

# Vibrational nonequilibrium in transport through single molecules

**Jens Koch**  
**Felix von Oppen**



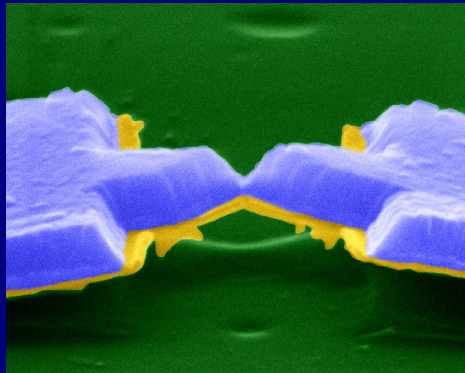
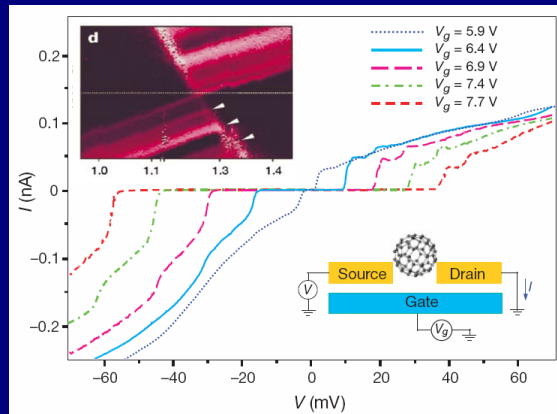
**Freie Universität Berlin**

# Experiments: Examples

## Nanomechanical oscillations in a single-C<sub>60</sub> transistor

Hongkun Park<sup>\*,‡,§</sup>, Jiwoong Park<sup>†</sup>, Andrew K. L. Lim<sup>\*</sup>, Erik H. Anderson<sup>‡</sup>, A. Paul Alivisatos<sup>\*,‡</sup> & Paul L. McEuen<sup>†,‡</sup>

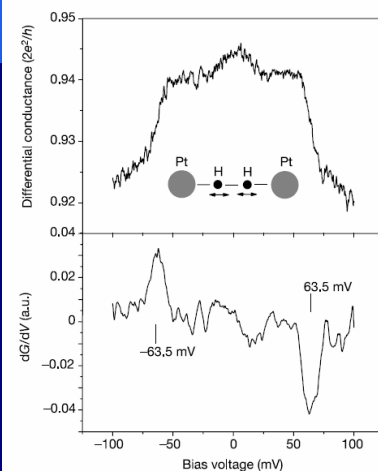
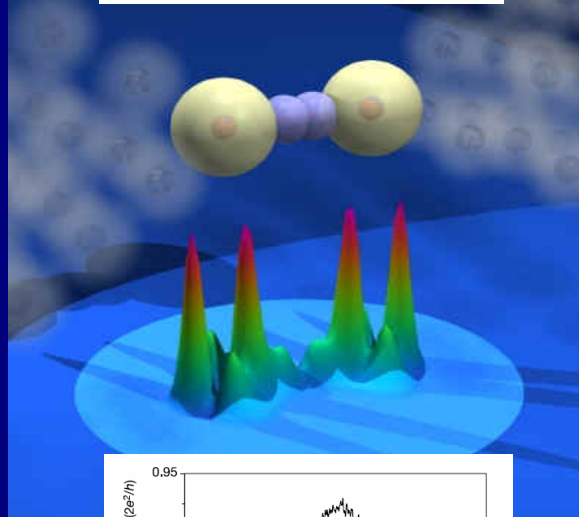
NATURE | VOL 407 | 7 SEPTEMBER 2000



## Measurement of the conductance of a hydrogen molecule

R. H. M. Smit<sup>\*</sup>, Y. Noat<sup>†,‡</sup>, C. Untiedt<sup>\*</sup>, N. D. Lang<sup>‡</sup>, M. C. van Hemert<sup>§</sup> & J. M. van Ruitenbeek<sup>\*</sup>

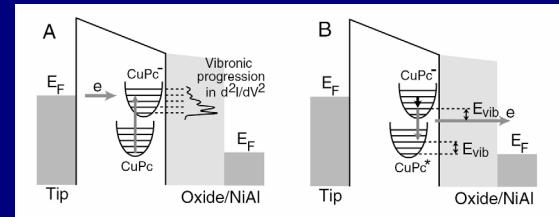
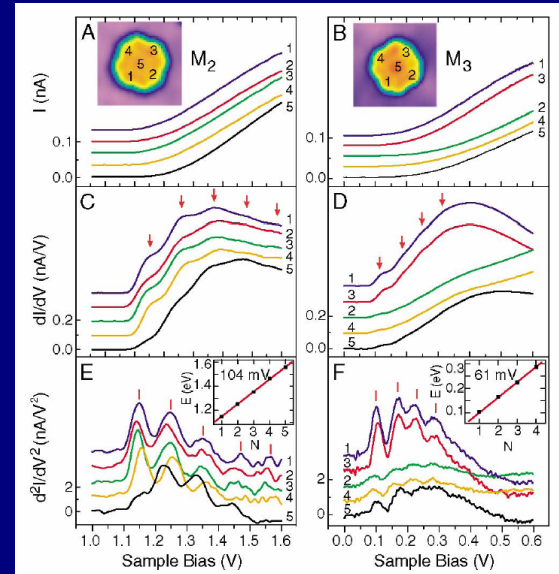
NATURE | VOL 419 | 31 OCTOBER 2002



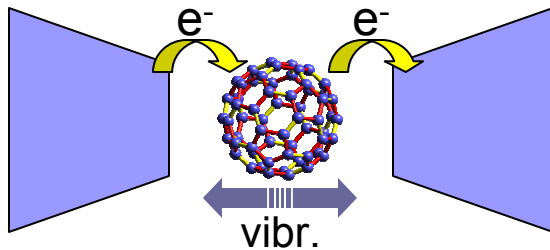
## Vibronic States in Single Molecule Electron Transport

X. H. Qiu, G. V. Nazin, and W. Ho<sup>\*</sup>

Phys. Rev. Lett. **92** (2004) 201602



# Vibrational nonequilibrium



Rate of electron transfer:

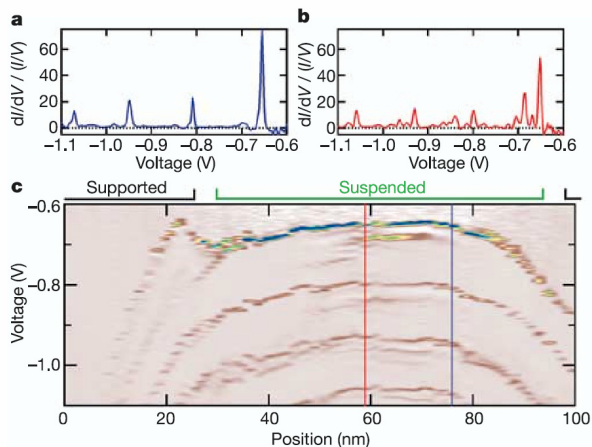
$I/e$

$$I = \begin{cases} 1\text{nA} & \longrightarrow 10 \text{ electrons/ns} \\ 1\mu\text{A} & \longrightarrow 10 \text{ electrons/ps} \end{cases}$$

## Electrical generation and absorption of phonons in carbon nanotubes

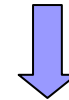
B. J. LeRoy, S. G. Lemay, J. Kong & C. Dekker

NATURE | VOL 432 | 18 NOVEMBER 2004



## Experiments:

- Vibrational relaxation time:  $\tau$  as large as 10ns



interesting regime discussed in this talk:

Molecular vibrations do not relax to ground state between subsequent tunneling electrons

$$\frac{I}{e} \gg \frac{1}{\tau}$$

extreme case: quantum shuttles

A. Donarini and A.-P. Jauho *et al.*, e.g. cond-mat/0411190 (2004)



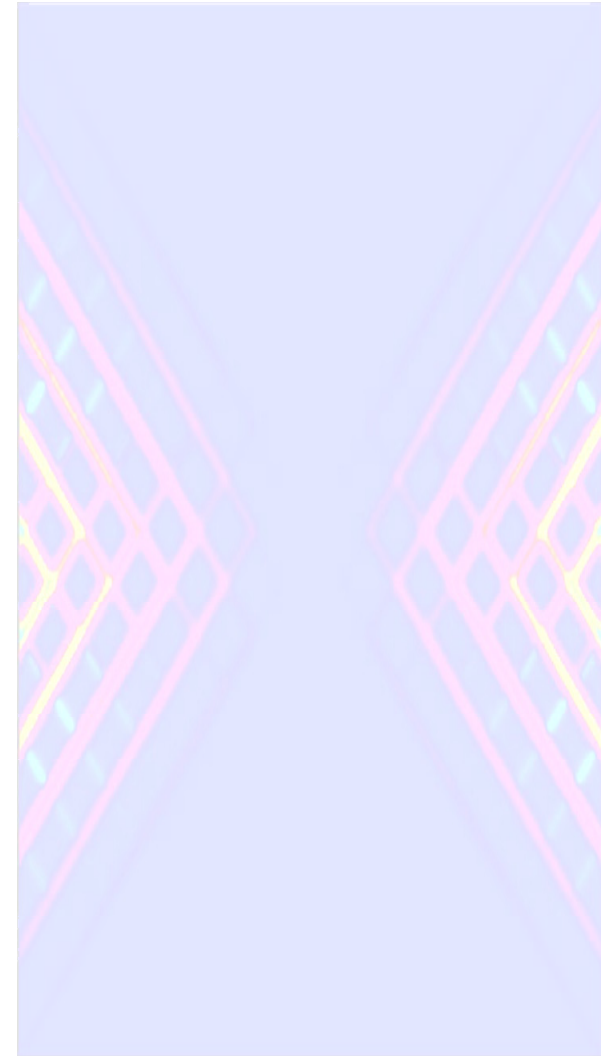
# Outline

## ■ Introduction

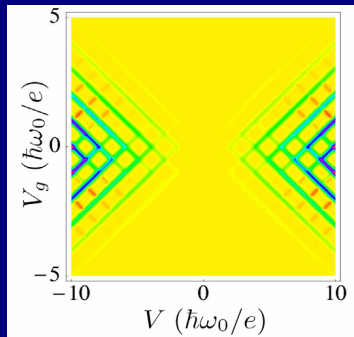
- Transport through single molecules
- Model

## ■ Our results

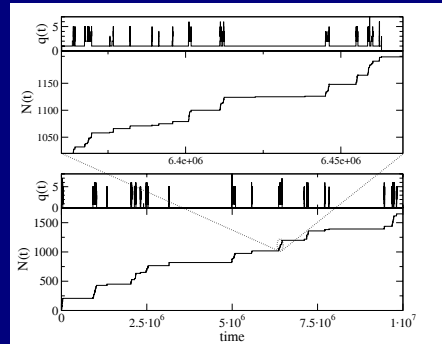
- Strong electron-phonon coupling



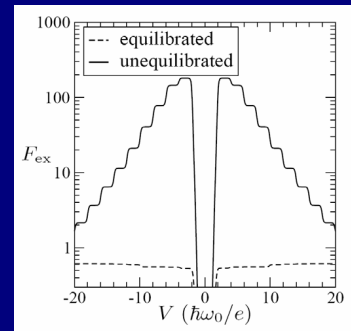
# ■ Strong electron-phonon interaction



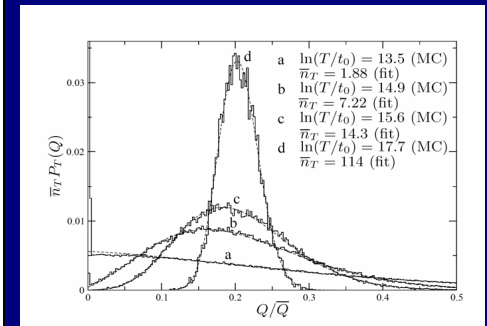
*Franck-Condon blockade*



*self-similar avalanche  
transport*



*giant  
current noise*



*analytical  
full counting statistics*

with Felix von Oppen  
cond-mat/0409667  
(accepted for publication in Phys. Rev. Lett.)

