#### Towards time-dependent density functional theory for near-field - electron dynamics



#### Roi Baer

Institute of Chemistry and the Fritz Haber Center for Molecular Dynamics, The Hebrew University of Jerusalem, Jerusalem, Israel

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#### **Outline**

- Short introduction to DFT, TDDFT and near-field
- Combining DFT with near-field setup: problems
- Relation to self repulsion
- Removing long range self repulsion
- Construction of a "well-tempered" functional, based on 2 parameters
- Studies of near field-electron dynamics and local surface plasmons (LSPs) in metal nanoparticles
- Games: nano-optics based on LSPs



#### **DFT in a nutshell**



- Explicit of Coulomb law
- Approximate account of electron exchange and correlation
- Determines density n(r) directly: the wavefunction is not computed
- Determines the electronic energy directly

#### Non-interacting with same density



W. Kohn and L. J. Sham, Phys. Rev 140, A1133 (1965).

**Time-dependent DFT** 

- Interacting elecs
- Explicit of Coulomb law
- Approximate account of electron exchange and correlation
- Can be compatible with ground state
- Determines density n(r,t) directly:
- The wave-function is not computed

#### Non-interacting with same density



E. Runge and E. K. U. Gross, Phys. Rev. Lett. 52, 997 (1984).

#### **Impact of DFT methods**

- DFT was embraced by the Chemistry community in mid 90's.
- It has since revolutionized all fields of chemistry
- Tremendously useful in biochemistry and materials science
- TDDFT is newer but is growing fast
- Leads to results comparable and often more reliable than wave-function methods
- Still, as I show both theories still need improvement
  - Dispersion interactions (van der Waals)
  - Polarizability and other static response properties
  - Incorrect charge distribution in weakly interacting systems,
  - Anions and their stability
  - Rydberg excitations

#### **Near-field on the nanoscale**



D. Courjon and C. Bainier, Rep. Prog. Phys. **57** (10), 989 (1994).

- EM fields with optical frequencies yet change on a 10 nm length scale
  - Spectroscopy, including nonlinear
  - Microscopy
- Plasmonics:
  - Waveguides: Transport of EM energy on nm scale

#### Near-field scanning optical microscopy



#### **EM energy transport on nm scale**

Local surface plasmon: collective excitation



#### Why TDDFT is a natural choice

• Maxwell's + Schrödinger equations

$$\varepsilon_0 \nabla \cdot \mathbf{E} = \rho \qquad \nabla \times \mathbf{E} = -\frac{1}{\partial t}$$
$$\nabla \cdot \mathbf{B} = 0 \qquad \mu_0^{-1} \nabla \times \mathbf{B} = \mathbf{j} + \frac{\partial \mathbf{E}}{\partial t}$$

 $\partial \mathbf{B}$ 

- Using potentials to describe the fields (Coulomb gauge):  $\mathbf{E} = -\dot{\mathbf{A}} - \nabla\phi \quad \mathbf{B} = \nabla \times \mathbf{A} \quad \nabla \cdot \mathbf{A} = 0$ Retarda
- We have equations of motion:

Retardation. Wave propagation

$$\begin{aligned} & \text{Instantaneous} \\ & \text{TD Poisson:} \\ & \text{TD POISSON:} \\ & \text{TDDFT/TDCDFT} \end{aligned} \\ \vec{\mathbf{y}}_{\perp} = \mathbf{j} - \varepsilon_0^{-1} \nabla \dot{\phi} \\ & i\dot{\Psi} = \left\{ \sum_{i=1}^{N} \left( \hat{\mathbf{p}}_i - \frac{q_i}{c} \mathbf{A} \left( \hat{\mathbf{r}}_i, t \right) \right)^2 + q_i \phi \left( \hat{\mathbf{r}}_i, t \right) \right\} \Psi \end{aligned}$$

#### **Dipole coupling theory**





#### **Spurious splitting**



These shifts should not be there!

## **Source of problem: DFT**

- Incorrect charge distribution
- The spheres share partial charges
- This makes the HOMO of the system highly nonlocal
- In TDDFT: too much sensitivity in one sphere concerning the other.

