



Charge Transfer, Conductance, and Detection of a Single Mismatch in DNA

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Architecture of DNA





3-D View of DNA





Outcome Including Potential Applications

1. Biological relevance

Generation of damage and mutations Implications in aging, several types of cancer and diseases such as arteriosclerosis

2. DNA-based molecular technologies

Detection of DNA and electrochemical sequencing techniques

3. Nanoelectronics

DNA as a molecular wire in mesoscopic electronic devices Molecular computing

4. Chemistry

Possibility of doing "chemistry at a distance" Possibility to detect anomalies in the base pair stack





Biological Significance: Radiative Damage



2-aminoimidozolone (Iz)





Charge migration and sequencing techniques



DNA chips are patterned with many short snippets of single-strand DNA, each with a different sequence of bases. When DNA has been extracted from a cell, for example, and labelled with a fluorescent marker, it will only bind to the fragments that have exactly the right genetic code. Currently the chips are read out optically by searching for the fluorescent markers, but the electronic properties of DNA can be exploited for this purpose as well.



Molecular Detection and Diagnosis Device Based on Electrically Wired Single DNA



An array of different DNA probes hybridized with target DNA Rapid screening based on conductance measurement



Nanoelectronics: M-DNA

Replacement of imino proton by Zn²⁺, Co²⁺, and Ni²⁺





"Chemistry at a Distance"







Energetics of Bases and Base Pairs

A.A.Voityuk, J. Jortner, M.Bixon, and N. Rösch, Chem. Phys. Lett. 324, 430 (2000)



More recent data for nucleotide triplets

K. Santhilkumar, F. C. Grozema, C. F. Guerra, M. L. Bickelhaupt, F. D. Lewis, Y.A. Berlin, M.A. Ratner, L.D.A. Siebbeles, JACS 127, 14894 (2005)

Neighboring bases in triplets does not affect the hierarchy of ionization potentials

G<A<C<T



Stack Structure and Energy Profile



H. Sugiyama, I. Saito, JACS 118, 7063 (1996) 0.0 - G G G G (-0.47 eV)-1.0 - GGG (-0.68 eV)

> This numbers becomes smaller if the solvation (Beratan et al 2002) and the effect of flanking nucleobases (Berlin, Siebbeles, Ratner et al 2005) are taken into account

GG doublets and GGG triples are traps for traveling holes



Hole and excess electron states in the DNA energy landscape





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Elementary Processes on Stacks of Base Pairs

Hole generation

- Intercalated hole injectors (Barton)
- Covalently attached hole-injecting chromophores (Schuster)
- Nucleobase analogue acting as a holedonating species (Fiebig, Zewail et al., Geacintov et al.)
- Site-selective generation of holes (Giese, Michel-Beyerle)

Hole transition between resting G states

AT bridge G G H₂O AT bridge G G G H₂O G G H₂O G G H₂O

Trapping



Side reaction with water



Theoretical Methodology





Issues

- ***** Steady-state experiments
- Their theoretical analysis
- Information available from steady-state studies
- Time-resolved measurement
- ***** Estimates of rates for elementary process
- The effect of conformational dynamics on the rates



Experimental Approaches

- 1. <u>Hole transfer through AT bridges</u> *Observable:* the damage ratio $\phi'=P_{GGG}/P_{G}$
- G⁺⁺ T T T T G G G
- C AAAA C C C

Information: dependence of hole transfer efficiency on the AT bridge length

- 2. Hole transfer through bridges with AT and GC Observable: the damage ratio $\phi = P_{GGG} / \Sigma P_{Gi}$
- G⁺⁺ T G T T G G G
- C A C A A C C C

Information: distance and sequence dependence of hole transfer efficiency



Key Theoretical Problem

- 1. To propose a mechanism and a minimal description of hole transfer through AT bridges
- 2. To formulate a kinetic model for hole transfer through sequences of AT and GC base pairs
- 3. To predict the efficiency of hole transfer in DNA



Minimal Theoretical Description of Hole Transfer through AT Bridges





Mechanism of Individual Hopping Steps

Yu. A. Berlin, A. L. Burin and M. A. Ratner, Chem. Phys. 275, 61 (2002)





Comparison of Theory and Experiment



- **experiment:** E. Meggers et al, *J.Am.Chem.Soc.* 120 (1998) 12950
- experiment: B. Giese et al. *Nature* 412 (2001) 318



Kinetic Modeling



If the main contribution to the damage ϕ ratio comes from the reaction of water with primarily cation G₀⁺ and with (GGG)⁺,