with Misha Raikh  
& Felix von Oppen  
cond-mat/0501065



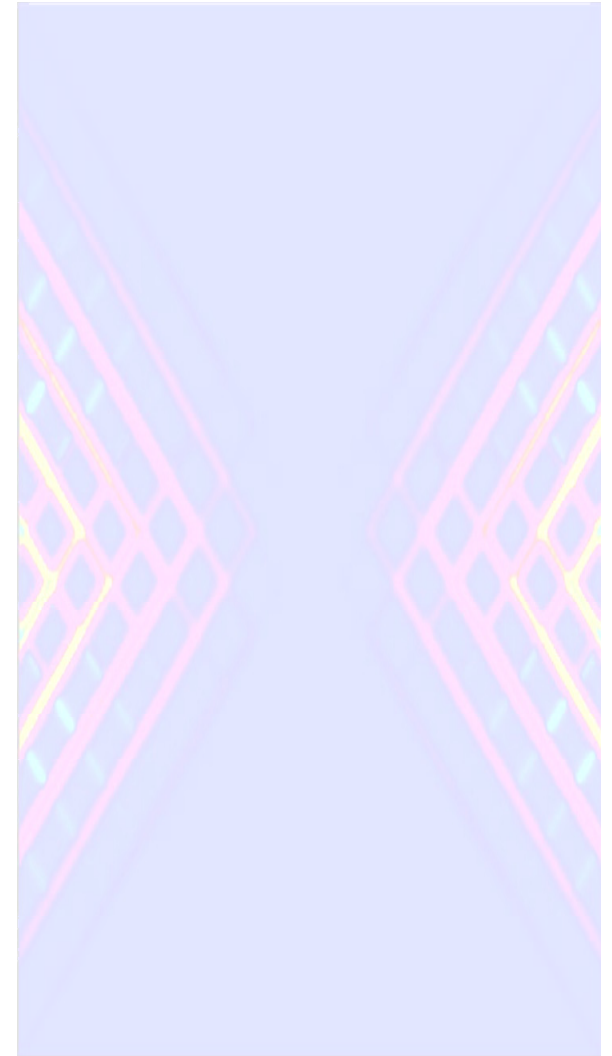
# Outline

## ■ Introduction

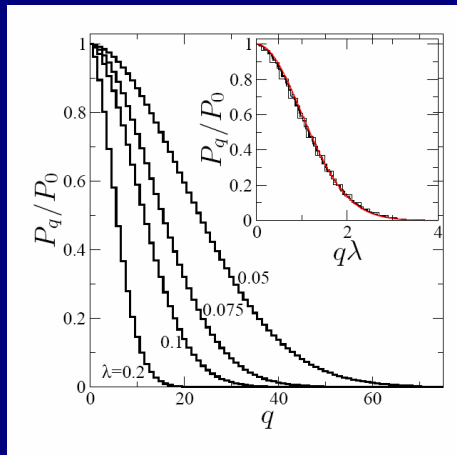
- Transport through single molecules
- Model

## ■ Our results

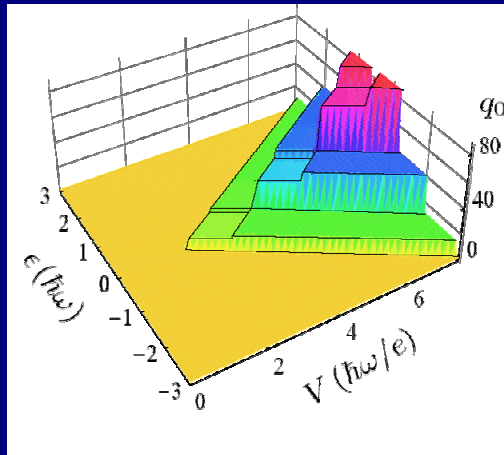
- Strong electron-phonon coupling
- Weak electron-phonon coupling



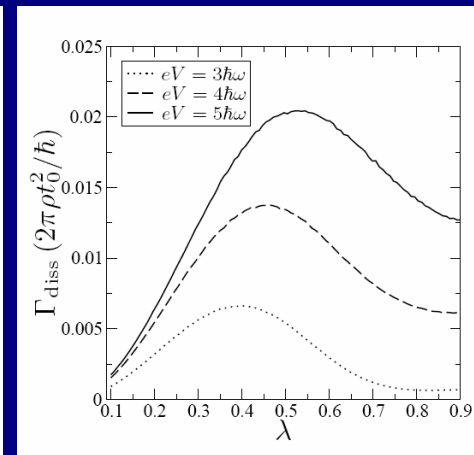
## ■ Weak electron-phonon interaction



*broad phonon distributions*



*scaling behavior*



*dissociation rates*

with Matthias Semmelhack, Felix von Oppen & Abraham Nitzan

cond-mat/0504095



# Outline

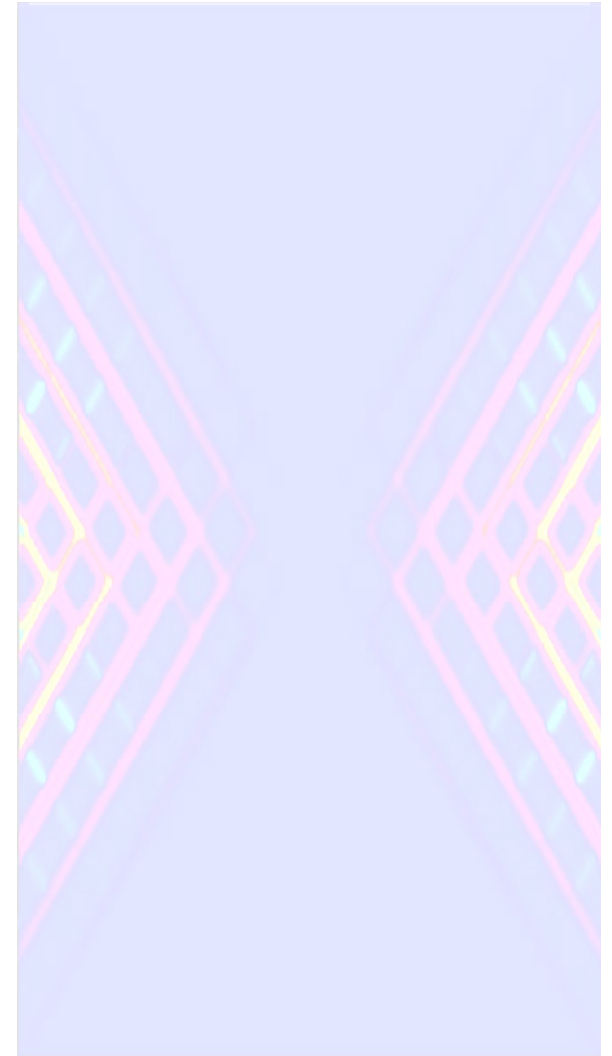
## ■ Introduction

- Transport through single molecules
- Model

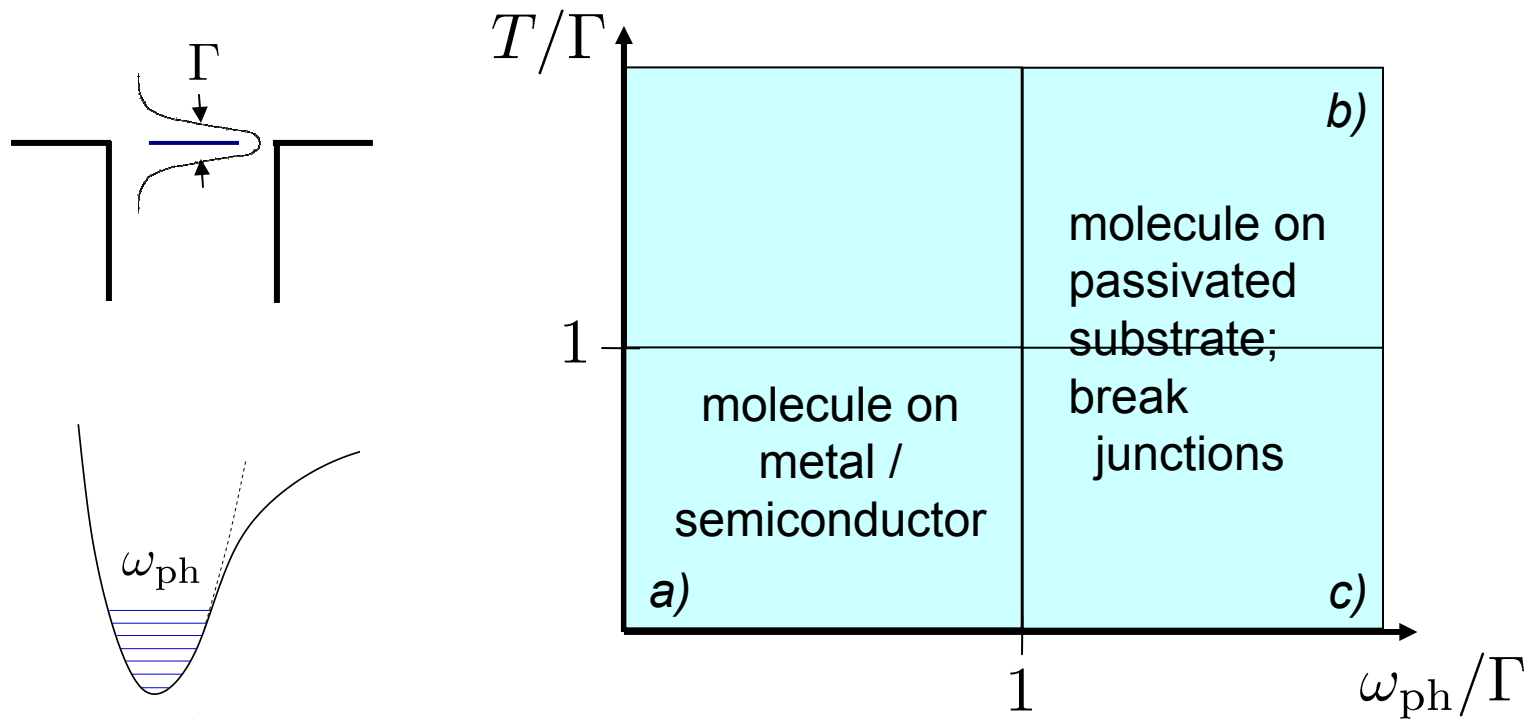
## ■ Our results

- Strong electron-phonon coupling
- Weak electron-phonon coupling
- Thermopower of a single molecule

