Electron transport in carbon nanotubes: contact effects

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1. Motivation

- 2. Green function techniques + Landauer theory
- 3. Unpolarized Transport in Metall-CNT systems
- 4. GMR in FM-CNT systems (Prof. S. Krompiewski, Poznan)
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Motivation

- Experiment: S. Frank et al. Science **280** 1744 (1998).
- MWCNTs $(d \sim 5 25 nm, L \sim 1 \mu m)$ attached to a STM-tip are stepwise immersed into a liquid metal (Hg)



• Conductance quantization in MWCNTs observed, but starting with $\mathbf{1} \times G_0 (= 2\frac{e^2}{h})$.

- Experiment: Urbina et al. PRL **90** 106603 (2003)
- MWCNTs : STM-contacted MWCNTs deposited on a Gold-surface
- Conductance in multiples of $2 \times G_0 \rightsquigarrow$ only the outer shell is conducting



We know · · ·

• Conductance of an **infinite** metallic SWCNT = $2 \times G_0 = 4\frac{e^2}{h} \rightarrow$ two electronic bands π and π^* (each 2fold degenerate) crossing the Fermi level at k_F



- Can this be extrapolated to finite size tubes? What about MWCNTs?
- What is the effect of the contacts?

Green functions + Landauer theory

$$G = \frac{2e^2}{h}T(E_F) = G_0 T(E_F), \quad k_B T = 0$$

How to calculate T(E)? Meir/Wingreen, PRL **68**, 2512 (1992)

$$H = \underbrace{-t_{\pi\pi} \sum_{l,j} c_l^{\dagger} c_j}_{H_{\mathcal{M}}} + \underbrace{\sum_{\mathbf{k}} \sum_{\alpha \in L,R} \epsilon_{\mathbf{k}}^{\alpha} d_{\mathbf{k}\alpha}^{\dagger} d_{\mathbf{k}\alpha}}_{H_{\alpha}} + \underbrace{\sum_{i,\mathbf{k}} \sum_{\alpha \in L,R} V_{i,\alpha} c_i^{\dagger} d_{\mathbf{k}\alpha} + c.c.}_{V_{\alpha,\mathcal{M}}}$$

$$\underbrace{H_{\alpha}}_{\pi - \text{orbital model}} + \underbrace{V_{\alpha,\mathcal{M}}}_{V_{\alpha,\mathcal{M}}} + \underbrace{V_{\alpha,\mathcal{M}}}_{V_{\alpha,\mathcal{M}}} + \underbrace{V_{\alpha,\mathcal{M}}}_{T = Tr_{\mathcal{M}}} \left[\mathbf{G}_{\mathcal{M}}^{\dagger} \mathbf{\Gamma}_{\mathbf{R}} \mathbf{G}_{\mathcal{M}} \mathbf{\Gamma}_{\mathbf{L}}\right]$$

 $\mathbf{G}_{\mathcal{M}} = \text{molecular GF} \quad \Rightarrow [E\mathbf{1}_{\mathcal{M}} - \mathbf{H}_{\mathcal{M}} - \mathbf{\Sigma}_{\mathbf{L}} - \mathbf{\Sigma}_{\mathbf{R}}]\mathbf{G}_{\mathcal{M}} = \mathbf{1}$ $\mathbf{\Gamma}_{\alpha} = \text{spectral densities} \quad \Rightarrow -i\mathbf{\Gamma}_{\alpha} = \mathbf{\Sigma}_{\alpha} - \mathbf{\Sigma}_{\alpha}^{\dagger}, \ \mathbf{\Sigma}_{\alpha} = \mathbf{V}^{\dagger}\mathbf{G}_{surf}^{\alpha}\mathbf{V}$

(n,n) SWCNTs , π -orbital model , $t_{C-C} = t_{Co-Co}$ =-2.66 eV

n.n. C-Co coupling = V = const.

SWCNT, N=10 unit cells



- Strong oscillations related to finite size effects
- For (2,2) **both** (π, π^*) channels open, for (6,6) only **one** channel open ?

What happens?