#### Weakly coupled systems have integer number of electrons

## LDA/GGA violate charge quantization





2 jellium balls r<sub>s</sub>=2.2 20 elecs each

In the case of the H and S+ spheres, the charge On each sphere was non-integer, although they were distant

#### Self repulsion

- An electron is repelled by itself
- The most severe is the self-interaction in the Hartree energy

DFT: the non-physical behavior impairs

- e-Density in weakly-coupled systems (charge quantization)
- Static response (polarizability, etc.)
- Prediction of stability of anions (especially atoms)

#### Will of course affect TDDFT!

- Charge transfer excitations (Dreuw + Head-Gordon)
- Rydberg states
- Spurious shifts resulting from unphysical ultra-nonlocality

## A DFT with correct long-range behavior

• The XC Energy is defined as:

 $E_{\scriptscriptstyle XC}\left[n\right] = T\left[n\right] - T_{\scriptscriptstyle s}\left[n\right] + U\left[n\right] - E_{\scriptscriptstyle H}\left[n\right]$ 

• Can write it, using adiabatic connection, as:

$$\begin{split} E_{XC}\left[n\right] &= \int_{0}^{\infty} \left\langle \Psi_{\gamma'} \left| \hat{W}_{\gamma'} \right| \Psi_{\gamma'} \right\rangle d\gamma' - E_{H}\left[n\right] \\ \hat{W}_{\gamma} &= \frac{1}{2} \sum_{i \neq j} w_{\gamma} \left(\hat{r}_{ij}\right); \qquad w_{\gamma}\left(r\right) = e^{-\gamma r} \end{split}$$

• Where:

$$\Psi_{\gamma} = \text{gs with } n(\mathbf{r}) \text{ under } u_{\gamma}(r) = \frac{1 - e^{-\gamma r}}{r}$$

J. Harris and R. O. Jones, J. Phys. F 4, 1170 (1974).

- D. C. Langreth and J. P. Perdew, Sol. Stat. Comm. 17, 1425 (1975).
- O. Gunnarsson and B. I. Lundqvist, Phys. Rev. B 13, 4274 (1976).
- W. Yang, J. Chem. Phys. 109, 10107 (1998).

#### **Evaluating the integral**

• An approximation:

$$\begin{split} \int_{0}^{\infty} \Bigl\langle \Psi_{\gamma'} \Bigl| \hat{W}_{\gamma'} \Bigl| \Psi_{\gamma'} \Bigr\rangle d\gamma' &= \int_{0}^{\gamma} \Bigl\langle \Psi_{\gamma'} \Bigl| \hat{W}_{\gamma'} \Bigl| \Psi_{\gamma'} \Bigr\rangle d\gamma' + \int_{\gamma}^{\infty} \Bigl\langle \Psi_{\gamma'} \Bigl| \hat{W}_{\gamma'} \Bigl| \Psi_{\gamma'} \Bigr\rangle d\gamma' \\ &\approx \int_{0}^{\gamma} \Bigl\langle \Psi_{0} \Bigl| \hat{W}_{\gamma'} \middle| \Psi_{0} \Bigr\rangle d\gamma' + \int_{\gamma}^{\infty} \Bigl\langle \Psi_{\infty} \Bigl| \hat{W}_{\gamma'} \middle| \Psi_{\infty} \Bigr\rangle d\gamma' \end{split}$$

• Leading to:

$$\begin{split} &\int_{0}^{\infty} \left\langle \Psi_{\gamma'} \left| \hat{W}_{\gamma'} \right| \Psi_{\gamma'} \right\rangle d\gamma' \approx \left\langle \Psi_{0} \left| \hat{U}_{\gamma} \right| \Psi_{0} \right\rangle + \left\langle \Psi_{\infty} \left| \hat{Y}_{\gamma} \right| \Psi_{\infty} \right\rangle \\ &\hat{U}_{\gamma} = \frac{1}{2} \sum_{i \neq j} u_{\gamma} \left( \hat{r}_{ij} \right) \quad \hat{Y}_{\gamma} = \frac{1}{2} \sum_{i \neq j} y_{\gamma} \left( \hat{r}_{ij} \right) \\ &u_{\gamma} \left( r \right) = \left( 1 - e^{-\gamma r} \right) / r \qquad y_{\gamma} \left( r \right) = e^{-\gamma r} / r \end{split}$$