J. Koch, F. v. Oppen, Y. Oreg, and Eran Sela,  
Phys. Rev. B **70** (2004), 195107



# Transport regimes



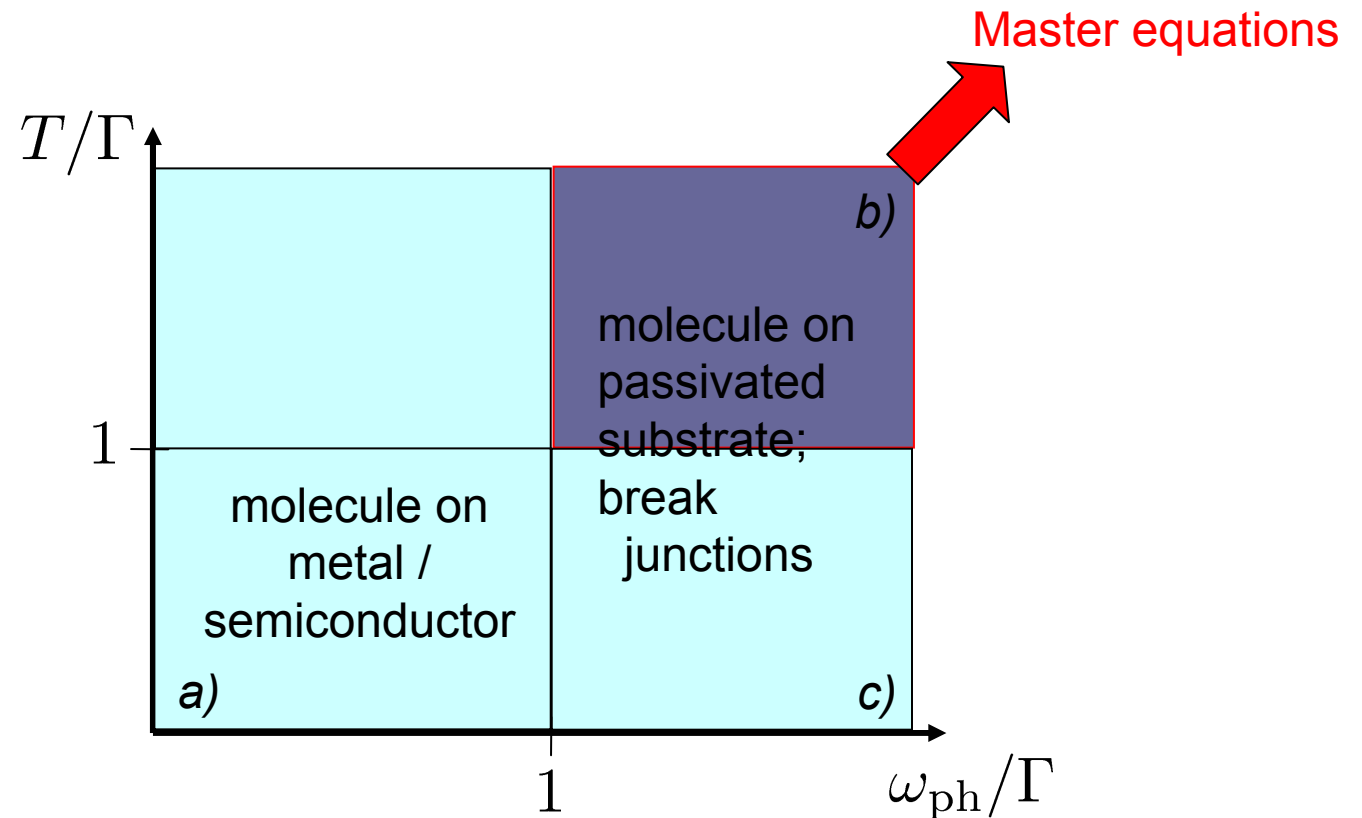
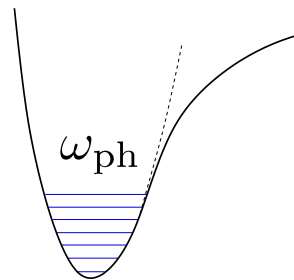
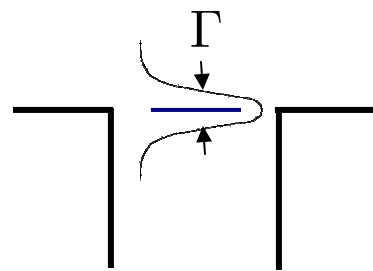
e.g.

a) Mitra et al., Phys. Rev. B **69** (2004) 245302 – Keldysh approach

b) Mitra et al., *ibid.*; Braig & Flensberg, Phys. Rev. B **68** (2003) 205324

c) Flensberg, Phys. Rev. B **68** (2003) 205323

# Transport regimes



e.g.

a) Mitra et al., Phys. Rev. B **69** (2004) 245302 – Keldysh approach

b) Mitra et al., *ibid.*; Braig & Flensberg, Phys. Rev. B **68** (2003) 205324

c) Flensberg, Phys. Rev. B **68** (2003) 205323

# Model including electron-phonon coupling

(in Born-Oppenheimer approximation)

Hamiltonian:

$$H = H_{\text{mol}} + H_{\text{leads}} + H_{\text{mix}}$$

where

$$H_{\text{mol}} = (\varepsilon - eV_g)n_d + \frac{U}{2}n_d(n_d - 1) + \lambda\hbar\omega_{\text{vib}}(b^\dagger + b)n_d + \hbar\omega_{\text{vib}}(b^\dagger b + 1/2)$$

$$H_{\text{leads}} = \sum_{a=L,R} \sum_{\mathbf{p},\sigma} \epsilon_{\mathbf{p}} c_{a\mathbf{p}\sigma}^\dagger c_{a\mathbf{p}\sigma} ,$$

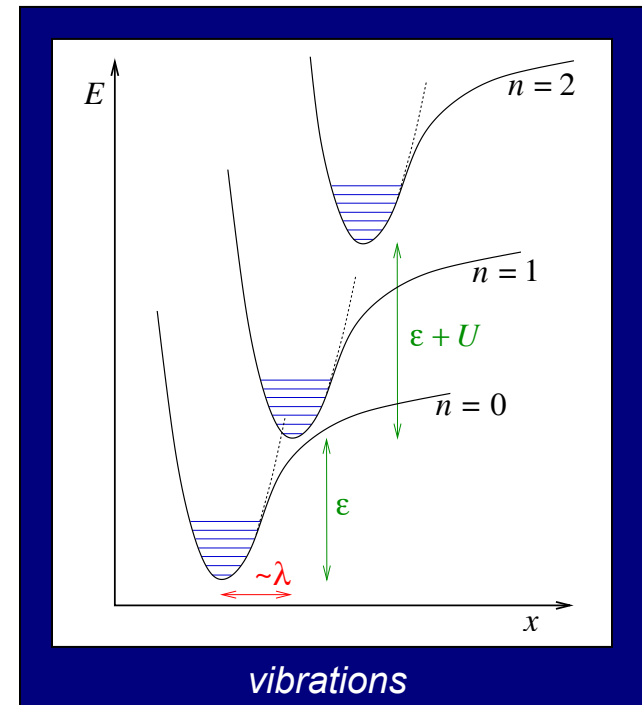
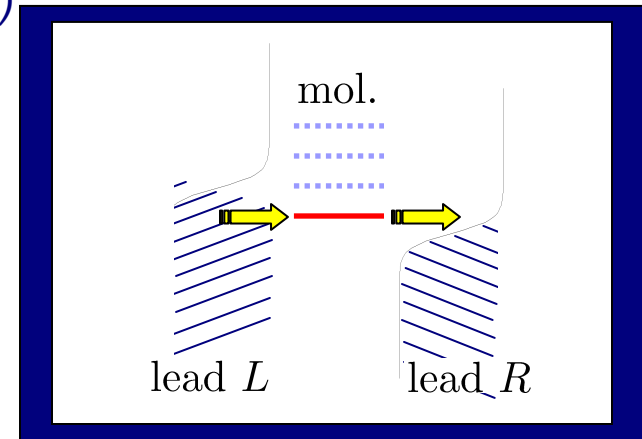
$$H_{\text{mix}} = \sum_{a=L,R} \sum_{\mathbf{p},\sigma} (t_a c_{a\mathbf{p}\sigma}^\dagger d_\sigma + \text{h.c.}) .$$

Compare:

Works on phonon effects in resonant tunneling

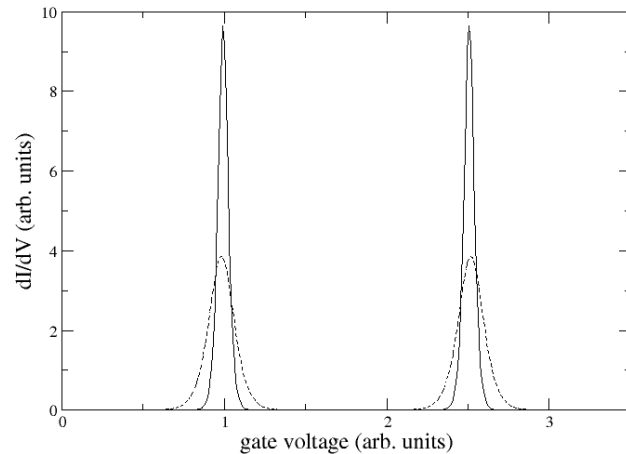
Glazman, Shekhter: Sov. Phys. JETP **67** (1988) 163

Wingreen, Jacobsen, Wilkins: PRL **61** (1988) 1396



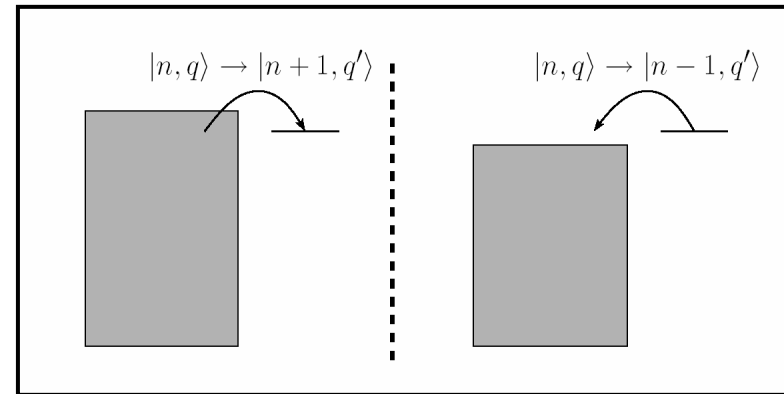
# Basic processes

- Perturbation theory in molecule-lead coupling



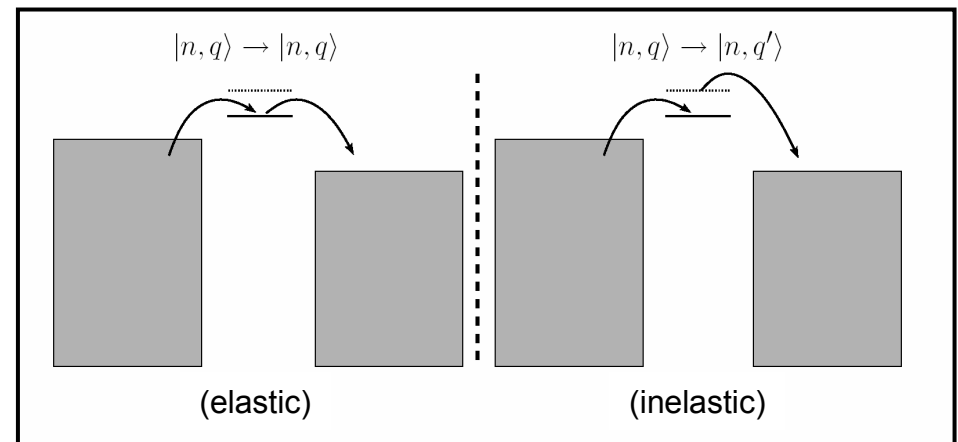
*Lowest order*

**Sequential tunneling:**



*Next-leading order*

**Cotunneling:**



## ■ Eliminate electron-vibron coupling

➤ Canonical transformation:  $H' = e^{iS} H e^{-iS^\dagger}$  with  $S = i\lambda(b - b^\dagger)n_d$