 \sim Represent the Selfenergy $\Sigma = V^{\dagger} \mathcal{G}_{surf} V$ in the MO-basis of the CNT and uses WF of the lead's surface:

$$\begin{split} \Phi_{\sigma} &>= \sum_{n} c_{n,\sigma} |\phi_{n} >, |\phi_{n} > \in \{CNT\} \quad |\mathbf{k} >= \sum_{\mathbf{l}_{||}} e^{i\mathbf{k}_{||}\mathbf{l}_{||}} f(\mathbf{k}_{\perp}) |\chi_{\mathbf{l}_{||}} >, \quad |\chi_{\mathbf{l}_{||}} >= |\chi_{\mathbf{l}_{||},\mathbf{l}_{\perp}=\mathbf{1}} > \\ &< \Phi_{\sigma} |\Sigma(E) |\Phi_{\sigma'} >= \Sigma_{\sigma\sigma'}(E) = \sum_{\mathbf{k}} < \Phi_{\sigma} |V^{\dagger}|\mathbf{k} > \mathcal{G}_{surf}(\mathbf{k}, E) < \mathbf{k} |V| \Phi_{\sigma'} > \\ & \Sigma_{\sigma\sigma'}(E) = \sum_{\mathbf{l}_{||},\mathbf{m}_{||}} \sum_{\mathbf{k}_{||}} \left[\sum_{\mathbf{k}_{\perp}} f^{\dagger}(\mathbf{k}_{\perp}) f(\mathbf{k}_{\perp}) \mathcal{G}_{surf}(\mathbf{k}, E) \right] e^{i\mathbf{k}_{||}(\mathbf{l}_{||}-\mathbf{m}_{||})} V_{\sigma}^{\dagger}(\mathbf{m}_{||}) \underbrace{V_{\sigma'}(\mathbf{l}_{||})}_{<\sigma'|V|\chi_{\mathbf{l}_{||},\mathbf{l}_{\perp}=\mathbf{1}} > \\ &= \sum_{\mathbf{k}_{||}} G_{\mathbf{k}_{||}}(E) \left[\sum_{n} c_{n,\sigma}^{\dagger} \sum_{\mathbf{m}_{||}} e^{-i\mathbf{k}_{||}\mathbf{m}_{||}} V_{n}^{\dagger}(\mathbf{m}_{||}) \right] \left[\sum_{m} c_{m,\sigma'} \sum_{\mathbf{l}_{||}} e^{i\mathbf{k}_{||}\mathbf{l}_{||}} V_{m}(\mathbf{l}_{||}) \right] \end{split}$$

For constant n.n. C-metal atom coupling $n \in \{S_{CNT}\}, \mathbf{m}_{||}[n] \in \{S_l\} \rightsquigarrow$

$$\Sigma_{\sigma\sigma'}(E) = |V|^2 \sum_{\mathbf{k}_{||}} G_{\mathbf{k}_{||}}(E) \underbrace{\left[\sum_{\mathbf{m}_{||}[n]} \sum_{n} c_{n,\sigma}^{\dagger} e^{-i\mathbf{k}_{||}\mathbf{m}_{||}}\right]}_{\Lambda_{\sigma}^{\dagger}(\mathbf{k}_{||})} \underbrace{\left[\sum_{\mathbf{l}_{||}[m]} \sum_{n} c_{n,\sigma'} e^{i\mathbf{k}_{||}\mathbf{l}_{||}}\right]}_{\Lambda_{\sigma'}(\mathbf{k}_{||})}$$

A channel may be blocked in dependence of the symmetries of $\Lambda_{\sigma}(\mathbf{k}_{||})$

see also: G. Cuniberti, G. Fagas, and K. Richter, Chem. Phys. 281, 465 (2002)

J. J. Palacios et al., PRL **90**, 10680 (2003)



For (2,2) both channels open : $\pi, \pi^* \rightsquigarrow \Lambda_{\sigma=\pi,\pi^*}(\mathbf{k}_{||}) \neq 0$ For (6,6) the π^* channel is closed $\Lambda_{\sigma=\pi^*}(\mathbf{k}_{||}) = 0$

Can the channels conductances be simply added, e.g. $G_{(2,2)@(6,6)} = 3 \times G_0$?