#### The approximation is exact ?!

$$\begin{split} E_{XC}\left[n\right] &\approx \left\langle \Psi_{0} \left| \hat{U}_{\gamma} \left| \Psi_{0} \right\rangle + \left\langle \Psi_{\infty} \left| \hat{Y}_{\gamma} \left| \Psi_{\infty} \right\rangle - E_{H}\left[n\right] \right. \right. \right. \\ y_{\gamma}\left(r\right) &= \left. e^{-\gamma r} \right/ \! r \qquad u_{\gamma}\left(r\right) = \left(1 - e^{-\gamma r}\right) \! \left/ \! r \right. \end{split}$$

The error in the approximation is:  $\Delta E_{XC}^{\gamma} = \left[ T\left[n\right] + \left\langle \Psi_{\infty} \left| \hat{U}_{\gamma} \right| \Psi_{\infty} \right\rangle \right] - \left[ T_{s}\left[n\right] + \left\langle \Psi_{0} \left| \hat{U}_{\gamma} \right| \Psi_{0} \right\rangle \right]$ when  $\gamma = 0$   $\Delta E_{XC}^{\gamma=0} = T\left[n\right] - T_{s}\left[n\right] \ge 0$ when  $\gamma = \infty$   $\Delta E_{XC}^{\gamma=\infty} = E_{c}\left[n\right] \le 0$ 

Conclusion: There exists  $0 \le \gamma \le \infty$  for which the approximation is Exact

#### **Summary of theory**

- Turn off the Coulomb interaction from the inside out
- The following is the *exact* Kohn-Sham energy functional

 $E_{v,N}[n] = T_s[n] + E_{ext}[n] + E_H[n] + K_X^{\gamma}[n] + E_{XC}^{\gamma}[n]$ 

$$K_X^{\gamma}[n] = rac{1}{2} \int \left| P[n](\mathbf{r},\mathbf{r}') \right|^2 u_{\gamma} \left( \left| \mathbf{r} - \mathbf{r}' \right| \right) d^3r d^3r'$$

$$\begin{split} E_{XC}^{\gamma}\left[n\right] &= \left\langle \Psi_{\infty} \left| \hat{Y}_{\gamma} \left| \Psi_{\infty} \right\rangle - \frac{1}{2} \int \left[ n\left(\mathbf{r}\right) n\left(\mathbf{r}'\right) y_{\gamma} \left( \left| \mathbf{r} - \mathbf{r}' \right| \right) d^{3}r d^{3}r' \right. \\ &= \frac{1}{2} \int \left[ g\left(\mathbf{r}, \mathbf{r}'\right) - 1 \right] n\left(\mathbf{r}\right) n\left(\mathbf{r}'\right) y_{\gamma} \left( \left| \mathbf{r} - \mathbf{r}' \right| \right) d^{3}r d^{3}r' \\ &\frac{y_{\gamma}\left(r\right)}{r} = \frac{e^{-\gamma r}}{r} \quad \Psi_{\infty} = \text{exact wf} \end{split}$$

• Need approximations for  $\gamma[n]$ ,  $E^{\gamma}_{xc}[n]$ 

R. Baer and D. Neuhauser, Phys. Rev. Lett. 94, 043002 (2005).



Related prior work:

- Savin in Recent Advances in Density Functional Methods Part I, edited by D. P. Chong (World Scientific, Singapore, 1995), p. 129.
- Hirao et al J. Chem. Phys. 115 (8), 3540 (2001).

### γ=1 Approximation

- We do not know the n dependence of γ
- Let's take a constant  $\gamma[n] = \text{const} = 1a_0^{-1}$

$$\begin{split} E_{XC}^{\gamma}\left[n\right] &= \left\langle \Psi_{\infty} \left| \hat{Y}_{\gamma} \left| \Psi_{\infty} \right\rangle - \frac{1}{2} \int n\left(\mathbf{r}\right) n\left(\mathbf{r}'\right) y_{\gamma} \left( \left| \mathbf{r} - \mathbf{r}' \right| \right) d^{3}r d^{3}r' \right. \\ &\approx \int \varepsilon_{XC}^{\gamma} \left( n\left(\mathbf{r}\right) \right) n\left(\mathbf{r}\right) d^{3}r \end{split}$$

$$\varepsilon_{XC}^{\gamma} = \varepsilon_X^{\gamma} + \varepsilon_C^{\gamma}$$
  
 $\varepsilon_X^{\gamma} = known analytical Yukawa XE per particle for HEG
 $\varepsilon_C^{\gamma} = \eta \varepsilon_{LDA}^{HEG} = \text{Remaining CE per particle for HEG}$$ 



Caveat: Not exact for HEG!