Renormalizations:  $\varepsilon' = \varepsilon - \lambda^2 \hbar \omega_0$ ,  $U' = U - 2\lambda^2 \hbar \omega_0$

$$t'_a = e^{-\lambda(b^\dagger - b)} t_a$$

## ■ Diagonalize transformed Hamiltonian (w/o tunneling)

➤ Eigenstates  $|n, q\rangle$  characterized by electron and phonon number

## ■ Rate equations

$$\frac{dP_q^n}{dt} = \sum_{n', q'} \left[ P_{q'}^{n'} W_{q' \rightarrow q}^{n' \rightarrow n} - P_q^n W_{q \rightarrow q'}^{n \rightarrow n'} \right] - \underbrace{\frac{1}{\tau} \left[ P_q^n - P_q^{\text{eq}} \sum_{q'} P_{q'}^n \right]}_{\text{phonon relaxation}}$$

## ■ Current

$$I = \sum_{n, q, q'} P_q^n \left[ W_{q \rightarrow q'; L}^{n \rightarrow (n+1)} - W_{q \rightarrow q'; L}^{n \rightarrow (n-1)} \right]$$

phonon relaxation

# Transition rates

## ■ Total transition rates

- Sequential tunneling (e.g.  $|n, q\rangle \rightarrow |n-1, q'\rangle$  )

$$W_{q \rightarrow q'}^{n \rightarrow (n-1)} = \sum_{a=L,R} \left[ 1 - f_a \left( E_q^n - E_{q'}^{(n-1)} \right) \right] \Gamma_{q \rightarrow q'; a}^{n \rightarrow (n-1)}.$$



occupation probabilities in the leads

## ■ Fermi's golden-rule

$$\begin{aligned} \Gamma_{q \rightarrow q'; a}^{n \rightarrow (n-1)} &= s^{n \rightarrow (n-1)} \frac{2\pi}{\hbar} \rho |t_0|^2 |\langle n-1, q' | H_{\text{mix}, a} | n, q \rangle|^2 \\ &= s^{n \rightarrow (n-1)} \frac{2\pi}{\hbar} \rho |t_0|^2 |M_{q \rightarrow q'; a}|^2 \end{aligned}$$



electronic contributions

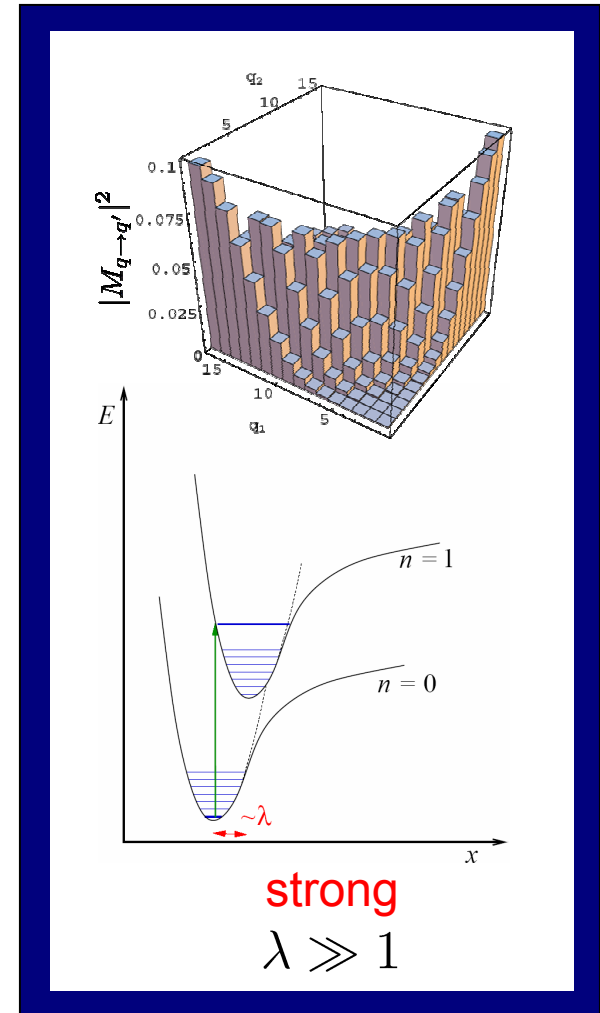
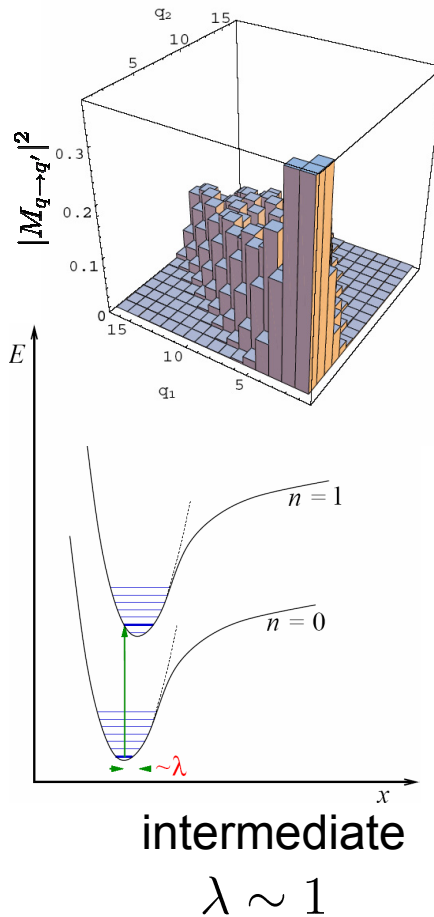
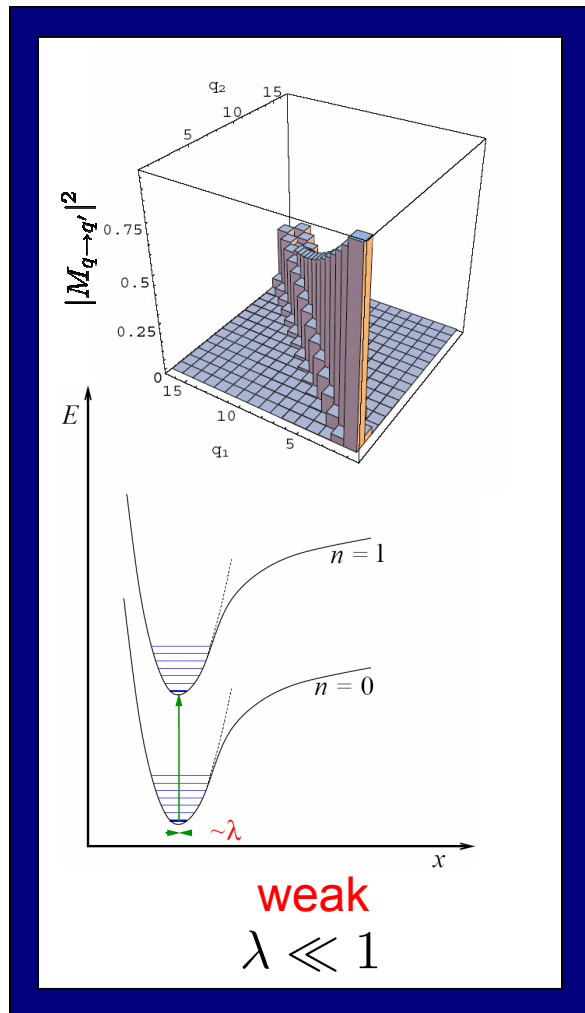


phononic contributions:  
Franck-Condon matrix elements

# Franck-Condon matrix elements

$$M_{q \rightarrow q'} = \langle q' | e^{-\lambda(b^\dagger - b)} | q \rangle = \int_{-\infty}^{\infty} dx \phi_{q'}^*(x) \phi_q(x - \sqrt{2}\lambda\ell_{\text{osc}})$$

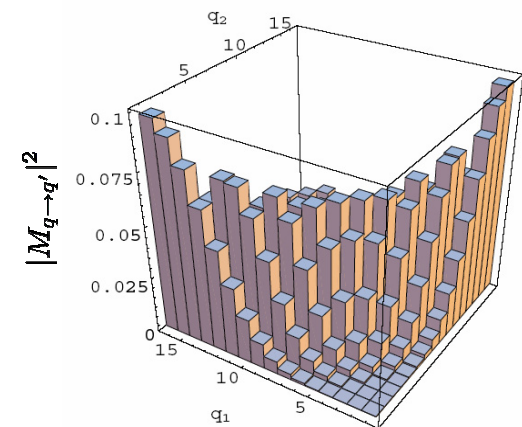
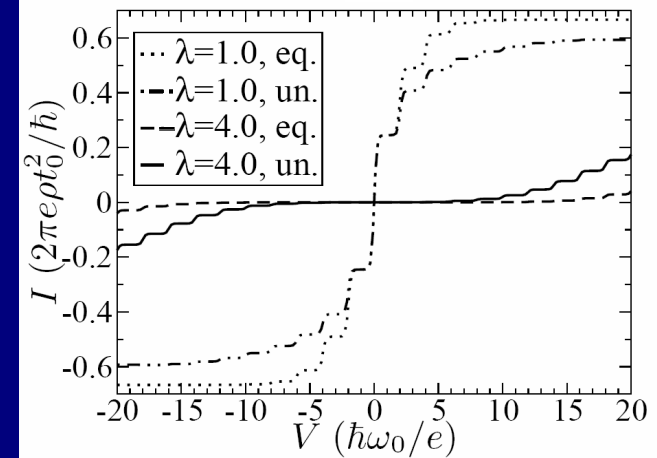
harmonic oscillator wavefunctions



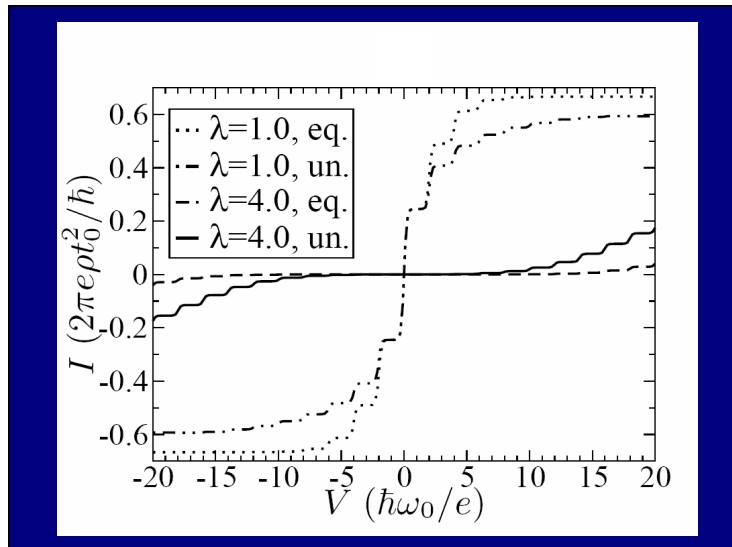
# Strong electron-phonon coupling

## ■ Franck-Condon Blockade

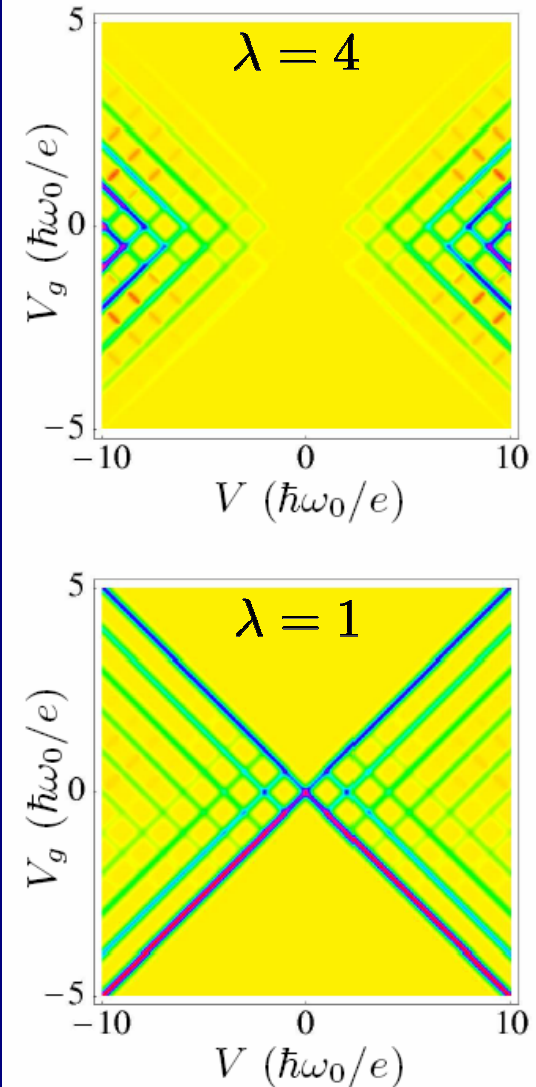
- Significant low-bias current suppression for strong coupling  
– *Franck-Condon Blockade* –
- Blockaded bias range:  
$$eV \sim \lambda^2 \hbar \omega_0$$
- Reason: Exponentially suppressed Franck-Condon matrix elements
- Suppression is less strict for unequilibrated phonons



