



Electrical generation and absorption of phonons in carbon nanotubes

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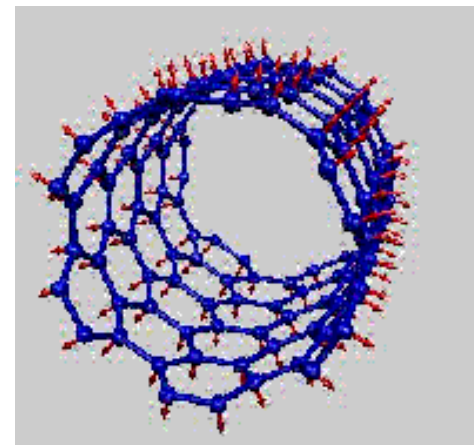
Nature **432**, 371 (2004)

Presented by Janina Zimmermann
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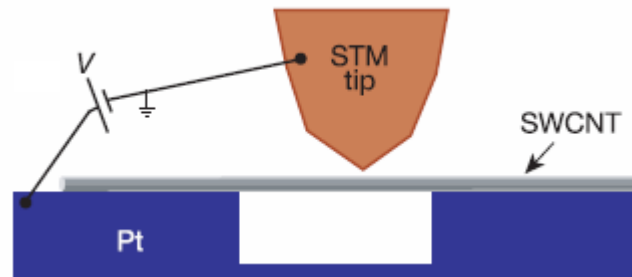
Motivation

- Current injected into a single-wall carbon nanotube can populate phonon modes
- **Methods:** low-temperature STM on suspended SWCNT
 - ⇒ Electrons tunneling inelastically from tip to CNT
 - ⇒ Stimulated emission and absorption of phonons
- **Perspectives:**
possible to excite, detect and control a specific vibrational mode

Radial breathing mode

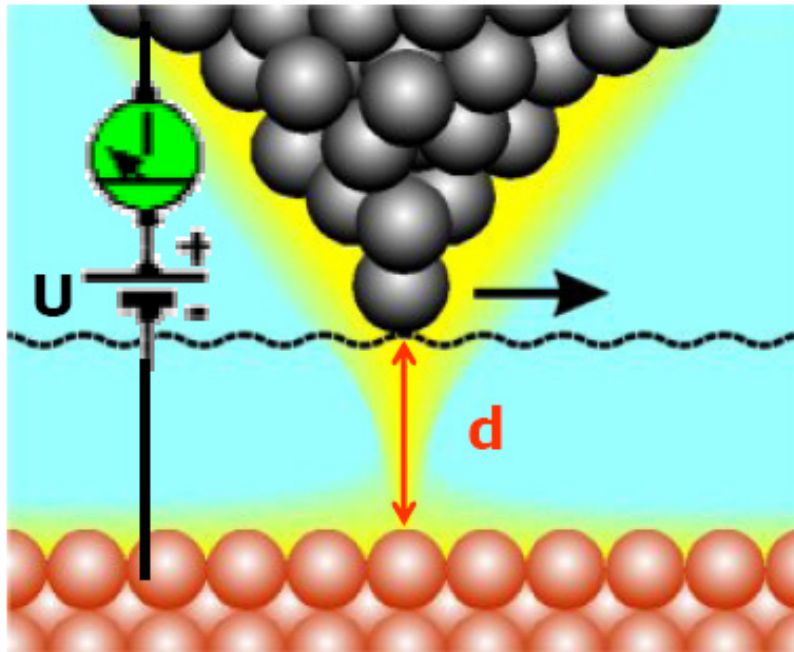


Experimental set-up



- **Substrate:**
 - 100 nm wide trench, lithographically fabricated in SiO_2
 - Pt deposited over the entire sample (conducting substrate for STM)
 - Deposition of square areas of Fe:Mo catalyst
- **SWCNT:** grown from catalyst by chemical vapour deposition
- **STM tip:** mechanically cut from Pt-Ir wire

Scanning tunneling microscopy



- Voltage V applied between Pt substrate and tip

⇒ Two-point measurement of current I

- Constant current mode:

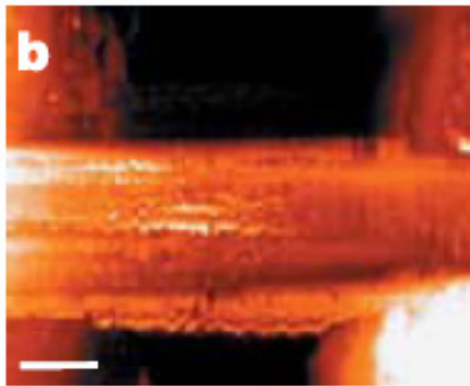
at each position:

First: adjust the tip-height d in order to obtain the set point current I_{set}

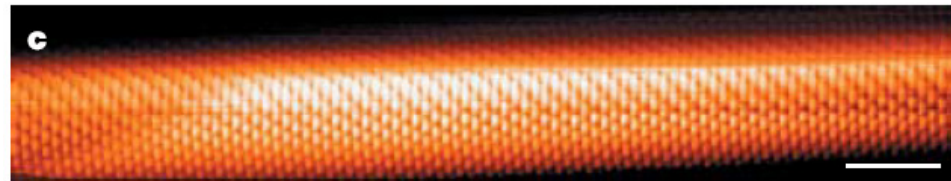
Then: scan over the voltage range keeping d fixed

STM images

- All measurements at 5K in a ultrahigh-vacuum STM

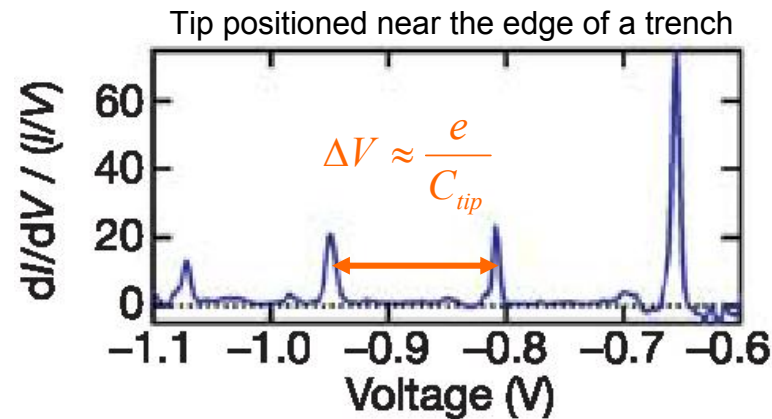


STM image of a nanotube
suspended across a trench



High-resolution image of the suspended
portion of the SWCNT showing atomic
resolution

Coulomb staircase



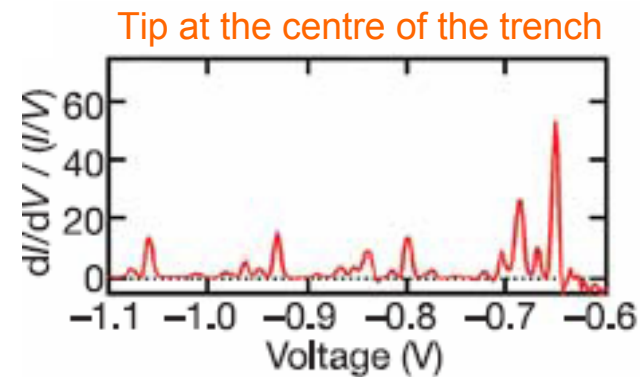
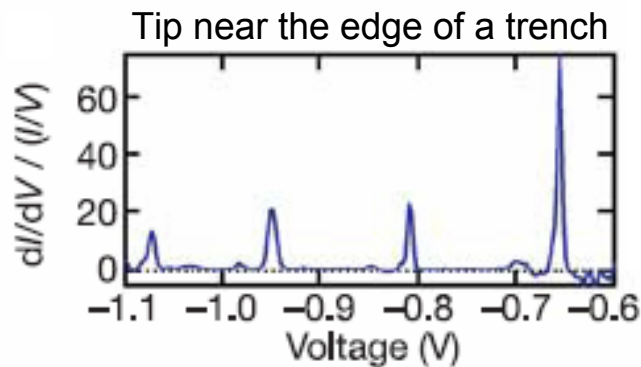
■ Coulomb staircase:

characterized by the resistances and capacitances of the two tunnel barriers (tip-SWCNT and SWCNT-substrate)