#### The value of $\eta$ for HEG



#### **Correct asymptotic potential**

The asymptotic effective potential of neutral system should be  $\rightarrow$  -1/r



## Charge transfer under bias: quantization





$$\phi_c \approx IP - EA - \frac{1}{R - d}$$
$$R = 28a_0 \qquad d = 12a_0$$

∆SCF (eV)			HOMO (eV)		
IP	EA	$\Phi_{\rm c}$	IP	EA	$\Phi_{\rm c}$
5.6	0.5	3.5	6.2	0.8	3.7

R. Baer E. Livshits and D. Neuhauser, Chem. Phys. 329, 266 (2006)

#### **Chemical Bond, Atomic EA**

Atom (X)	$\begin{array}{c} \Delta E \ (X_2) \\ kcal/mol \end{array}$		R <sub>e</sub> (X <sub>2</sub> ) Angstrom	ω <sub>e</sub> (X <sub>2</sub> ) cm <sup>-1</sup>	EA (X) eV
С	Exp. γ=1 LSDA	145 132 169	1.242 1.27 1.25	1855 1810 1770	1.26 1.33
N	Exp. γ=1 LSDA	225 223 256	1.098 1.06 1.089	2358 2540 2520	Unstable Unstable
0	Exp. γ=1 LSDA	118 122 167	1.208 1.14 1.20	1580 1640 1590	1.46 1.50
F	exp. γ=1 LSDA	37 <mark>35</mark> 75	1.412 1.330 1.390	916 1200 1000	3.40 3.73

R. Baer and D. Neuhauser, Phys. Rev. Lett. 94, 043002 (2005).

#### **Polarizability**



## **Enforce compatibility with HEG**

- The exact HEG parameter: γ<sub>HEG</sub>(r<sub>s</sub>) η(γ<sub>HEG</sub>(r<sub>s</sub>), r<sub>s</sub>)=1
- Can use for molecule
- Get a theory which
  - has correct long rangeCompatible with HEG
- Caveats:
  - o Can be absurd
  - o Or: has small effect



## 2 parameter Semiempirical functional

$$E_{XC}[n] = \mathbf{w}\varepsilon_X^{\gamma}(n) + K^{\gamma}[n] + E_c^{LYP}$$

Fit to atomization energy and bond lengths [G2(1) database of 55 molecules, using QCHEM<sup>®</sup>. cc-pVTZ basis.] Obtaining:  $\gamma$ =0.5 and w=0.9

Atomization energy	BNL-LYP	B3LYP
Average Error (kcal)	(-16) +2.1 (+15)	(-9) +2.7 (16)
RMS Error (kcal)	5.8	4.6
Average Relative Error	-1.2%	-1.5%
RMS Average Relative Error	6.8%	4.9%
Bond lengths	BNL-LYP	B3LYP
Bond lengths Average Error (A)	<b>BNL-LYP</b> (-0.07) +0.01 (+0.07)	<b>B3LYP</b> (-0.02) +0.01 (0.05)
Bond lengths Average Error (A) RMS Error (A)	BNL-LYP (-0.07) +0.01 (+0.07) +0.02	<b>B3LYP</b> (-0.02) +0.01 (0.05) +0.01
Bond lengths Average Error (A) RMS Error (A) Average Relative Error	BNL-LYP (-0.07) +0.01 (+0.07) +0.02 +1.1%	<b>B3LYP</b> (-0.02) +0.01 (0.05) +0.01 0.7%

#### **IP's and EA's**

IP	Experiment	BNL-LYP		B3LYP	
		by ∆SCF	by HOMO	by ∆SCF	by HOMO
BeH	8.2	8.3	8.2	8.4	16.8
СН	10.6	10.8	10.8	10.9	6.9
NH	13.5	13.4	13.2	13.5	9.0
ОН	13.0	16.0	12.8	16.0	8.5
CN	13.6	15.6	14.3	15.0	10.4