# Franck-Condon blockade: Experimental fingerprints



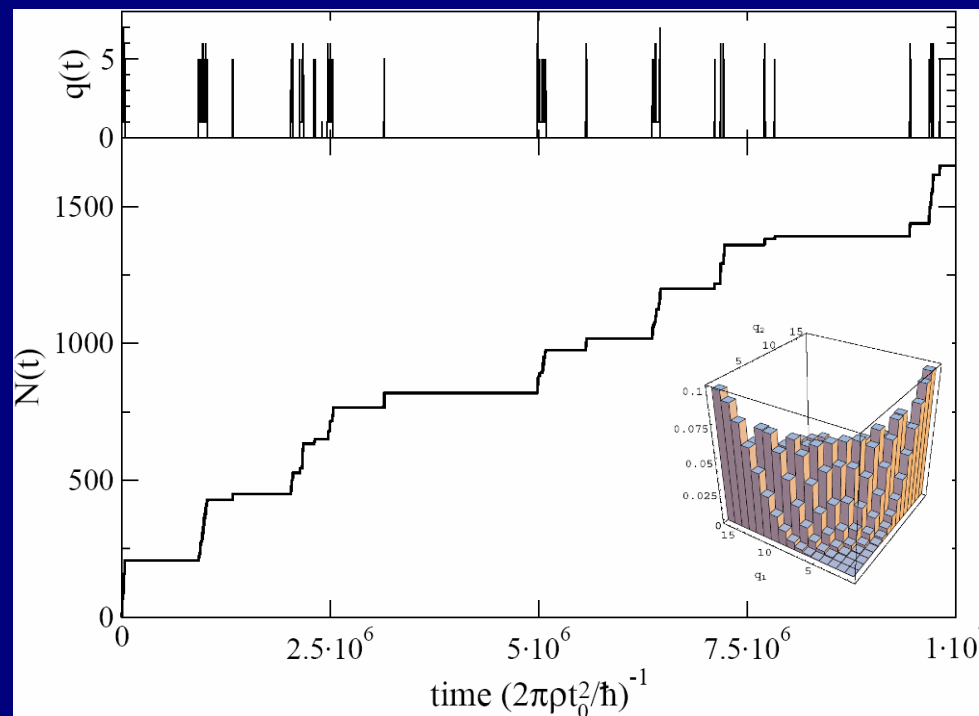
- Step height succession in IV
  - Increasing step heights in FCB regime
- FCB cannot be lifted by gate voltage
  - Extended blockaded region in  $dI/dV$  plots



# Monte Carlo simulations

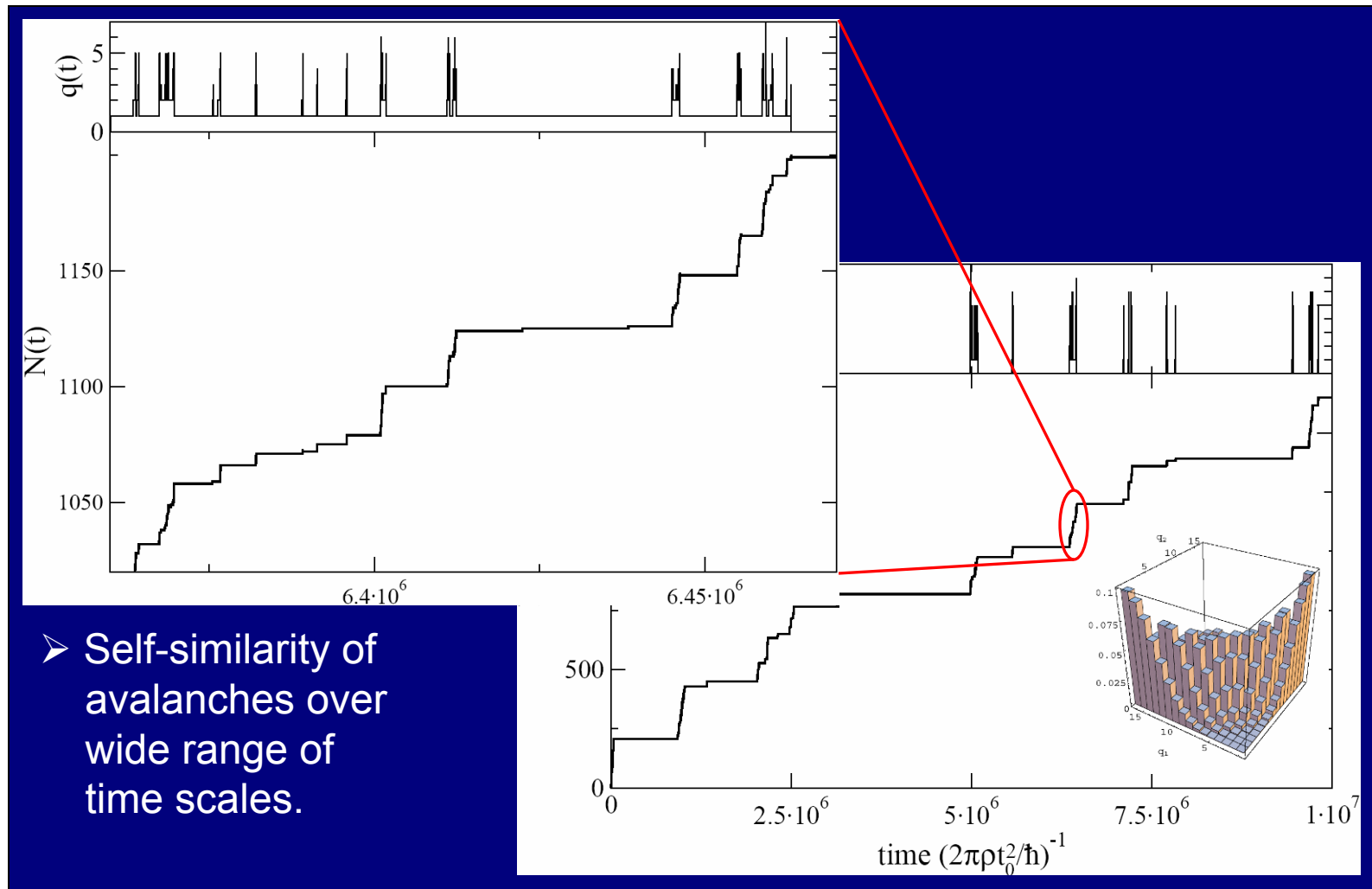
- Simulate tunneling events in time: **Strong e-ph coupling, nonequilibrium**

- Avalanche-like electron transport



# Monte Carlo simulations

- Simulate tunneling events in time: **Strong e-ph coupling, nonequilibrium**



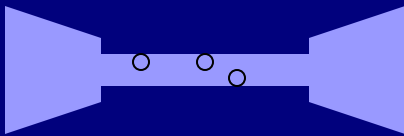
# Shot noise

- Current noise:  $S(\omega) = 2 \int_{-\infty}^{\infty} dt e^{i\omega t} \langle \delta I(t + t') \delta I(t') \rangle_{t'}$
- Schottky (1918):  $S = 2e|I|$
- Fano factor:  $S(\omega = 0) = 2e|I| \cdot F$
- Noise contains information about
  - Charge of carriers
  - Type of conduction process

## Noise in nanostructures: Examples

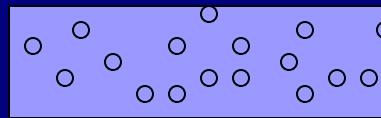
*Single-channel wire*

$$S = 2e|I|(1 - T)$$



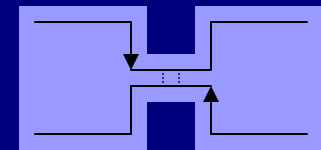
*Diffusive many-channel wire*

$$S = 2/3e|I|$$



*FQHE  $\nu = 1/3$*

$$S = 2/3e|I_{bs}|$$



# Noise in transport through single molecules

- Current noise:

$$S(\omega) = 2 \int_{-\infty}^{\infty} dt e^{i\omega t} \langle \delta I(t + t') \delta I(t') \rangle_{t'}$$

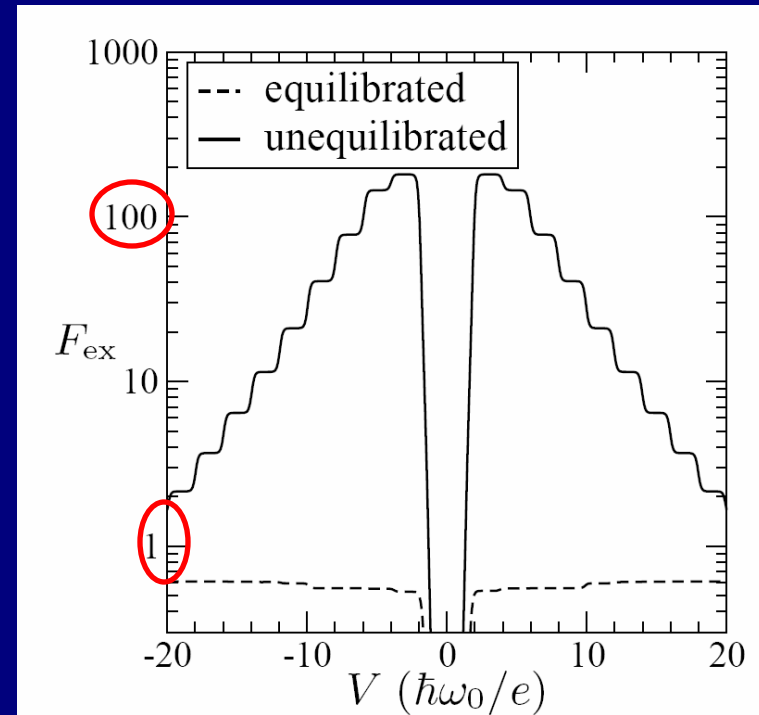
- Fano factor:  $S(\omega = 0) = 2e|I| \cdot F$

