$\begin{bmatrix} E + i\mathbf{v}\partial_x - Q\sigma^2 \end{bmatrix} T_E(x, x_0) = 0$$

with $\mathbf{v} = \begin{pmatrix} v_1 & 0 \\ 0 & v_2 \end{pmatrix}$ and $\tilde{\Psi}_E(x) = T_E(x, x_0) \tilde{\Psi}_E(x_0)$
$$\boxed{M_E = T_E(0, L) = T_x \exp\left(-i\int_0^L dx \mathbf{v}^{-1} \left(E - Q(x)\sigma^2\right)\right)}$$
energy of scattering states relative to Fermi energy of the FIR source source of the FIR source



Transmission





How to detect it? → Setup1





Assumptions

- all chemical potentials tuned between 1h and 2h
- $\mu_1 > \mu_2 = \mu_3 = \mu_4$ • $L_{12} = L_{13}$

$$I_{12} \propto \mu_1 - \mu_2$$

- FIR ⇒ counterclockwise movers
 scatter into clockwise movers
 at resonance: I exponentially
- at resonance: I₁₂ exponentially suppressed





How to detect it? → Setup2



Assumptions

• all chemical potentials tuned between 1h and 2h

$$\Rightarrow^{\bullet} \mu_1 = \mu_2 = \mu_3 = \mu_4$$
$$\bullet L_{12} \gg L_{13}$$

- without radiation \Rightarrow no net current
- FIR ⇒ parts of large
 counterclockwise background current
 is blocked
- more efficient on long edge \Rightarrow net current, e.g., from 3 to 1



Summary and Outlook

- Similarities and difference between TI's and graphene
- Low-energy theory of TI's
- Optical manipulation of edge state transport

Schmidt, Novik, Kindermann & BT arXiv:0901.0621



- Relaxation mechanism for excited edge states
- Role of Rashba SOI