Regular Sequences



For comparison, superexchange yields

$$\phi' \sim \exp(-\beta R)$$



Comparison with Steady-state Experiment

Experiment: E. Meggers, et al, *J.Am.Chem.Soc.* 120 (1998) 12950; B. Giese, et al, *Angew. Chem., Int. Ed.* Engl. 38 (1999) 996





Influence of Mismatches on Hole Transfer: Experimental Evidences



•No effect if there is a mismatch in the AT bridge

• Efficiency of charge transfer decreases if there is a mismatch at G site



Ionization Potentials of G Paired with Different Nucleobases

Y.A. Berlin, I.V. Kurnikov, M.A. Ratner, in press





 Ionization Potential:
 7.31 eV
 7.74 eV

 Electron coupling:
 $5.8 \ 10^{-3} \ eV$ for G_1TG_2 $2.1 \ 10^{-3} \ eV$ for G_1TG_2
 $1.4 \ 10^{-2} \ eV$ for G_2TTGGG $3.5 \ 10^{-3} \ eV$ for G_2TTGGG

 Damage Yield P_{GGG}:
 $68 \ \%$ (theory)
 $21 \ \%$ (theory)

 68% (experiment)
 23% (experiment)



Energy Profiles for Normal Base Pair Stacks and Stacks with a Single Mismatch

No mismatch

With mismatch





Consequences for Hole Transport in DNA

$$\begin{array}{c|c} \hline T_1 & \overline{G_2} & T_2 \\ A & T & A \\ \hline G_1 & \overline{G_2} & \overline{G_3} \\ C & C & C \\ \end{array}$$

• Unlike GC pairs, the GT mismatch serves as a bridging state rather than the "resting" state for holes

$$\begin{array}{c|c} \hline T_1 & \hline G_2 & T_2 & T_3 \\ A & T & A & A \\ \hline \hline G_1 & \hline G_2 & & \hline G_3 \\ C & C & & C \end{array}$$

• Transition from tunneling to the regime of thermally activated hopping



Energetics of Excess Electron Transfer in DNA

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C, EA=+0.36 eV







More recent data for nucleotide triplets

A.A. Voityuk, M.E. Michel-Beyerle, N.Rösch, Chem. Phys. Lett. 342, 231 (2001)

Electron affinities decrease in the order

C≈T>>A>G

G, EA= -0.57 eV





Thus "resting" sites for electrons are C and T.



Excess Electrons in DNA: Steady-State Measurements

C. Behrens, L.T. Burgdorf, A. Schwögler, and T. Carell, Angew. Chem. Int. Ed. 41, 1763 (2002)



Observable: the yield of the cleaved DNA measured as a function of a number of AT pairs between flavin injector and the tymine dimer



Yield of DNA Cleavage y vs. the Length of AT Bridge between Flavin (FI) and TT Dimer

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Tunneling through A bases located at the same strand

Thymine TT dimer is the electron trap



Is Electron Transfer from Flavin to TT dimer Sequence Dependent?

Experiment: S. Breeger, U. Hennecke, T. Carell, JACS 126, 1302 (2004)

Schematically denotes flavin electron donor

Excess electron pathway

In general, electron transfer is expected to be sequence dependent.

The lack of the sequence dependence in this particular case is due to the fact that for sequences 1- 5 electron pathway involves only bases (T and C) with almost the same EA values



Yield: 2.7±0.2 %/min



Summary of Stead-state Studies

Accessible Information

- G base is a resting site for a hole, C and T are resting sites for an excess electron
- Mechanisms of individual hole hops between two neighboring Gs connected by AT bridge
- Charge transfer efficiency in any sequence of Watson-Crick pairs as a function of the sequence structure and length
- How far a hole can travel along the stack

This allows to specify the initiation of chemistry over a large distance in DNA (several hundreds of Å)

Inaccessible information

- The absolute rates of hole and electron generation, hopping, and trapping
- Influence of DNA dynamics on transport of holes and excess electrons
- How fast a hole and an excess electron can be transferred over a given distance



Issues

- Steady-state experiments
- Their theoretical analysis
- Information available from steady-state studies
- Time-resolved measurement
- ✤ Rates the elementary processes
- The effect of conformational dynamics on the rates



DNA Hairpins



F. D. Lewis, R. L. Letsinger, and M. R. Wasielewski, *Acc. Chem. Res.* 34 (2001) 159

Stilbene-4,4'-dicarboxamide linker



Analysis of transient absorption of St anion in certain families of hairpins yields <u>rates</u> of hole generation

$$St^* + G \rightarrow St^- + G^+$$

and hopping

 $G \rightarrow G^+$



Hole Transfer Rates for Short AT Bridges

Marcus-Levich-Jortner equation

$$k_{CT} = \frac{2\pi}{\hbar} V^2 F C$$

Electronic coupling V

Franck-Condon factor FC

$$V \approx V_0 \exp(-\beta_c L/2)$$

$$FC = (4\pi\lambda k_B T)^{-1/2} \exp\left(-\frac{(\Delta G + \lambda)}{4\lambda k_B T}\right)$$