Computation: Use rate equations combined with Langevin approach

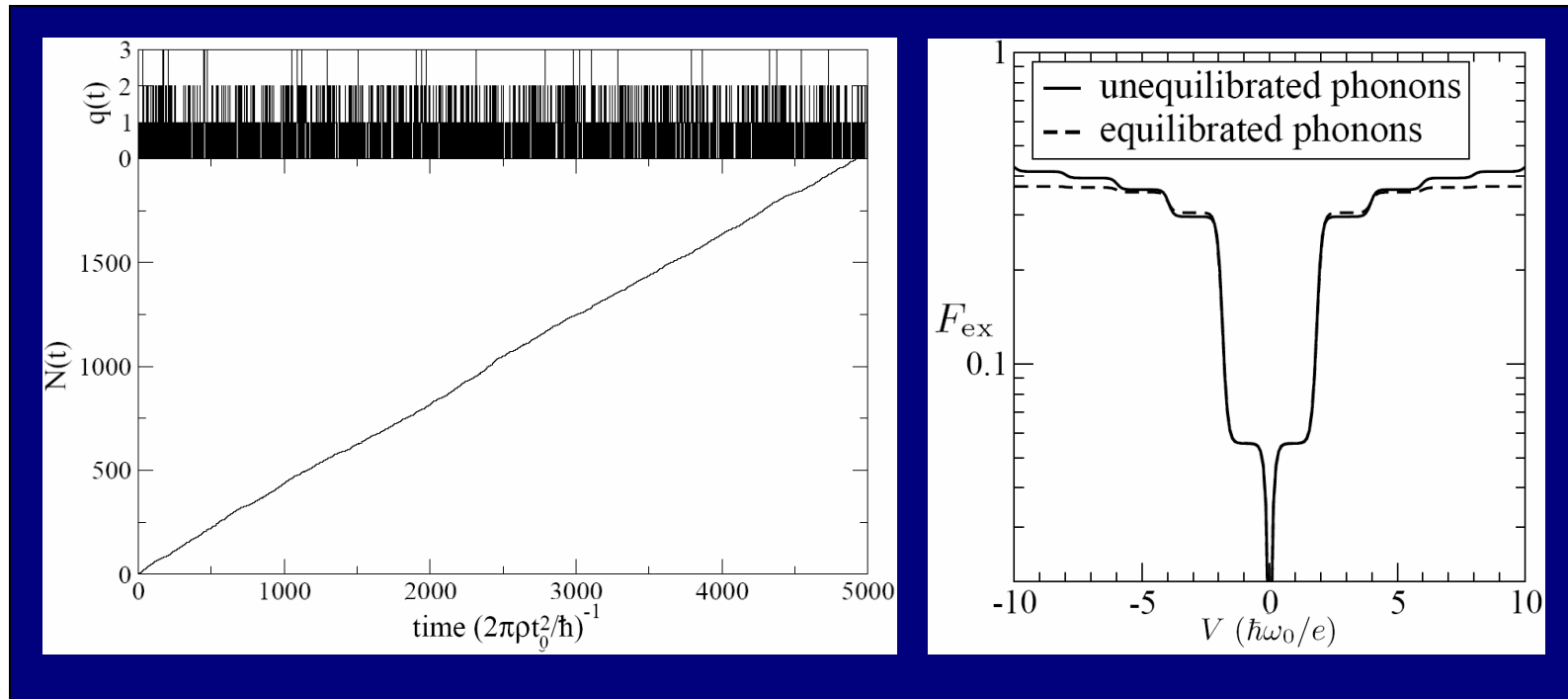
[Korotkov, Phys. Rev. B **49** (1994) 10381]

➤ Strong electron-phonon coupling and weak vibrational relaxation:

**giant Fano factors  $F=10^2..10^3$**



# Comparison: Intermediate electron-phonon coupling



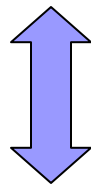
➤ sub-Poissonian noise

➤ no avalanches

# Noise power spectrum

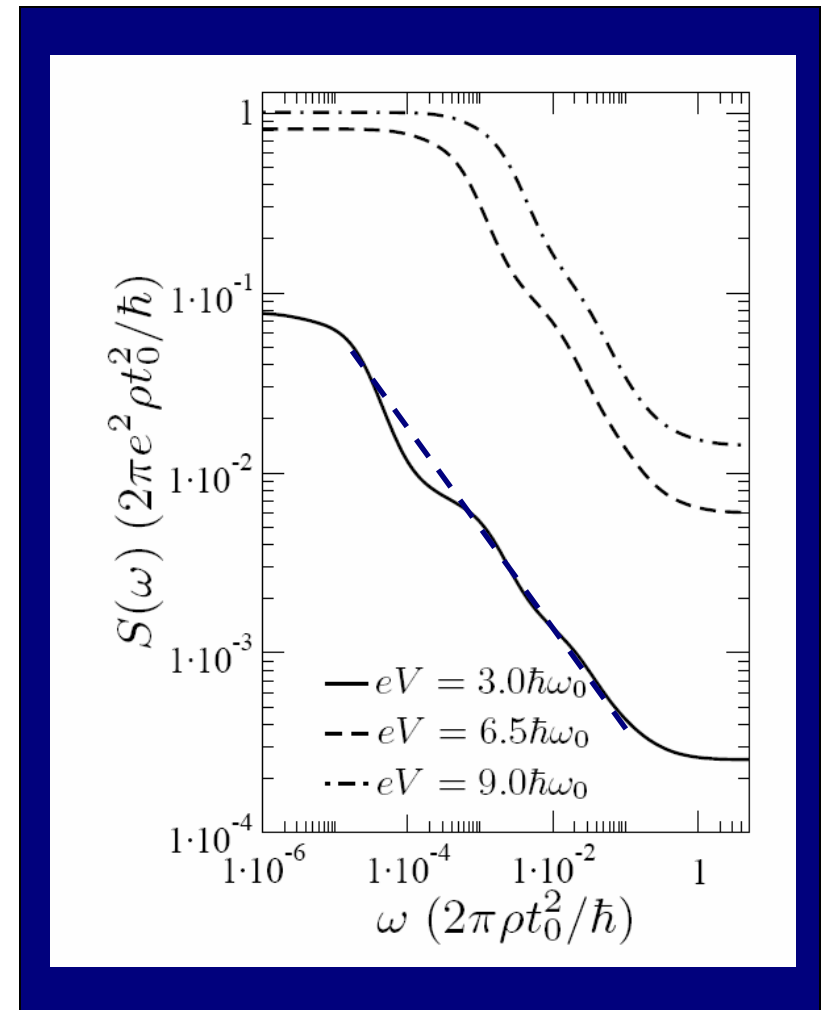
- Approximate power-law behavior

$$S(\omega) \sim \omega^{-\alpha}, \quad \alpha \approx 1/2$$



self-similarity  
of avalanches

- Small oscillatory deviations from pure power law due to discreteness of the variable  $q$

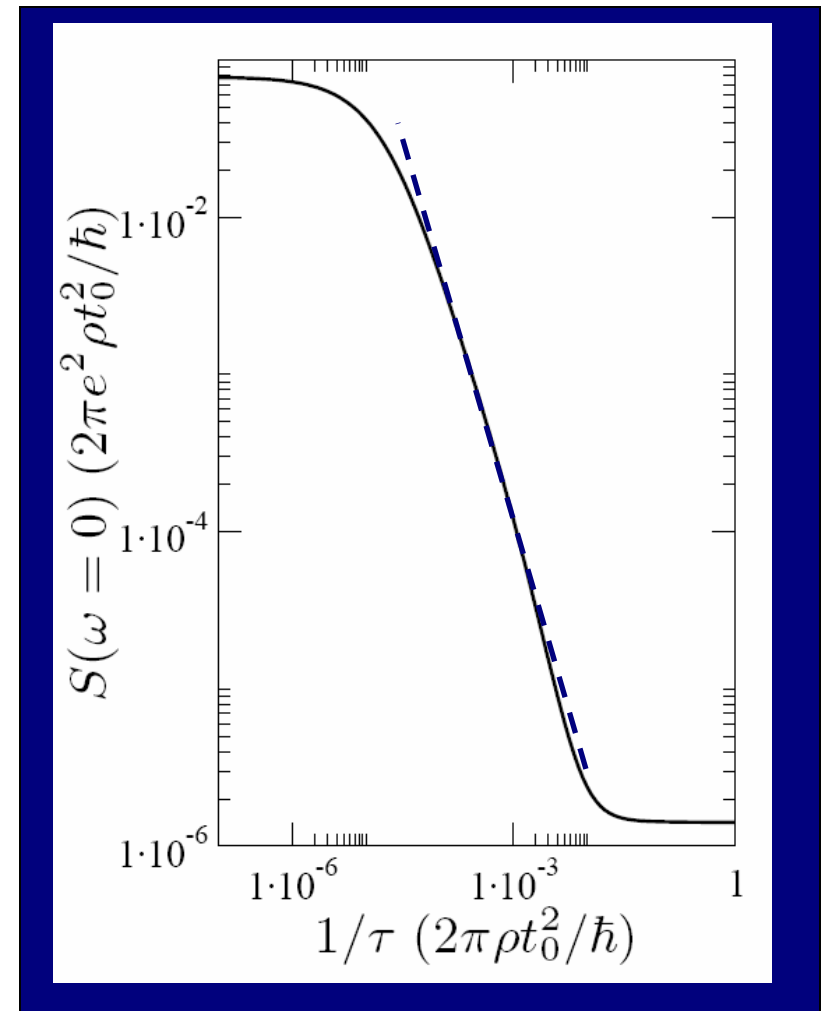


# Dependence on vibrational relaxation rate

- Similar power-law behavior

$$S(\omega = 0) \sim \tau^\beta, \quad \beta \approx 2$$

➡ weak suppression of large Fano factors by vibrational relaxation



# Analytical results

- Analytical results for current noise in low-frequency limit

$$F = \langle N_i \rangle \frac{\langle t_i^2 \rangle - \langle t_i \rangle^2}{\langle t_i \rangle^2} + \frac{\langle N_i^2 \rangle - \langle N_i \rangle^2}{\langle N_i \rangle} = 2\overline{N}^{(0)}$$

- noise originates from fluctuations of **waiting times** and **avalanche heights**
- Fano factor proportional to mean avalanche height

- Derivation of power-law exponents

$$S(\omega \simeq 1/\tau_{q+1}) = \frac{\overline{N}^{(q+1)}}{\overline{N}^{(q)}} S(\omega \simeq 1/\tau_q) \quad \text{and} \quad \tau_q / (\overline{N}^{(q)})^2 \approx \text{const.} \quad \Rightarrow \quad S(\omega) \sim \omega^{-1/2}$$

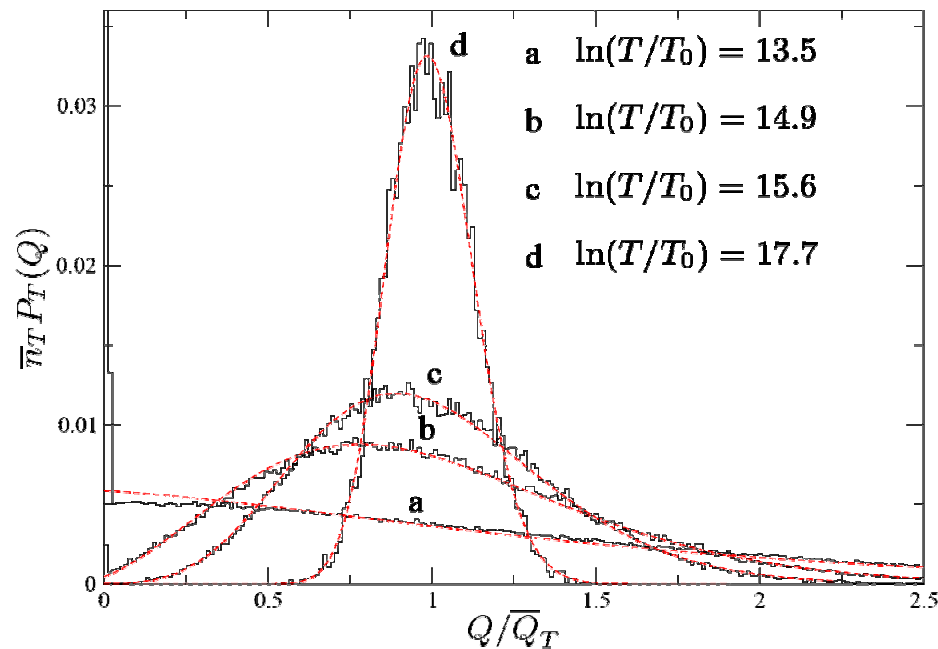
similar arguments for noise vs. relaxation time power-law