T(E) for DWCNT (2,2)@(6,6), t_{C-C} =-2.66 eV $t_{lj} = t_{inter-wall} \cos(\theta_{lj}) \exp(-\delta r_{lj})$



• For (2,2)@(6,6) interference effects (non-diagonal $\Sigma_{\sigma,\sigma'}(E)$) \rightsquigarrow at $E_F \operatorname{G}_{(6,6)} + \operatorname{G}_{(2,2)} \neq \operatorname{G}_{(2,2)@(6,6)}$

• Inter-wall interactions modify T(E) (mixing of CNT channels), although the behaviour $\sim E_F$ is less affected

T(E) for DWCNT (2,2)@(8,8), t_{C-C}=-2.66 eV, N=6 u.c.



T(E) for DWCNT (3,3)@(8,8), t_{C-C}=-2.66 eV, N=6 u.c.



• Conductances cannot in general be simply added \rightarrow mixing terms in the selfenergies ??

Spin-transport in DWCNTs

Experiments: K. Tsukagoshi et al. Nature 401 572 (1999), B. Zhao et al. APL 80 3141 (2002), ibidem JAP 91 7026 (2002), Kim et al. Physica E18 210 (2003)



• MR=
$$\frac{G_P - G_{AP}}{G_P}$$

- Strong **B**-field dependence of MR \sim spin-coherent transport in MWCNTs, spin-scattering length $l_s \sim 200 \, nm - 1 \mu m$
- \bullet Negative MR observed $\sim -30\%$, sample dependent
- MR controlled by electronic band structure AND by interface topology (E. Y. Tsymbal et al. J. Phys:Condens. Matter 15 109 (2003))

Model Hamiltonian: no spin-scattering, no disorder, 2-channels model



$$G(\theta) = \frac{\mathbf{e}^{2}}{\mathbf{h}} \sum_{\sigma} \mathbf{Tr} [\mathbf{G}^{\dagger} \mathbf{\Gamma}_{\mathbf{R}} \mathbf{G} \mathbf{\Gamma}_{\mathbf{L}}]_{\sigma,\sigma} \qquad n = \frac{1}{2\pi} \int dE \left[Gf_{\mathbf{L}} \mathbf{\Gamma}_{L} + f_{R} \mathbf{\Gamma}_{R} G^{\dagger} \right] \rightsquigarrow \epsilon_{ll} \rightarrow \epsilon_{ll} \pm \delta \epsilon[n]$$
$$\mathbf{MR} = \frac{G(0) - G(\pi)}{G(0)} = \frac{G_{\uparrow,\uparrow} - G_{\uparrow,\downarrow}}{G_{\uparrow,\uparrow}}$$

DWCNT(6,6)@(2,2)



- Spin polarization P = 0.5
- full contact : MR < 0 (weakly dependent on t_{IW})
- Positive MR with partial contact
- Essential: charge neutrality \rightarrow band rearrangement at the interface





• Negative GMR \leftrightarrow charge neutrality ? Toy model :

$$\Sigma_{\sigma}(E) = -i\gamma_{\sigma}, \quad \gamma_{\uparrow}/\gamma_{\downarrow} \approx 2.0$$

$$G(0) = G_{\uparrow\uparrow} = \frac{4e^2}{h} \left[\frac{\gamma_{\uparrow}^2}{(E - \epsilon_0)^2 + 4\gamma_{\uparrow}^2} + \frac{\gamma_{\downarrow}^2}{(E - \epsilon_0)^2 + 4\gamma_{\downarrow}^2} \right]$$

$$G(\pi) = G_{\uparrow\downarrow} = \frac{8e^2}{h} \frac{\gamma_{\uparrow}\gamma_{\downarrow}}{(E - [\epsilon_0 + \delta\epsilon])^2 + (\gamma_{\uparrow} + \gamma_{\downarrow})^2}$$



 $\delta\epsilon$ mimics charge transfer effects





• Modifications of the charge distribution (mainly at the metall–molecule interface) might change the sign of the GMR

Conclusions + Perspectives

- \bullet Contact symmetries strongly modify the device conductance \leadsto channel blocking
- Influence of inter-wall interactions quite strong
- How do conductances of SW combine in DWCNTs ?
- Sign of GMR closely related to charge neutrality condition \rightsquigarrow band mismatch
- Stability issues \leftrightarrow conductance
- What happens with \cdots disorder, (n,0) CNTs , incommensurability , spin value $G(\theta)$
- Realistic description of leads+interface electronic structure \rightsquigarrow 4s,4p,3d-bands

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