 β_c is the falloff parameter

L is the donor-acceptor distance

 λ is the reorganization energy

 ΔG is the change in Gibbs free energy driving charge transfer



Information Needed to Estimate Hopping Rates

Electronic coupling V

Available from quantum chemical calculations

K. Santhilkumar, F. C. Grozema, C. F. Guerra, M. L. Bickelhaupt, F. D. Lewis, Y.A. Berlin, M.A. Ratner, L.D.A. Siebbeles, JACS 127, 14894 (2005)

• Gibbs free energy driving hole transfer ΔG

Available from the data on the linker reduction potential, its oxidation potential, and singlet energy

How can the reorganization energy λ be evaluated?



Distance-dependent Reorganization Energy

To calculate λ , a molecular non-local model of solvent response is useful

Advantages:

- calculations are beyond the continuum approach
- molecular correlation length for orientation of permanent dipoles in water can properly be taken into account



D. N. LeBard, M. Lilichenko, D. V. Matyushov, Yu. A. Berlin, M. A. Ratner, *J. Phys. Chem. B* 107, 14509-14520 (2003)

For further experimental evidences strongly supported this dependence, see S. Hess, M. Gotz, W. B. Davis, M. E. Michel-Beyerle, *J Am. Chem. Soc.* 124, 2422-2423 (2002)



Consequences from Theoretical Analysis

- ★ Estimate for the hopping rate G⁺→GG through one AT base pair

 <u>Theory:</u> $k_h = 1.4 \ 10^7 \ s^{-1}$ <u>Experiment:</u> $k_h = 2.0 \ 10^7 \ s^{-1}$
- Distance dependence of the rate results from the distance dependence of electronic coupling

$$V^2 = V_0^2 \exp(-\beta_c L)$$

and the distance dependence of Frank-Condon factor

$$FC \sim \exp(-\beta_r L)$$



Two Contributions to the Distance Dependence of Charge Transfer



Efficiency of charge transfer ~ exp(- βL)

 $\beta = \beta_{\rm r} + \beta_{\rm c}$

- **Experimental data of Lewis et al.**
 - Experimental data of Giese et al.
- Experimental data of Meggers et al.



Possible Mechanisms of Hole Motion in DNA A-tracts

• Polaron motion

According to Voityuk J. Chem. Phys. 122, 204904 (2005) the polar surroundings should essentially suppress the formation of polaron

• Motion in the tight-binding band with the width B

$$B > \hbar/\tau_{scatt}$$
, where $\mu = \frac{e}{m}\tau_{scatt}$
 $\mu > \frac{e\hbar}{mB} = \frac{e\hbar}{4mb} \Box 10 \text{ cm}^2 \text{V}^{-1} \text{s}^{-1}$

Estimation based on experimental results of T. Takada et al. JACS **126**.1125 (2004) gives $\mu = 9 \ 10^{-4} \ \text{cm}^2 \text{V}^{-1} \text{s}^{-1}$

• Sequential A-hopping





A-hopping vs. Superexchange

Experiment: K. Kawai, T. Takada, S, Tojo, M. Fujitsuka, and T. Majima, JACS 125, 6842 (2003);

T. Takada, K. Kawai, X. Cai, A. Sugimoto, M. Fujitsuka, and T. Majima, JACS 126, 1125 (2004)

<u>Theory:</u> K. Santhilkumar, F. C. Grozema, C. F. Guerra, M. L. Bickelhaupt, F. D. Lewis, Y.A. Berlin, M.A. Ratner, L.D.A. Siebbeles, JACS 127, 14894 (2005)



Rate of A-hopping $2 \ 10^{10} \, \text{s}^{-1}$ (deduced from transient absorption measurements) 1.8 $10^{10} \, \text{s}^{-1}$ (theory)



Hole Motion through A-tracts





Hopping Conductance





Relationship between the Charge Transfer Rate and Molecular Conductance

$$g = \frac{e^2}{k_B T} \exp\left[-\frac{\left(E_{BF} - E_{BD}\right)}{k_B T}\right] \frac{k_{N,A}^{-1} + \Psi k_{1,D}^{-1} + (1+\Phi)k_{N,N-1}^{-1}}{k_{N,R}^{-1} + \Psi k_{1,L}^{-1} + (1+\Phi)k_{N,N-1}^{-1}} \cdot k_{CT}$$