# Full counting statistics

$$P_T(Q) = e^{-\bar{n}_T} \delta(Q) + e^{-\frac{Q}{\bar{N}^{(0)}} - \bar{n}_T} \sqrt{\frac{\bar{n}_T}{\bar{N}^{(0)}}} \frac{1}{Q} I_1 \left( 2 \sqrt{\frac{\bar{n}_T Q}{\bar{N}^{(0)}}} \right)$$

$\bar{N}^{(0)}$  : mean number of electrons per level-0 avalanche

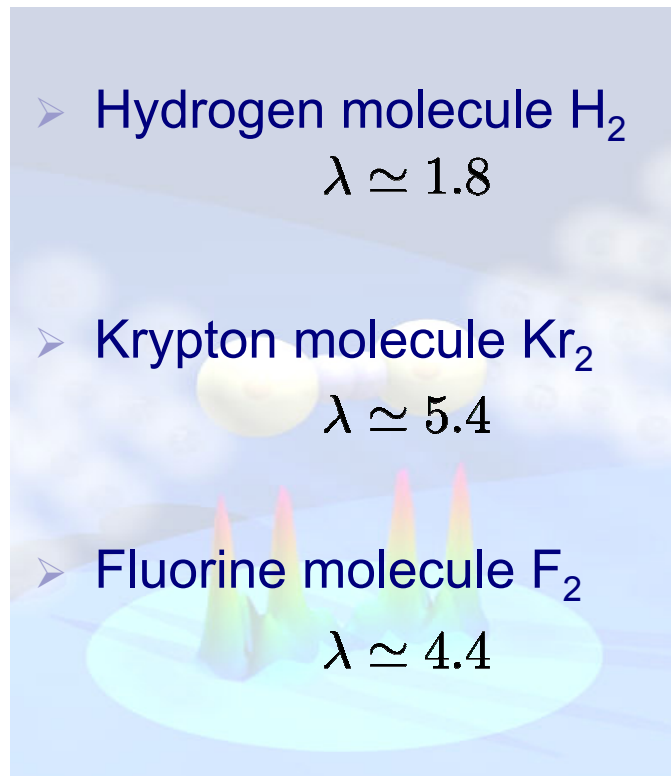
$\bar{n}_T$  : mean number of level-0 avalanches within time  $T$



➤ strongly *non-Gaussian*  
(except for very long times)

# Experimental realizations

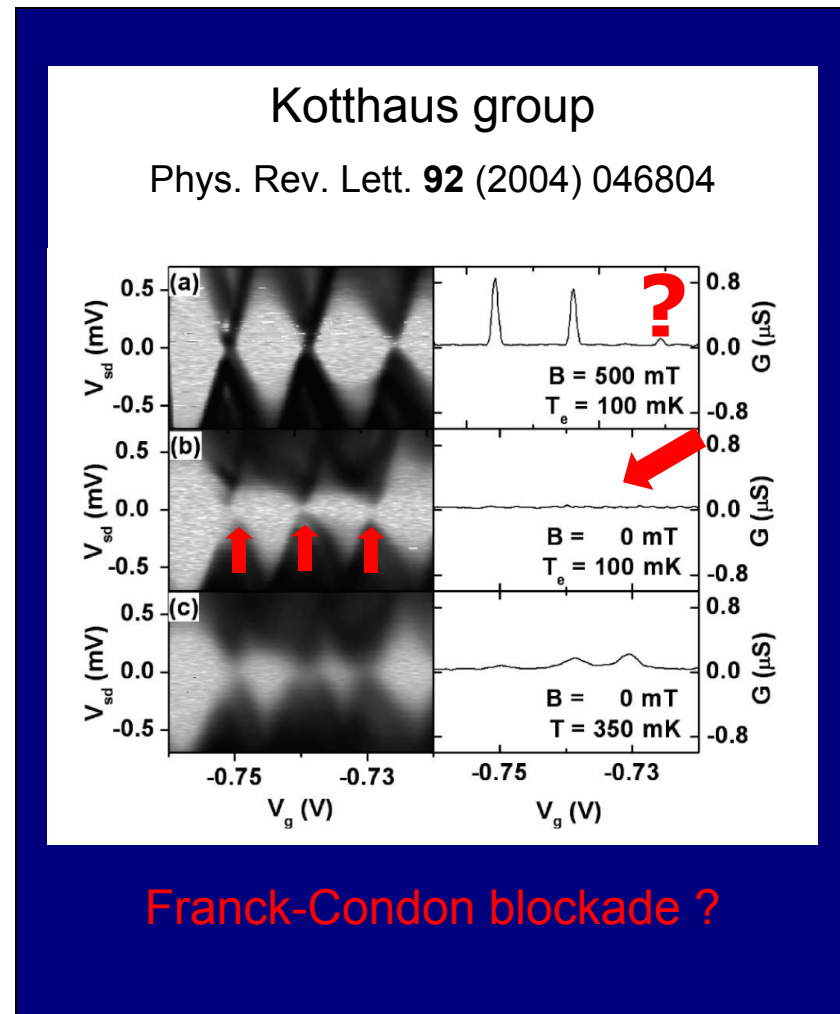
## ■ Molecules



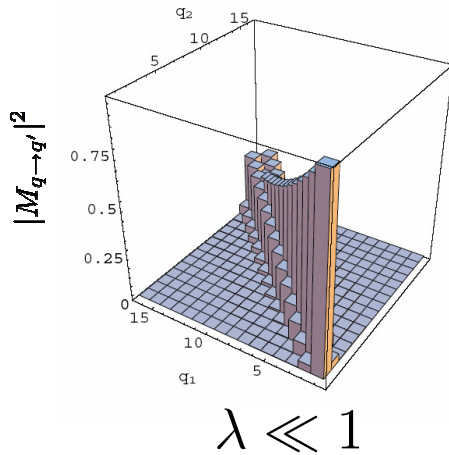
using data from:

Huber, Herzberg: Molecular Spectra  
and Molecular Structure, vol. IV

## ■ Suspended quantum dots



# Weak electron-phonon interaction



## ■ Asymptotics of FC matrix elements

$$|M_{q \rightarrow q'}|^2 \simeq \frac{Q!}{q!} \frac{\lambda^2 \Delta q}{(\Delta q!)^2}$$

$$\begin{aligned} Q &= \max\{q_1, q_2\} \\ q &= \min\{q_1, q_2\} \\ \Delta q &= Q - q \end{aligned}$$

## ■ Transitions: $q \rightarrow q \pm \Delta q$

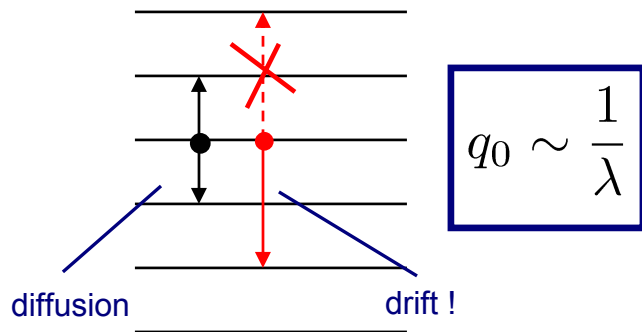
$\Delta q = 0, 1$  dominate



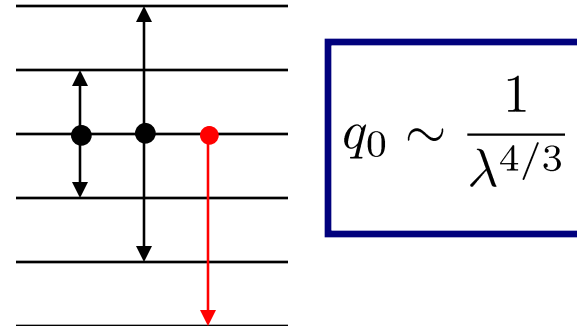
- random walk in space of vibrational levels
- stationary phonon distribution ???
- slowdown of diffusion as  $\lambda \rightarrow 0$

# Phonon drift and scaling behavior

$$2\hbar\omega < eV < 4\hbar\omega$$

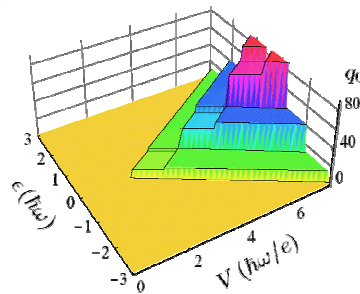
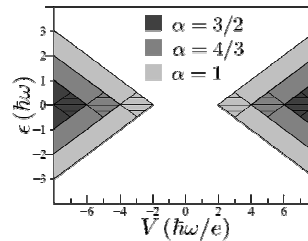
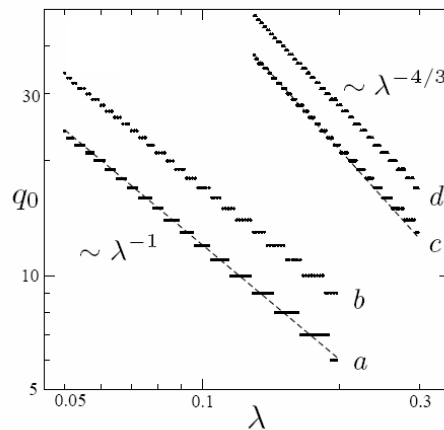


$$4\hbar\omega < eV < 6\hbar\omega$$



scaling behavior

$$q_0 \sim \lambda^{-\alpha}$$



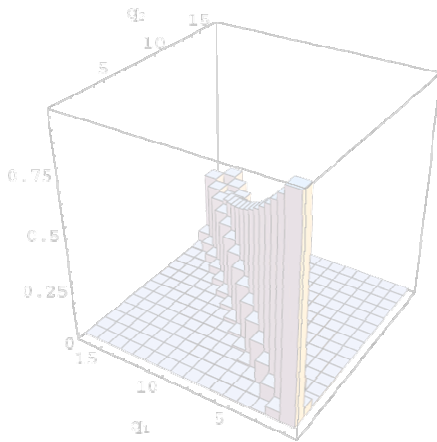
vibrational nonequilibrium  
grows stronger for *weaker*  
electron-phonon coupling

breakdown of  
perturbation theory

# Fokker-Planck-equation

keeping leading order terms,  
one obtains:

$$0 = \frac{1}{2} \frac{\partial^2}{\partial q^2} [D(q)P(q)] - \frac{\partial}{\partial q} [A(q)P(q)]$$



with  $D(q) \sim q\lambda^2$  and  $A(q) \sim \lambda^2 - c(q\lambda^2)^{\Delta q_m + 1}$