⇒ energy necessary to add an electron to the SWCNT

⇒ spacing between the peaks $\Delta V \approx \frac{e}{C_{tip}}$

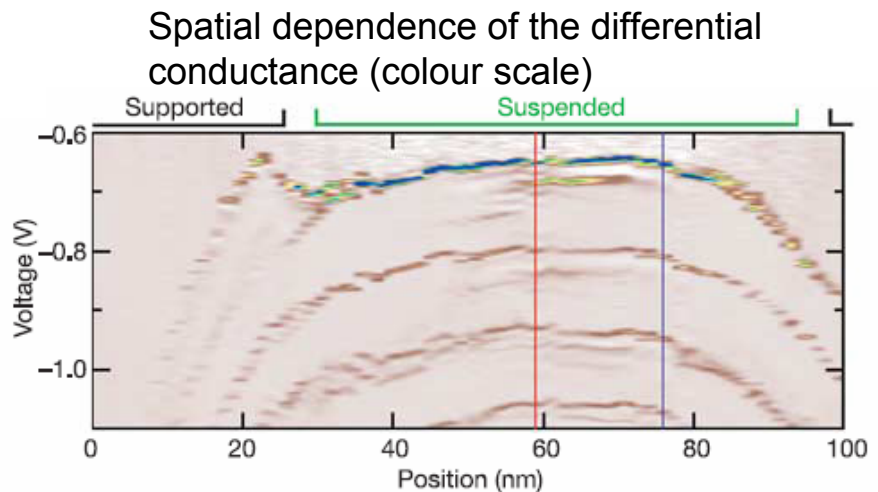
Spatially resolved spectroscopy



■ At the centre of the trench:

- New side peaks appear
⇒ new tunneling-channels
- Four main peaks shift in energy
⇒ changing C_{tip}

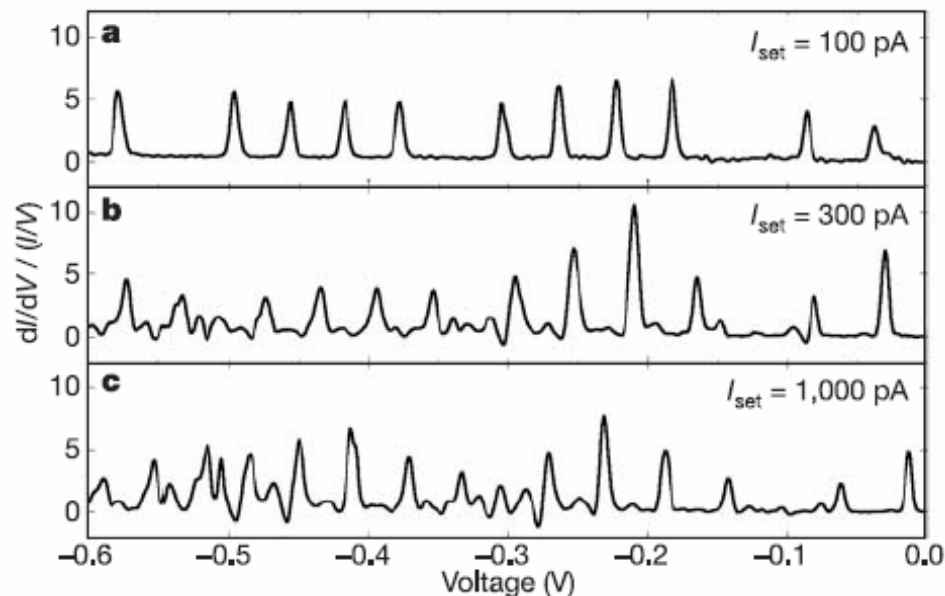
B.J. LeRoy *et al.*, Appl.Phys.Lett. **84**, 4280(2004)