For long sequences (wires)

$$N >> \max\left\{k_{N,N-1}\left(\frac{1}{k_{N,A}} + \frac{1}{k_{1,D}}\right), k\left(\frac{1}{k_{N,R}} + \frac{1}{k_{1,L}}\right)\right\}$$

$$g = \frac{e^2}{k_B T} \exp\left[-\frac{\left(E_{BF} - E_{BD}\right)}{k_B T}\right] \cdot k_{CT}$$

Different Mismatches Modify Energy Landscape for Hole Transport Differently





These results predict the dependence of DNA conductance on the type of the mismatch







- 1. The proposed model of variable range hopping adequately describes sequence and distance dependencies of charge transfer in DNA
- 2. Analysis of the mechanism governing the elementary step of hopping motion shows that for short AT bridges hole transfer between neighboring G bases proceeds via tunneling. By contrast, hopping over longer bridges requires thermal activation
- 3. These two mechanisms allow a hole to travel along the stack of Watson-Crick base pairs over long distances (several hundreds of angstroms)
- 4. The reorganization of the environment slows down hopping motion, so that a hole needs at least 0.1 μ s to cover this distance
- 5. Theoretical analysis shows that within the model of variable range hopping the rate of charge transfer and molecular conductance are interrelated. Using this relationship we predict that measurements of DNA conductance allow the detection of single mismatch. The change in conductance caused by mismatch depends on the position of "mutant" pair in the sequence and on the type of the mismatched base pair. Recently these theoretical findings were supported by experiments of N. Tao and co-workers (Arizona).
- 6. For hopping motion, the effect of DNA dynamics on the rate of hole transfer can be taken into account by averaging the square of the electron coupling matrix element over fast conformational changes





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Conformational Degrees of Freedom



Real structure (X-ray data for 400 base pairs): Rise= $3.2 \div 3.6$ Å, Shift= $-1.0 \div 1.6$ Å, Slide= $-2.4 \div 2.8$ Å Twist= $20 \div 41$ °, Tilt= $-7.8 \div 6.6$ °, Roll= $-8.6 \div 25$ °

Ideal structure: Rise=3.38 Å, twist=36°, all other parameters are zero



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Charge Carriers in Dynamic DNA



The charge density of HOMO is shown in green



Is Charge Transport Conformationally Dependent?

Time scale of hopping: $\tau_{h} \sim 10^{-8}$ s

Time scale of conformational motion: $\tau_{\rm m} \sim 10^{-11} - 10^{-10} \, {\rm s}$

T. E. Cheatham,III and P. A. Kollman, *Ann. Rev. Phys. Chem.* 51 (2000) 435

So, $\tau_{\rm h} >> \tau_{\rm m}$ and averaging over the spectral density function yields

$$k_{CT} = k_{CT}^{(0)} + k^{(1)} + k^{(2)} + \dots$$

$$k^{(0)} = \frac{\left\langle V^2 \right\rangle}{\hbar} \sqrt{\frac{\pi}{\lambda k_B T}} \exp\left(-\frac{\left(\lambda + \Delta E^0\right)^2}{4\lambda k_B T}\right) \qquad \text{Marcus equation with V}^2 \text{ replaced by } < V^2 >$$

$$k^{(2)} = k^{(0)} 2 \frac{\hbar^2}{\tau_c^2} \left[\frac{\left(\lambda + \Delta E^0\right)^2 - 2\lambda k_B T}{\left(4\lambda k_B T\right)^2}\right] \left(1 - \frac{\left\langle V \right\rangle^2}{\left\langle V^2 \right\rangle}\right) \qquad \text{Correction for fluctuations}$$



Is the Correction Important?

$$\frac{k^{(2)}}{k^{(0)}} = 2\frac{\hbar^2}{\tau_c^2} \left[\frac{\left(\lambda + \Delta E^0\right)^2 - 2\lambda k_B T}{\left(4\lambda k_B T\right)^2} \right] \left(1 - \frac{\left\langle V \right\rangle^2}{\left\langle V^2 \right\rangle}\right)$$





Problems for Further Investigations

- Effect of mismatches and binding events involving repair enzyme on charge transport (more experiments and calculations of energetics are needed)
- Electron migration in DNA (more experiments are needed)
- Localization of charge vs delocalization
 - Large polaron formation- Schuster, 1999; Conwell, 2000Small polaron formation- Beratan, 2002Localization of holes on individual G's Voityk, 2005
- Solvent response to charge motion
- Adiabatic vs. non-adiabatic mechanism of charge transfer
- DNA-nanopartical interfaces