**Solution:**

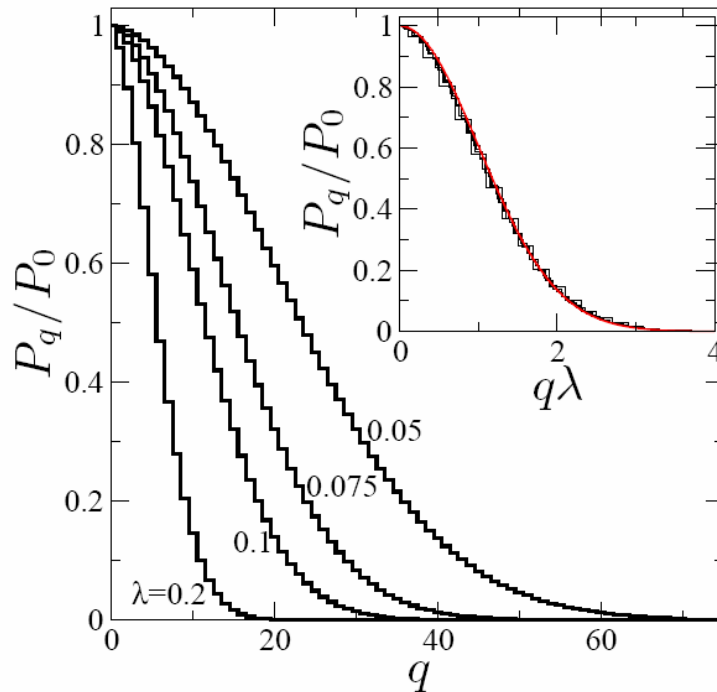
$$P(q) = \lambda^\alpha f(\lambda^\alpha q)$$

with

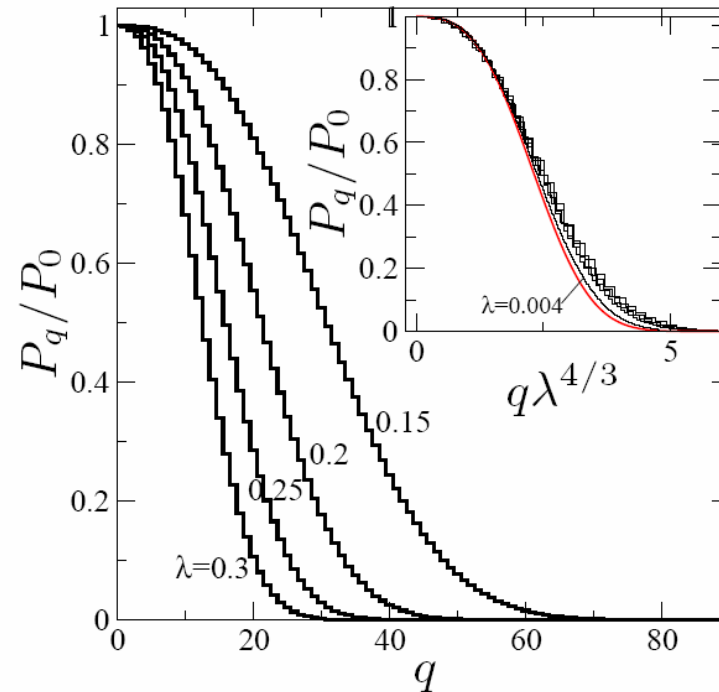
$$\alpha = \frac{2\Delta q_m}{\Delta q_m + 1}$$

$$f(x) \sim \exp[-x^{\Delta q_m + 1}/b]$$

# Numerical confirmation



$$eV = 3\hbar\omega$$

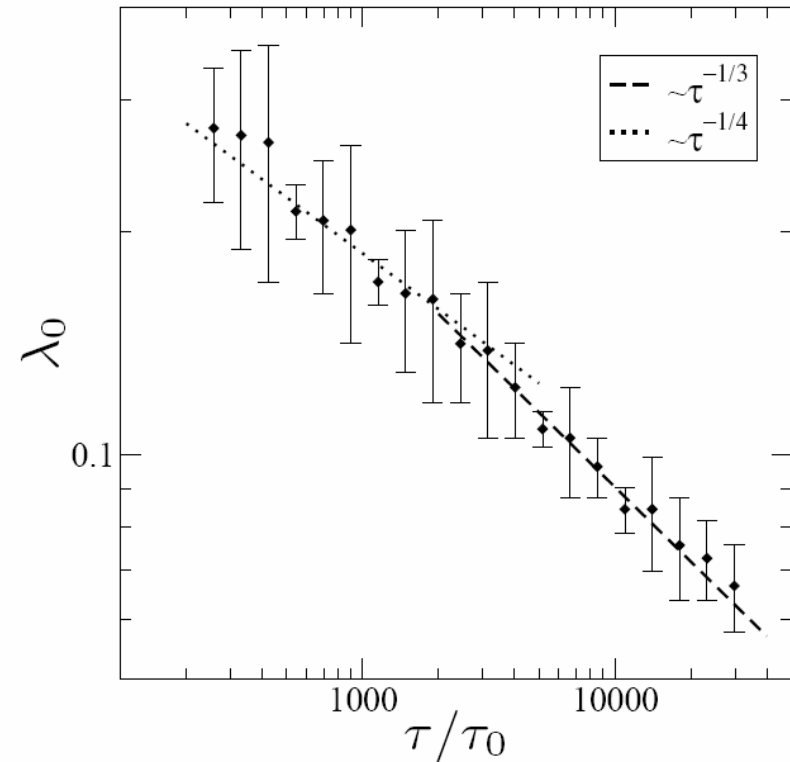
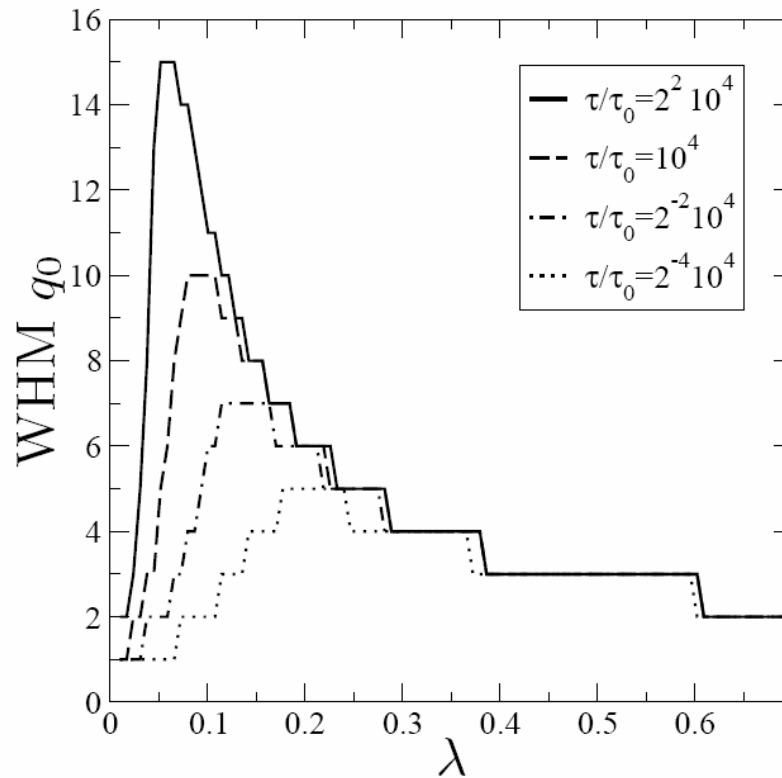


$$eV = 5\hbar\omega$$

Black: solution of rate equation for electron transport

Red: scaling solution of Fokker-Planck-equation

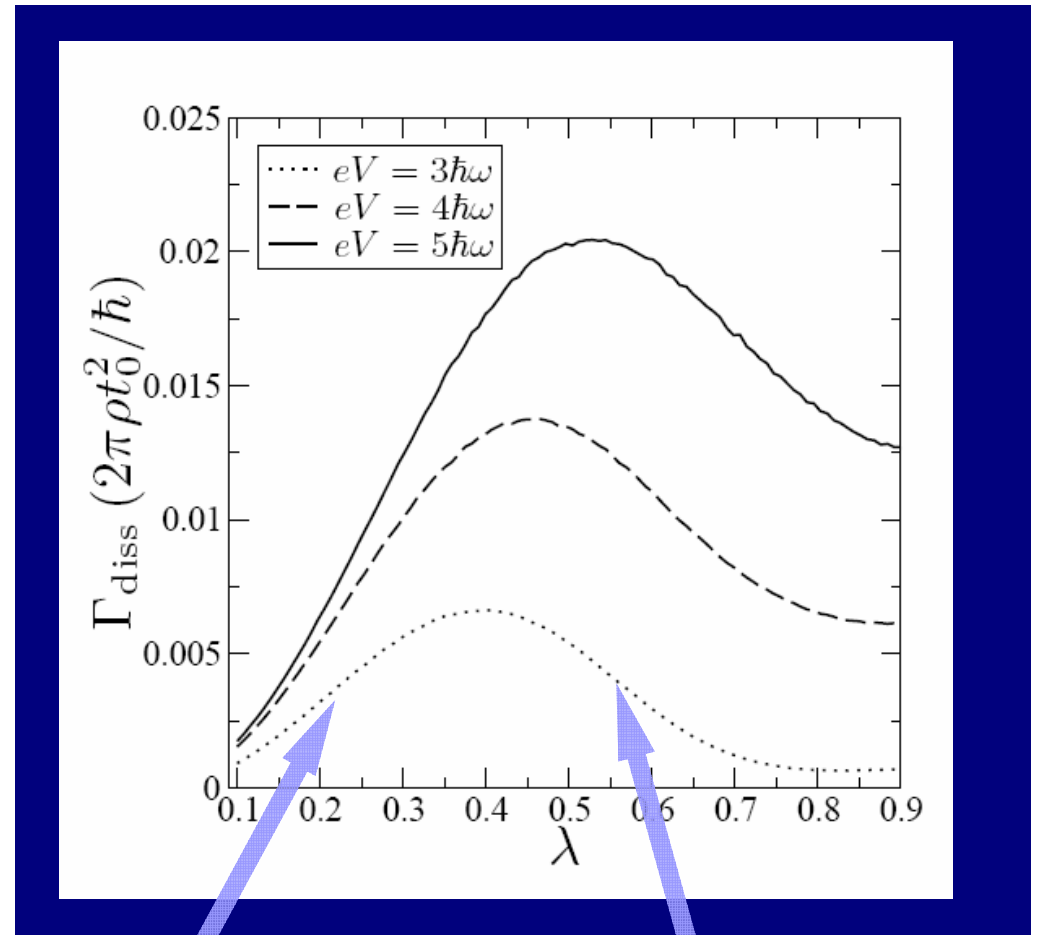
# Effects of vibrational relaxation



- vibrational relaxation cuts off widening of phonon distributions below threshold  $\lambda_0$

# Dissociation rates

- describe potential surface by Morse potential
- Monte-Carlo simulation of rate equations with appropriately modified FC matrix elements
- measure time until electron enters vibrational continuum states



slow down of diffusion

increasing width

*with decreasing e-ph coupling*



# Conclusions

- relevance of current-induced nonequilibrium of nuclear motion
- strong electron-phonon interaction
  - Franck-Condon blockade
  - giant Fano factors for *nonequilibrated* vibrational states
  - self-similar avalanche-like transport
- weak electron-phonon interaction
  - width of phonon distribution diverges for small coupling
  - analytical theory of phonon distribution from Fokker-Planck equation
  - consequences for current-induced dissociation

# Acknowledgments



**Felix von Oppen (FU Berlin)**

|               |                  |
|---------------|------------------|
| M. Raikh      | (Univ. of Utah)  |
| M. Semmelhack | (FU Berlin)      |
| A. Nitzan     | (Tel Aviv Univ.) |
| Y. Oreg       | (Weizmann Inst.) |
| E. Sela       | (Weizmann Inst.) |

D. Thouless & A. Andreev (Univ. of Washington)



STUDIENSTIFTUNG des deutschen Volkes