Current dependence of phonon assisted tunneling

- Now: tip is located at the centre of the suspended SWCNT

Set point current I_{set} taken at -0.6 V



Effect of increasing the current:
a series of side peaks appear

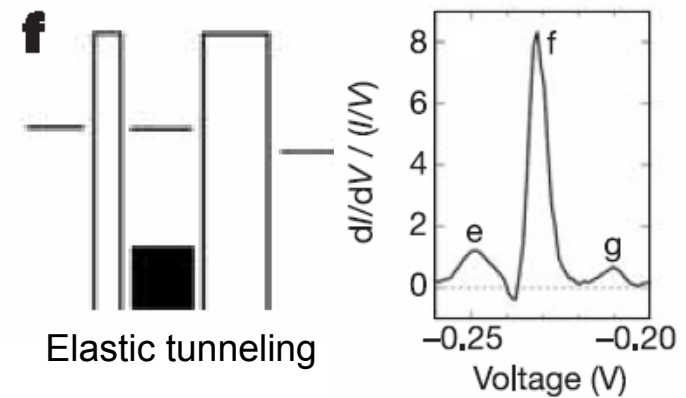
⇒ Phonon assisted tunneling

Phonon assisted tunneling

■ Elastic tunneling:

- A level in the SWCNT is aligned with the leads

⇒ main Coulomb peaks

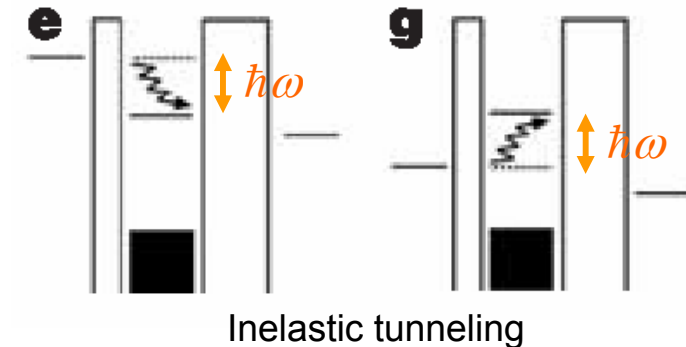


■ Inelastic tunneling:

- Electrons tunneling onto the SWCNT emitting/absorbing a phonon

⇒ decrease/increase their energy by $\hbar\omega$

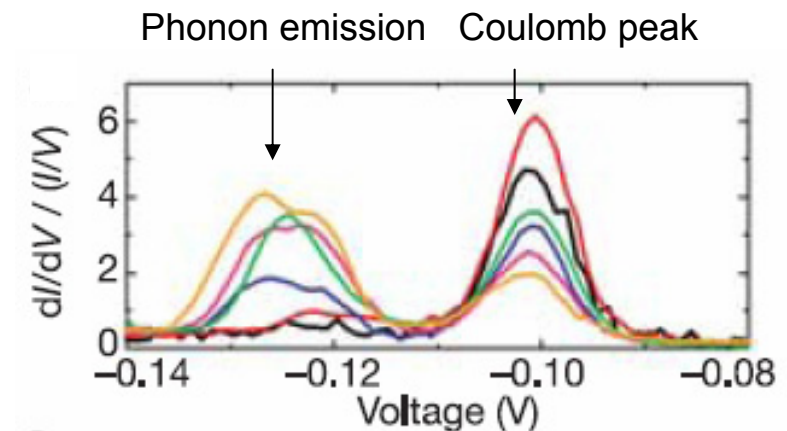
⇒ side peaks



Current dependence

■ What happens increasing the current?

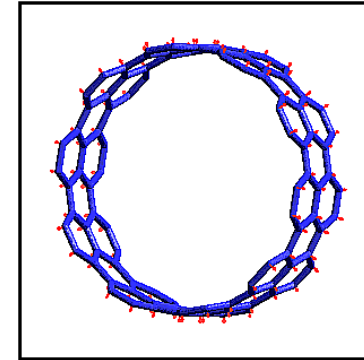
- Strength of the main Coulomb peaks decreases
- Number and strength of the side peaks increases



- Probability of emitting a phonon controlled by the rate of electrons passing the SWCNT
- Possible to control the population of phonons excited in the SWCNT