EA Experiment		BN	IL-LYP	B3LYP		
		by ∆SCF	by HOMO	by ∆SCF	by HOMO	
BeH	0.7	0.1	0.4	0.2	unbound	
СН	1.2	0.7	1.1	0.7	unbound	
NH	0.4	-0.6	0.4	-0.6	unbound	
OH	1.8	0.6	0.9	0.6	unbound	
CN	3.9	3.9	4.4	3.6	0.4	

## Vertical excitations with new functional





Oscillator strengths						
	C <sub>2</sub> H <sub>4</sub>	TDHF	<b>B3LYP</b>	BNL-LYP	Ехр	
	${}^{1}B_{1u}$	39.9	26.14	36.71	29	
3_	<sup>1</sup> B <sub>3u</sub>	8.56	5.75	7.61	4	
÷0	r each			•		
fur	nc N <sub>2</sub>	TDHF	<b>B3LYP</b>	BNL-LYP	Ехр	
	${}^{1}\Pi_{u}$	0.0121	0.0729	0.1409	0.243	
	$^{1}\Sigma_{u}$	0.6283	0.031	0.2865	0.279	
S	S. Hirata and M. Head-Gordon, Chem. Phys. Lett. 314, 291 (1999).					

#### **Balanced functional**

- New functional is balanced
- Can do atomization energies and structures very similar to B3LYP (somewhat less well)
- Better than B3LYP in:
  - Charge quantization
  - Consistency of IP's
  - EA for small systems
  - Static Polarizability
  - Vertical excitations
  - Rydberg excitations

# Back to near-field: Spurious splitting: fixed



R. Baer and D. Neuhauser, J. Chem. Phys. 125, 074709 (2006).



R. Baer and D. Neuhauser, J. Chem. Phys. 125, 074709 (2006).

#### **Charge transfer dynamics**



R. Baer and D. Neuhauser, J. Chem. Phys. 125, 074709 (2006).

#### **EM Energy transport**

- 2 small silver clusters (diam ~1nm) at small distances (~3 nm)
- We excite left cluster and watch <u>EM energy</u> in left and right clusters
- EM energy oscillates, period equal to coupling. T=50fs
- In this case C=0.1eV
- In experiment large dots and C=0.3eV





M. L. Brongersma, J. W. Hartman, and H. A. Atwater, Phys. Rev. B 62, 16356 (2000). R. Baer and D. Neuhauser work in progress (2006).

#### "Optical" devices on molecular scale

- Linear arrays of dipole-coupled centers
- We assume long coherence time
  - Presently not a justified assumption
  - There are encouraging results (Ag)
  - Synthetic challenge: find suitable materials
- Meanwhile, we play with this theoretically: what to expect from such coherent systems?

#### **Polariton model: Hamiltonian**

- Weak excitation
- In noble metal nano-particles, local surface plasmon-polariton
- N-site system, dipole interaction



$$\begin{split} N \text{ sites} &\to 3N \quad \text{Hilbert space} \\ \psi_i &= \begin{pmatrix} \psi_{i,x} \\ \psi_{i,y} \\ \psi_{i,z} \end{pmatrix} \quad H_{i\alpha,j_\beta} = E\left(\xi_\alpha, \xi_\beta; R_{ij}\right) \end{split}$$

Dynamics - Schrödinger Waves:  $i\dot{\psi}(t) = H\psi(t)$ 

> U. Fano, Phys. Rev 103, 1202 (1956). J. J. Hopfield, Phys. Rev 112, 1555 (1958).

#### Dispersion relation and group velocity



- Energy bands: L waves  $[-4.4 \rightarrow 3.6]$  T waves  $[-1.8 \rightarrow 2.2]$
- Longitudinal waves move in direction of k
- Transverse waves move in opposite direction to k "negative refractive index..."
- For low k, the larger k the large velocity. Subsequently opposite behavior.
- At  $k=0.46\pi$  the two waves have same energy and group velocity light-like (indep. of k)

S. Maier, P. G. Kik, H. A. Atwater, S. Meltzer, A. A. G. Requicha, and B. E. Koel, Proc. SPIE 4810 (2002). R. Baer and D. Neuhauser, J. Chem Phys. In press (2006).