Radial breathing mode

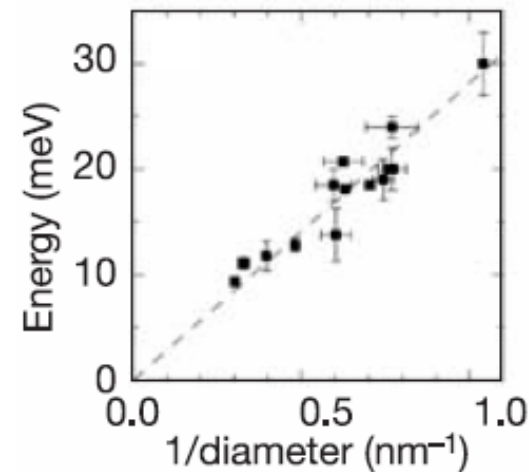
- How to identify the side peaks as the RBM phonon?



⇒ Demonstrate the theoretically predicted relation of tube diameter and RBM energy

$$E_{RBM} = \frac{A}{d_t}$$

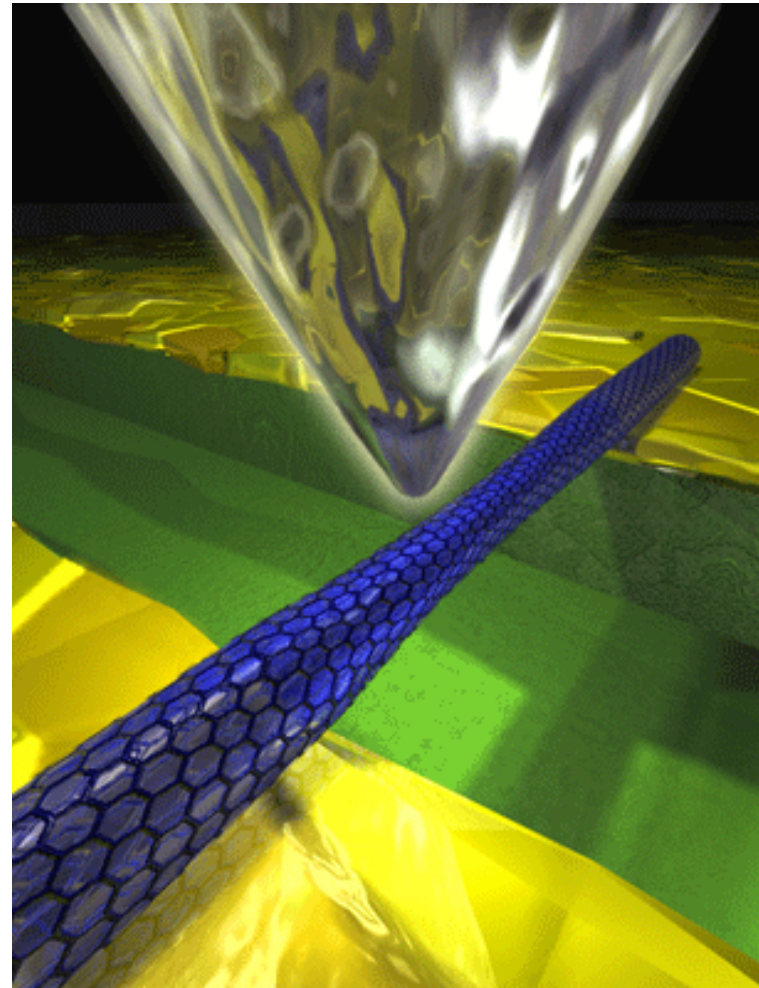
with $A=27.8$ [meV nm]



- Phonons that are preferentially excited:

- Circumferentially symmetric
- Low- k phonons
(No acoustic phonons, because of their low energy!)

⇒ RBM is the dominant excited phonon mode





Conclusion

- Suspended part of the SWCNT shows a Coulomb staircase with additional side peaks
- Electrons can tunnel inelastically into the SWCNT
→ absorption and emission of phonons
- Multi-phonon excitations possible at high current levels
- RBM is the dominant excited phonon mode