#### **Step barriers**



#### **Step barriers**



#### **Interference and total reflection**

 Using interference one can have total reflection also at E=0



#### **Nano-optics in 2D lattices**



#### **midSummary**

• After fixing the self repulsion problem we:

 Studied the dipole coupled spectrum of a model "tip-system"

 We saw strong sensitivity to distance when the distance is small - due in part to charge transfer and in part to dipole coupling

 Fantasized about coherent molecular sized optical devices based on dipole coupling

### **Electron dephasing**

- Metals and metallic nanodots are lossy...
- Fast dephasing not well described in usual TDDFT
- Must address "memory effects"
- Must obey known exact constraints
  - Translational invariance (TDDFT)
  - -- Rotational invariance (TDCDFT)
  - Get for free: also net XC force/Torque is zero
- Based on linear response kernel for the homogeneous electron gas (Gross-Kohn param.)

Vignale, Phys. Rev. Lett. 74, 3233 (1995).E. K. U. Gross and W. Kohn, Phys. Rev. Lett. 55 (26), 2850 (1985).Y. Kurzweil and R. Baer, Phys. Rev. B 73 (7), 075413 (2006).

#### **Practical memory**

- We follow an idea of Vignale, to obtain TI functional and we add an important ingredient:
- The XC force should be zero (otherwise Ehrenfest's theorem is not obeyed)

$$-\int n\left(\mathbf{r},t\right)\nabla v_{XC}\left(\mathbf{r},t\right)d^{3}r=0$$

### Memory KS-equation from an action

• The TDDFT dynamical equations are:

$$\begin{split} i\dot{\psi}_{n}\left(\mathbf{R},t\right) &= \left[-\frac{1}{2}\nabla^{2} + v_{ext}\left(\mathbf{R},t\right) + v_{H}\left(\mathbf{R},t\right) + v_{adiab}\left(\mathbf{R},t\right) + v_{mem}\left(\mathbf{R},t\right)\right]\psi_{n}\left(\mathbf{R},t\right)\\ v_{mem}\left[n\right]\left(\mathbf{R},t\right) &= V_{mem}\left[N\right]\left(\mathbf{R}-\mathbf{D}(t)\right) + \mathbf{E}_{mem}\left(t\right)\cdot\left(\mathbf{R}-\mathbf{D}(t)\right)M'\left[n\left(\mathbf{R},t\right)\right]\\ V_{mem}\left[N\right]\left(\mathbf{r},t\right) &= \int_{0}^{t}F'_{mem}\left(N\left(\mathbf{r},t\right),t-t'\right)\dot{N}\left(\mathbf{r},t'\right)dt' \qquad \mathbf{Center}\\ N\left(\mathbf{r},t\right) &= n\left(\mathbf{r}+\mathbf{D}(t),t\right) = n\left(\mathbf{R}\left(t\right),t\right) \qquad \mathbf{Of\ mass}\\ \mathbf{E}_{mem}\left(t\right) &= \frac{1}{Q\left(t\right)}\int V_{mem}\left(\mathbf{r},t\right)\nabla n\left(\mathbf{r}+\mathbf{D}(t),t\right)d^{3}r \\ \mathbf{D}\left[n\right]\left(t\right) &= \frac{1}{Q\left(t\right)}\int \mathbf{R}M\left[n\left(\mathbf{R},t\right)\right]d^{3}R \qquad Q(t) = \int M\left[n\left(\mathbf{R},t\right)\right]d^{3}R \end{split}$$

#### Dephasing: dipole oscillations Au<sub>18</sub> or Ag<sub>18</sub>



Estimated Decay time:  $T_2 \sim 30$  fs. Too large. Experiments indicate  $T_2 < 10$  fs

J. Lehmann, M. Merschdorf, W. Pfeiffer, A. Thon, S. Voll, and G. Gerber, Phys. Rev. Lett. 85, 2921 (2000). Link and El-Sayed, Ann. Rev. Phys. Chem. 54, 331 (2003)..

#### Atomic core effects on dipole decay



## Damping of coherence following plasmon excitation



#### Summary

- The functional allows incorporation of memory
- Meanwhile, we use HEG memory.
- Could be problematic for finite systems
- We have a method to remove "self memory" (otherwise even the H atom has memory...)
- Found that memory effects can be seen in nonlinear regime, such as pump-probe.
- Found that the atomic potential has a strong effect on the plasmon decay time.